Superseded by a later version of this document.

OpenCable™ Specifications

OpenCable Unidirectional Receiver

OC-SP-OCUR-I02-060210

ISSUED

Notice

This OpenCable specification is a cooperative effort undertaken at the direction of Cable Television Laboratories, Inc. (CableLabs®) for the benefit of the cable industry. Neither CableLabs, nor any other entity participating in the creation of this document, is responsible for any liability of any nature whatsoever resulting from or arising out of use or reliance upon this document by any party. This document is furnished on an AS-IS basis and neither CableLabs, nor other participating entity, provides any representation or warranty, express or implied, regarding its accuracy, completeness, or fitness for a particular purpose.

© Copyright 2005-2006 Cable Television Laboratories, Inc. All rights reserved.

Document Status Sheet

Document Control Number: OC-SP-OCUR-I02-060210

Document Title: OpenCable Unidirectional Receiver

Revision History: D01 – Released June 2, 2005

D02 – Released November 18, 2005 I01 – Released January 9, 2006 I02 – Released February 10, 2006

Status: Work in Draft Issued Closed

Progress

Date: February 10, 2006

Distribution Restrictions: Author CL/Member Public

Only Vendor

Key to Document Status Codes:

Work in Progress An incomplete document, designed to guide discussion and generate

feedback that may include several alternative requirements for

consideration.

Draft A document in specification format considered largely complete, but

lacking review by Members and vendors. Drafts are susceptible to

substantial change during the review process.

Issued A stable document, which has undergone rigorous member and vendor

review and is suitable for product design and development, cross-vendor

interoperability, and for certification testing.

Closed A static document, reviewed, tested, validated, and closed to further

engineering change requests to the specification through CableLabs.

Trademarks:

DOCSIS®, eDOCSIS™, PacketCable™, CableHome®, CableOffice™, OpenCable™, CableCARD™, DCAS™, and CableLabs® are trademarks of Cable Television Laboratories, Inc.

Contents

| 1 | INTRODUCTION | 1 |
|---|--|----|
| | 1.1 OpenCable Overview | 1 |
| | 1.2 OpenCable Unidirectional Cable Receiver (OCUR) Overview | 2 |
| | 1.3 Compliance Notation | 3 |
| 2 | REFERENCES | 4 |
| | 2.1 Normative References | 4 |
| | 2.2 Informative References | 5 |
| | 2.3 Reference Acquisition | 5 |
| 3 | TERMS AND DEFINITIONS | 7 |
| 4 | ABBREVIATIONS AND ACRONYMS | 8 |
| 5 | OVERVIEW OF CORE SERVICES AND FUNCTIONALITIES | 10 |
| | 5.1 OCUR components | |
| | 5.1.1 Core Services (Informative) | |
| | 5.1.2 Core Functions and Features (Informative) | |
| | 5.2 General Compliance (Normative) | |
| 6 | SECURITY | 13 |
| | 6.1 Conditional Access | _ |
| | 6.2 Certificate Storage and Management | |
| | 6.3 Analog Program Copy Protection | |
| | 6.4 Digital Program Copy Protection | |
| | 6.5 DRI Content Protection | |
| | 6.6 HMS Association Recording | 13 |
| 7 | UNIDIRECTIONAL PHYSICAL LAYER CHARACTERISTICS | _ |
| | 7.1 RF Interface | |
| | 7.1.1 Maximum Individual Carrier Amplitude | |
| | 7.2 Communication Channels | |
| | 7.2.1 Forward Application Transport (FAT) Channel | |
| | 7.2.3 CA Signaling on Forward Data Channel | |
| | 7.3 Physical Layer Specifications | |
| | 7.3.1 In-Band Downstream Channel, FDC Characteristics and RF Perfo | |
| 8 | CARD INTERFACE | 21 |
| | 8.1 OCUR Functionality with Unscrambled Content | 21 |
| | 8.2 OCUR Functionality without a Card | 21 |
| | 8.3 Man Machine Interface (MMI) Support | 21 |
| | 8.4 Software Download | 22 |
| | 8.5 Digital Receiver Interface (DRI) Output | 22 |

| 9 | VIDEO | 23 |
|-------------|--|----|
| | 9.1 Analog Video | 23 |
| | 9.2 Digital Video | 23 |
| | 9.2.1 MPEG-2 Transport | |
| | 9.2.2 FAT Delivered Service/System Information | |
| | 9.2.3 Service/System Information provided by Card | |
| | 9.2.4 Digital Television (DTV) Closed Captioning | |
| | 9.2.5 Digital Television (DTV) Content Advisory Information | |
| | 9.2.6 Digital Television (DTV) Emergency Alert Service (EAS) | 25 |
| 10 | OCUR POWERING STATES | 26 |
| | Card Background Mode Power Management | 26 |
| 11 | OCUR DIAGNOSTICS | |
| | 11.1 Memory Resources | |
| | 11.2 Software Versions | |
| | 11.3 Firmware Version (OCUR) | 28 |
| | 11.4 MAC Addresses | 28 |
| | 11.5 OCUR Network Addresses | 28 |
| | 11.6 Status of FDC | 28 |
| | 11.7 Status of FAT | 28 |
| | 11.8 Current Channel Status | 29 |
| | 11.9 DRM Pairing Message | 29 |
| 12 | MECHANICAL | 30 |
| ΔΝ | NEX A OCUR-HMS CONTENT PROTECTION REQUIREMENTS | |
| Λ Ι' | (NORMATIVE) | 33 |
| | | |
| Αľ | NEX B MECHANISM FOR DRM REVOCATION | 36 |

Figures

| Figure 1.2-1 - OpenCable OCUR Environment | 2 |
|--|----|
| Figure 1.2-2 - OpenCable Content Protection Transitions | |
| Figure 5.1-1 - Block Diagram of the OCUR (Informative) | |
| Tables | |
| Table 6.6–1 - HMS Association Record | 14 |
| Table 7.3-1 - Analog and FAT Channel: RF Performance Parameters (0° - 40° C) | 16 |
| Table 7.3–2 - FDC Channel: RF Performance Parameters (0° - 40° C) | 18 |
| Table 7.3–3 - Adjacent Channel Characteristics | 19 |
| Table 9.2–1 - Content advisory conversion table | 24 |
| Table 12–1 - Environmental / Mechanical Requirements | 30 |
| Table B-1 - Code File Naming | |
| Table B-2 - Download File PKCS #7 section format | |
| Table B-3 - PKCS #7 Signed Data | 37 |
| Table B-4 - SRM Structure | |

This page left blank intentionally.

1 INTRODUCTION

1.1 OpenCable Overview

The goal of the OpenCable specifications is to define the first of a new generation of host devices that are interoperable across cable systems in North America. Information is presented in this document in order to help define the range of capabilities and applications to be supported by an OpenCable Unidirectional Receiver (OCUR). Information on the OpenCable Project can be obtained from the OpenCable website at http://www.opencable.com.

The OpenCable specifications:

- Provide for integrated environments for broadcast services (analog and digital) and real-time
 interactive multimedia services, including IP data services (program synchronous and
 asynchronous), IP voice communications, video telephony, and on-demand interactive
 applications. Multiple models of OpenCable Host Devices are expected to co-exist within any
 given system, allowing the network operator to offer various services.
- 2. Require openness and interoperability. OpenCable takes advantage of "open" computing and network architectures, wherever possible, to minimize costs and maximize the ability to include new technologies as they become available and affordable. "Open" is defined as adherence to an international standard, North American standard, or published *de facto* industry standard. In all cases, the acquisition of the necessary software, hardware, and intellectual properties will be achievable at fair and reasonable costs. All standard interfaces will be in the public domain or, if such technology standards are to be defined, they will be available for license at a fair and reasonable cost. Closed proprietary systems are to be avoided.
- 3. Require portability. Retail availability of cable navigation devices is required in compliance with the FCC's 1996 Telecom Reform Act. The OpenCable system permits "point-of-deployment decisions" for network, security, and operator-programmed user interfaces to enable the anticipated variety of retail devices.
- 4. Define a renewable and replaceable core encryption system called the CableCARDTM device.
- 5. Provide cable Multiple System Operators (MSOs) the ability to inform the navigation device (Host) of the services (video, Internet, etc.) that are offered.
- 6. Present a migration path from uni-directional to bi-directional networks and from broadcast to real-time interactive applications. Media servers and the related hardware (e.g., disk storage, switch fabric, modulators) will support the incremental addition of the required components to preserve any existing investment while taking advantage of more cost-effective solutions. In addition, the system software will be designed to scale efficiently as more interactive applications are added and service offerings expand. Of particular note are "authentication" and "name" services, database services, fault tolerance, and recovery mechanisms.
- 7. Allow efficient application and network design by:
 - Improving quality of service and/or reducing the bit rate of a digital stream through improved compression and transmission technologies; for example, by using improved MPEG-2 encoders and higher constellation digital modulation techniques.
 - Optimizing the use of network capacity. During the broadcast applications phase, bandwidth is allocated to accommodate broadcast digital program streams. As systems migrate to real-time interactive applications, the system will make efficient use of the network resources by dynamically allocating bandwidth.
 - Developing applications designed to use network resources efficiently, varying their behavior according to the network's resource availability.
 - Minimizing the network resources required when the consumer "turns on" the terminal device.

- 8. Maximize compatibility with existing and/or newly-installed operational and customer support systems. All interfaces developed specifically for this effort will be integrated into the current and/or newly-installed billing support systems.
- 9. Co-exist with the embedded base of existing set-top devices.

1.2 OpenCable Unidirectional Cable Receiver (OCUR) Overview

This document describes the requirements for a unidirectional receiver (OCUR) Host profile.

The OCUR corresponds to a digital cable receiver with a tuner, a Card interface and an IP interface. It is intended to enable multi-user, multi-room scenarios where multiple OCURs can provide digital cable audio-visual programming over an IP connection to a Home Media Server (HMS) as shown in Figure 1.2-1.

The OCUR is connected to the home media server through the Digital Receiver Interface. The OCUR SHALL output content only on the DRI; no other analog or digital outputs are permitted.

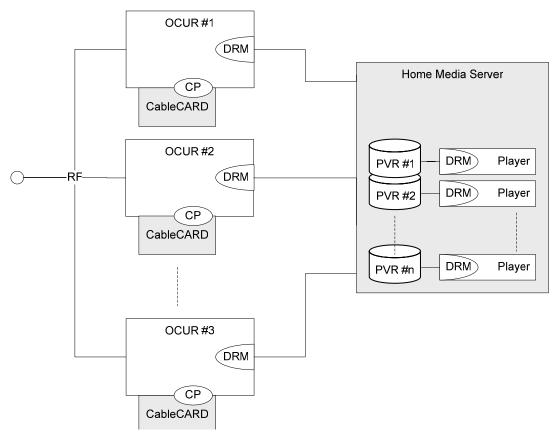


Figure 1.2-1 - OpenCable OCUR Environment

The overall protection of the cable audio-visual programming distribution across the home network is achieved through the means of a CableLabs-approved Digital Rights Management (DRM) system. All approved DRM systems need proximity control.

The OpenCable OCUR creates another content protection transition, similar to the CA-decryption/ OpenCable Copy Protection encryption done within the Card, as defined in the OpenCable Digital Receiver Interface Specification [DRI]. The OCUR removes the OpenCable Copy Protection encryption, which protects the interface between the Card and any OpenCable Host device, and replaces it with a DRM protection that provides a layer of encryption at the content level persistent until the authorized consumption of that service.

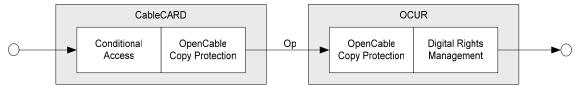


Figure 1.2-2 - OpenCable Content Protection Transitions

The OpenCable OCUR securely bridges the OpenCable Copy Protection signaling to the Digital Rights Management system by exporting and enforcing the encoding rules associated to the service, as defined by the OpenCable Copy Protection Specification [CCCP].

The goals and objectives of the OCUR are:

- To receive non-scrambled analog and digital services.
- To be sold through retail channels directly to the customer.
- To receive digital premium (scrambled) cable services using a CableLabs qualified Card.
- To enable a multi-room, multi-user home media server scenario.
- To bridge the OpenCable Copy Protection mechanism with any CableLabs-approved Digital Right Management system.

Information on the OpenCable Project can be obtained from the OpenCable website at http://www.opencable.com/, and information on the DOCSIS specifications can be found at the DOCSIS web site at http://www.cablemodem.com/.

1.3 Compliance Notation

item.

Throughout this document, the words that are used to define the significance of particular requirements are capitalized. These words are:

| "SHALL" | This word or the adjective "REQUIRED" means that the item is an absolute requirement of this specification. |
|--------------|---|
| "SHALL NOT" | This phrase means that the item is an absolute prohibition of this specification. |
| "SHOULD" | This word or the adjective "RECOMMENDED" means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course. |
| "SHOULD NOT" | This phrase means that there may exist valid reasons in particular circumstances when the listed behavior is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behavior described with this label. |
| "MAY" | This word or the adjective "OPTIONAL" means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, for example; another vendor may omit the same |

2 REFERENCES

[SCTE 18]

2.1 Normative References

In order to claim compliance with this specification, it is necessary to conform to the following standards and other works as indicated, in addition to the other requirements of this specification. Notwithstanding, intellectual property rights may be required to use or implement such normative references.

| [A/52A] | ATSC A/52A: Digital Audio Compression Standard. | | |
|---------------|---|--|--|
| [A/53C] | ATSC A/53C with Amendment 1: ATSC Digital Television Standard. | | |
| [A/65B] | TSC A/65B: Program and System Information Protocol for Terrestrial Broadcast and Cable (Revision B). | | |
| [CEA-542-B] | EIA/CEA-542-B: Cable Television Channel Identification Plan. | | |
| [CEA-608] | CEA-608-C: Recommended Practice for Line 21 Data Service. | | |
| [CEA-708] | CEA-708-B: Digital Television (DTV) Closed Captioning. | | |
| [47CFR15] | 47CFR15: Radio Frequency Devices, Class B. | | |
| [47CFR76] | 47CFR76: Cable Television Service. | | |
| [CCIF] | OC-SP-CCIF2.0-IF-I04-060126: OpenCable™ CableCARD™ Interface 2.0 Specification, January 26, 2006, Cable Television Laboratories, Inc. | | |
| [CCCP] | OC-SP-CCCP2.0-I02-050708: OpenCable CableCARD™ Copy Protection 2.0 Specification, July 8, 2005, Cable Television Laboratories, Inc. | | |
| [EIA-679-C] | EIA-679-B C (Part B): National Renewable Security Standard, March 2000. | | |
| [IEC 61880] | IEC 61880 Video systems (525/60) – Video and accompanied data using the vertical blanking interval – Analogue interface, First Edition, 1998-01. | | |
| [ISO 13818-1] | ISO/IEC 13818-1, 2000: Information technology—Generic coding of moving pictures and associated audio (MPEG): Systems. | | |
| [ISO 13818-2] | ISO/IEC 13818-2, 2000: Information technology—Generic coding of moving pictures and associated audio (MPEG): Video. | | |
| [ISO-13818-3] | Information technology Generic coding of moving pictures and associated audio information Part 3: Audio | | |
| [OC-SEC] | OC-SP-SEC-I05-040831: OpenCable System Security Specification, August 31, 2004, Cable Television Laboratories, Inc. | | |
| [SCTE 01] | ANSI/SCTE 01, 1996: (formerly IPS-SP-400): Recommended "F" Port (Female) Specification. | | |
| [SCTE 07] | ANSI/SCTE 07, 2000: Digital Video Transmission Standard for Cable Television. | | |
| [SCTE 20] | ANSI/SCTE 20, 2004: Standard Methods for Carriage of Closed Captions and Non-Real Time Sampled Video. Note: Non-Real Time Sampled Video support is "optional" for Host Devices. | | |
| [SCTE 55-2] | ANSI/SCTE 55-2, 2002: March 10, 2002, Digital Broadband Delivery System: Out-of-Band Transport - Part 2: Mode B. | | |
| [SCTE 55-1] | ANSI/SCTE 55-1, 2002: February 25, 2002, Digital Broadband Delivery System: Out-of-Band Transport - Part 1: Mode A. | | |

SCTE 18, 2002 (ANSI-J-STD-042-2002): Emergency Alert Message for Cable.

| [SCTE 65] | ANSI/SCTE 65, 2002: Service Information Delivered Out-of-Band for Digital Cable Television. |
|---------------|---|
| [SCTE 54] | ANSI/SCTE 54, 2004: Digital Video Service Multiplex and Transport System Standard for Cable Television. |
| [SCTE 40] | ANSI/SCTE 40, 2004: Digital Cable Network Interface Standard. |
| [SCTE 43] | ANSI/SCTE 43, 2003: Digital Video Systems Characteristics Standard for Cable Television. |
| [SCTE 21] | ANSI/SCTE 21, 2001 (formerly DVS 053): Standard for Carriage of NTSC VBI Data in Cable Digital Transport Streams. |
| [CEA-23] | CEA-23-A: RF Interface Specification for Television Receiving and Cable Television Systems. |
| [Macrovision] | Specifications of the Macrovision Copy Protection Process for STB/IRD Products Revision 7.1.S1, (October 1, 1999). |
| [CEA-766-A] | CEA-766-A: U.S. Regional Rating Table (RRT) and Content Advisory Descriptor for Transport of Content Advisory Information Using ATSC A/65 Program and System Information Protocol (PSIP). |
| [DRI] | OC-SP-DRI-I02-060210: OpenCable™ Digital Receiver Interface Protocol Specification, February 10, 2006, Cable Television Laboratories, Inc. |
| [PKCS #7] | Public Key Cryptographic Standard #7. The Cryptographic Message Syntax Standard from RSA Laboratories |

2.2 Informative References

| | [TIA-250-C] | EIA/TIA-250-C: Electrical Performance Standards for Television Relay Facilities. |
|---|---------------|--|
| [CHILA] CableLabs CableCARD-Host Interface License Agreement. | | CableLabs CableCARD-Host Interface License Agreement. |
| | [DTCP] | [DTCP Specification] Digital Transmission Content Protection Specification: Volume 1 (Informational Version), Revision 1.4, February 2005. |
| | [DTCP Lic] | Digital Transmission Protection License Agreement, May 2005. |
| | [HDCP Lic] | HDCP License Agreement, August 21, 2005. |
| | [HDCP Spec] | HDCP License Agreement, August 21, 2005." |
| | [MIL-C-39012] | MIL-C-39012: General Specifications for Connectors, Coaxial, Radio Frequency. |
| | [OC-CD] | OC-SP-CD-IF-I08-040831: OpenCable Common Download Specification, August 31, 2004, Cable Television Laboratories, Inc. |
| | [VCPS Spec] | Video Content Protection System for the DVD+R/+RW Video Recording Format: System Description, Version 1.3, July 2005. |
| | [VCPS Lic] | Video Content Protection System Agreement, Version 1.3g, 4-2005. |

2.3 Reference Acquisition

CableLabs Specifications:

Cable Television Laboratories, Inc., 858 Coal Creek Circle, Louisville, CO 80027;
 Phone 303-661-9100; Fax 303-661-9199; Internet: http://www.cablelabs.com/

SCTE/DVS Standards:

• SCTE - Society of Cable Telecommunications Engineers Inc., 140 Philips Road, Exton, PA 19341: Phone: 610-363-6888 / 800-542-5040; Fax: 610-363-5898; http://www.scte.org/

ISO/IEC Standards:

• ISO Central Secretariat: International Organization for Standardization (ISO), 1, rue de Varembé, Case postale 56, CH-1211 Geneva 20, Switzerland; Internet: http://www.iso.ch/

Macrovision Copy Protection:

 Macrovision Corporation, 2830 De La Cruz Boulevard, Santa Clara, CA 95050; http://www.macrovision.

ATSC Standards:

Advanced Television Systems Committee (ATSC), 1750 K Street N.W., Suite 1200, Washington, DC, 20006; Phone 202 872 9160; Fax 202-828-3131; Internet http://www.atsc.org

3 TERMS AND DEFINITIONS

This document uses the following terms:

| OpenCable Unidirectional Receiver A cable receiver that does not include a return data channel or a user inte and is compatible with the profile defined by this specification. | |
|---|--|
| Card A PCMCIA card distributed by cable providers and inserted into a Ho enable reception of premium services without a separate cable receive called CableCARD Device and "Point of Deployment" (POD) modul | |
| CA-Scrambling Video that has been scrambled by the cable operator. | |
| Digital Receiver Interface | A content transport and Command & Control protocol between the Unidirectional Cable Receiver and the Home Media Server implemented over an IP interface. |
| Digital Rights Management | A technology that controls access to services by preventing the unauthorized sharing or copying of digital content, and associating the use or viewing of content to specific individuals, operating systems, or hardware. |
| DRIT Digital Receiver Interface Transcorder. OCURs are a version of DRIT | |
| Home Media Server A Home Media Server is a system that employs one or more OCURs to se store, distribute and/or render cable audio-visual programming services. | |

4 ABBREVIATIONS AND ACRONYMS

This specification uses the following abbreviations:

ACCI Aggregate Content Control Instructions

AES Advanced Encryption System

APS Analog Protection System

CA Conditional Access

CCI Copy Control Information

CCCP CableCARD Copy Protection

CGMS-A Copy Generation Management System – Analog

DES Data Encryption Standard

DRI Digital Receiver Interface

DRM Digital Rights Management

DVI Digital Video Interface

DVS Digital Video Subcommittee

EAS Emergency Alert System

EPG Electronic Program Guide

EPN Encryption Plus Non-assertion

FAT Channel Forward Application Transport Channel

FDC Forward Data Channel

HMS Home Media Server

IP Internet Protocol

MAC Media Access Control

MMI Man Machine Interface

MPEG Moving Picture Experts Group

MPTS Multiple Program Transport Stream – as defined in ISO13818-1

NTSC National Television System Committee

OCUR OpenCable Unidirectional Receiver

1

CONTROL STREAM CA data received in a Forward Data Channel. (Sometimes referred to the OOB)

PAT Program Association Table

PMT Program Map Table

RF Radio Frequency

SCTE Society of Cable Telecommunications Engineers

SI System Information

SRM A System Renewability Message that is used to revoke an instance of DRM

implementation. **Note this SRM should not be confused with the SRM defined in

the HDCP and DTCP specification.

SPTS Single Program Transport Stream – as defined in ISO13818-1

STT System Time Table

VCPS Video Content Protection System

VGA Video Graphics Adaptor

XDS Extended Data Service

5 OVERVIEW OF CORE SERVICES AND FUNCTIONALITIES

5.1 OCUR components

This section describes the core services that OCURs shall support as well as the core functions required to implement those services. A block diagram of the OCUR components is shown in Figure 5.1-1.

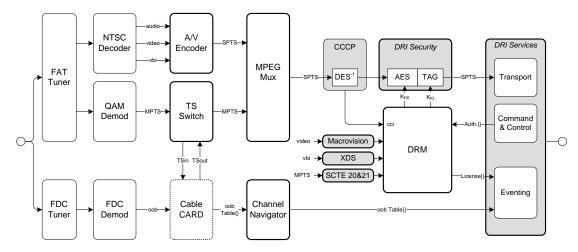


Figure 5.1-1 - Block Diagram of the OCUR (Informative)

Operational and security components are described below:

- **A/V Encoder** This block receives an NTSC signal and performs an audio/video compression operation, as defined by this specification.
- **MPEG Mux** This block creates an MPEG-2 Single Program Transport Stream (SPTS) with consistent PSI/SI that complies with [ISO 13818-1].
 - 1. If the OCUR is tuned to a digital channel; this block positively filters the selected program and its associated tuning space information.
 - If the OCUR is tuned to an analog channel; this block combines the audio and video components and creates a PAT and a PMT tables compliant with the cable channel map.
- Channel Navigator This block receives channel map and emergency alert tables from the Card to provide the following services:
 - 1. Defines applicable tuning parameters for a DRI tune request based on virtual channel number.
 - 2. Defines applicable tuning parameters for a Card firmware upgrade request.
 - 3. Caches all Control Stream tables and forward them through the DRI connection upon request.
- DRI Security This block defines a common scrambling mechanism for the DRI connection
 independently of the selected DRM system. Most DRM systems are based on the AES algorithm
 standardized by the U.S. National Institute of Standards and Technology (FIPS PUB 197).
- CCCP This block performs the task of Card authentication, CP session key generation and Copy
 Control Information (CCI) management as defined per [CCCP]. It provides CCI parameters to the
 DRM block for selection of the appropriate license.
- **Macrovision** This block extracts the Macrovision state of the NTSC signal and transfers the equivalent APS bits to the DRM block. These values never conflict with the CCCP CCI states, which are only provided while tuned to a digital channel.

- **XDS** This block analyses line 21 Field 1 and 2 of the NTSC VBI interval and extracts the CGMS-A bits. The DRM system is responsible for preserving these bits in order to re-insert them on the analog outputs. These values never conflict with the CCCP CCI states, which are only provided while tuned to a digital channel.
- **DRM** This block performs the function of a CableLabs-approved DRM transmitter.
- DRI Command & Control Service This block exposes all the controls and commands to discover, reserve and use the OCUR.
- **DRI Transport Service** This block receives a DRM-protected SPTS from the DRI Security block. Every transport interval, it creates an RTP/UDP packet and sends it to HMS. Optional modes based on native isochronous channels of the digital bus are also permitted.
- **DRI Eventing Service** This block sends asynchronously all OCUR events, such as the DRM licenses, the FDC channel map tables and the Card changes of status.

5.1.1 Core Services (Informative)

The following services can be provided by the Core Requirements for OCURs:

- Support of NTSC audio-visual programming (unscrambled) by encoding to an SPTS as defined by this specification
- Support of digital audio-visual programming utilizing MPEG-2 main profile @ main level and main profile @ high level video and Dolby AC-3 audio including broadcast (unscrambled), subscription-based (scrambled), music channels and other services.

5.1.2 Core Functions and Features (Informative)

The features and functions of the OCUR necessary to support the core services include the following:

- Input range of 54-864 MHz or greater, analog and digital (64/256 QAM) tuning and demodulation
- Closed Caption detection for NTSC audio-visual programming according to [CEA-608] and conversion to [SCTE 21] for transmission across the DRI output
- DRM content protection on DRI output [DRI]
- Emergency Alert System detection of [SCTE 18] for transmission on DRI output
- QPSK Control Stream receiver compliant with [SCTE 55-2] and [SCTE 55-1]
- Card digital interface (see OpenCable CableCARDTM Interface 2.0 Specification [CCIF]) in one or both S-Mode or M-Mode.
- OpenCable CableCARD Copy Protection 2.0 Specification [CCCP] in one or both S-Mode or M-Mode.

5.2 General Compliance (Normative)

Any features of an OCUR mandated by law or FCC regulation (e.g., Emergency Alert System, V-Chip) SHALL be supported in the Core Requirements for all OCURs.

REQ1 The OCUR manufacturer SHALL confirm compliance with all applicable FCC rules and regulations as found in [47CFR15] and [47CFR76].

REQ2 The OCUR manufacturer SHALL confirm compliance with all applicable UL rules and regulations as found in Table 12–1 - Environmental / Mechanical Requirements.

REQ3 The OCUR SHALL comply with the specifications described in

REQ3.1 Table 7.3-1 - Analog and FAT Channel: RF Performance Parameters (0° - 40° C)

REQ3.2 Table 7.3–2 - FDC Channel: RF Performance Parameters (0 $^{\circ}$ - 40 $^{\circ}$ C)

REQ3.3 Table 7.3-3 - Adjacent Channel Characteristics

6 SECURITY

REQ7

This section describes requirements for copy protection of video programs, security of video streams, conditional access to video streams, and security of transmitted data.

6.1 Conditional Access

REQ4 The OCUR SHALL utilize the Card to perform the following Conditional Access (CA) functions as defined in [CCCP]: CA decryption, authorization, entitlement and Copy Protection encryption.

REQ5 The CA Functions SHALL be implemented in the Card and not in the OCUR.

REQ6 If CA functionality is present in the OCUR, it SHALL be disabled under all circumstances, including the absence of a Card.

The OCUR SHALL implement all aspects of Copy Protection binding and key generation, according to [CCCP], locally except for presentation of the MMI.

6.2 Certificate Storage and Management

REQ8 The OCUR SHALL store the various certificates and any associated private/public keys as defined in sections 5.8 Host and CableCARD Certificate Storage and Management, 6.1.2 CableLabs Code Verification Root CA Certificate, 6.1.3 CableLabs Code Verification CA Certificate and 6.1.6 CableLabs Application Code Verification CA Certificate of the OpenCable System Security Specification [OC-SEC].

REQ1588 If the OCUR implements more than one CHI, the OCUR SHALL have and employ a unique OpenCable Host Certificate for each CHI.

6.3 Analog Program Copy Protection

REQ9 The OCUR SHALL reset all analog copy protection status after a channel change.

REQ10 The OCUR SHALL detect the analog copy protection status of the selected NTSC channel and protect it according to Annex A.

6.4 Digital Program Copy Protection

REQ11 The OCUR SHALL implement one or both S-Mode or M-Mode as defined by OpenCable CableCARD Copy Protection 2.0 Specification [CCCP].

REQ12 The OCUR SHALL NOT change the CCI value used to control content output except as instructed by the Card or when reset after a channel change.

REQ13 The OCUR SHALL detect the digital copy protection status of the selected digital program and protect in accordance with Annex A.

6.5 DRI Content Protection

REQ14 The OCUR SHALL permit output of content only under the effective protection of a CableLabs-approved DRM system, whose DrmUUID value is not listed in the Drm revocation list, in accordance with Annex B.

6.6 HMS Association Recording

REQ15 The OCUR SHALL register in non-volatile memory the HMS Association parameters listed in Table 6.6–1 HMS Association Record for the latest sixteen DRM-paired HMS devices.

Table 6.6-1 - HMS Association Record

| | Parameter | # of bits | Description | |
|---|--------------------|-----------|--|--|
| 1 | drm_guid | 128 | Global Unique Identifier for the DRM system | |
| 2 | hms_association_id | 160 | HMS / OCUR unique association identifier provided by the DRM system | |
| 3 | drm_pairing_time | 64 | UTC time of the latest successful DRM pairing operation based on STT received from Card. | |

REQ16 When the Card is present, the OCUR SHALL erase the HMS Association record that has the oldest drm_pairing_time if the maximum number of records have been filled and a new HMS device is successfully DRM-paired.

REQ17 When the Card is present, the OCUR SHALL update the hms_pairing_time parameter every time it pairs with a registered HMS.

7 UNIDIRECTIONAL PHYSICAL LAYER CHARACTERISTICS

7.1 RF Interface

REQ18

The mechanical and electrical interface between the cable plant and the OCUR SHALL be as defined in section 3 Mechanical and Electrical Interface of [CEA-23] with the additional requirements specified in the remainder of this document.

7.1.1 Maximum Individual Carrier Amplitude

REQ19

The OCUR SHALL be capable of meeting the FAT and FDC channel performance requirements in the presence of interfering signals where the maximum rms value of any individual interfering signal does not exceed the following limits (measured across 75Ω):

REQ19.1 0.5 MHz to 42 MHz +42 dBmV REQ19.2 42 MHz to 52 MHz 0 dBmV REQ19.3 52 MHz to 54 MHz -17 dBmV

REQ19.4 The maximum rms value of any individual signal whose frequency exceeds 54 MHz is less than +20 dBmV across a 75 ohm terminating impedance measured at the input to the OCUR.

7.2 Communication Channels

REQ20 The OCUR SHALL have the following communication channels:

REQ20.1 Forward Application Transport (FAT) channels, which carry MPEG-2 Program Streams, or NTSC signals, which may contain closed caption data in the Vertical Blanking Interval

REQ20.2 Forward Data Channel (FDC)

7.2.1 Forward Application Transport (FAT) Channel

The forward application transport channel is a 64 or 256 Quadrature Amplitude Modulation (QAM) channel according to [SCTE 07] that transports approximately 27 or 39 megabits/second, respectively. The OCUR is instructed to tune to a particular FAT channel when a subscriber requests a service that requires transport on a FAT channel. FAT channels that are present on the cable plant will adhere to the STD, HRC or IRC frequency plans of [CEA-542-B] and can be located anywhere in the 54 to 864 MHz range.

REQ21 The OCUR SHALL receive signals that are compliant with [SCTE 07] for the transmission physical layer modulation, coding, synchronization, and Forward Error Correction and present the requested data stream on the DRI connection in accordance with [DRI].

REQ22 The OCUR SHALL decode the Forward Application Transport channel over the range of input parameters as defined in Table 7.3–1 Analog and FAT Channel: RF Performance Parameters (0° - 40° C) and present the requested data stream on the DRI connection in accordance with [DRI].

REQ23 The Forward Application Transport tuner SHALL have a frequency range of 54 to 864 MHz.

7.2.2 NTSC Channels

NTSC channels will adhere to the STD, HRC or IRC frequency plans of [CEA-542-B] and can be located anywhere in the 54 to 864 MHz range.

REQ24 The OCUR SHALL receive and present on the DRI compliant with supported transport stream interface data rates of 26.97035 Mb/s and 38.81070 Mb/s for all existing unscrambled analog channels that meet the following conditions: NTSC RF AM-VSB

modulated in accordance with FCC rules, adhering to one of the STD, HRC or IRC frequency plans of [CEA-542-B] and located in the 54 to 864 MHz range.

7.2.2.1 Vertical Blanking Interval

The Vertical Blanking Interval (VBI) contains data on line 21 of an analog television signal. During this period, the cable headend can insert VBI data signals on VBI line 21 for closed captioning. VBI data can be inserted within field 1, field 2, or both, on any analog channel operating in the 54 to 864 MHz range.

REO25

REQ30

The OCUR SHALL detect closed captions, text mode data services, and extended data services data contained in line 21 of the VBI (field 1 and 2) of NTSC signals as defined by [CEA-608], and convert them into [SCTE 21] format and make available on the DRI connection [DRI].

7.2.3 CA Signaling on Forward Data Channel

7.2.3.1 Forward Data Channel

The FDC receiver RF front end provides the generic QPSK physical layer common to the OpenCable choices.

REQ26 The OCUR SHALL be capable of receiving a Forward Data Channel with parameters defined in [SCTE 55-1] and [SCTE 55-2] and passing the demodulated signal to the Card per [CCIF].

REQ27 The OCUR SHALL receive and demodulate signals compliant with [SCTE 55-2] and [SCTE 55-1] for the FDC transmission physical layer modulation and differential coding and present the data stream on the Card interface with a bit error rate (BER) of 2.5e-06 or less.

REQ28 The OCUR SHALL demodulate the Forward Data Channel over the range of input levels as defined in Table 7.3–2 - FDC Channel: RF Performance Parameters (0° - 40° C).

REQ29 The Forward Data Channel tuner SHALL have a frequency range of 70 to 130 MHz and be able to tune any nominal carrier frequency defined in item 4 of Table 7.3–2 - FDC Channel: RF Performance Parameters (0° - 40° C) as directed by the Card.

The OCUR SHALL receive and demodulate digital QPSK FDC signals with a signal level that is \pm 15 dBmV within the minimum channel spacing as specified in [SCTE 55-1] and [SCTE 55-2] and present the data stream on the Card interface with a bit error rate (BER) of 2.5e-06 or less.

7.3 Physical Layer Specifications

7.3.1 In-Band Downstream Channel, FDC Characteristics and RF Performance

The OCUR SHALL meet all performance requirements specified in Table 7.3–1- Analog and FAT Channel: RF Performance Parameters (0° - 40° C) and Table 7.3–2 - FDC Channel: RF Performance Parameters (0° - 40° C) while operating with the downstream transmission characteristics defined by [SCTE 40].

REQ32 The OCUR SHALL use a female "F" connector meeting [SCTE 01] for the RF input.

REQ33 The "F" connector for RF input on the OCUR SHALL have a "Cable In" label.

Table 7.3–1 - Analog and FAT Channel: RF Performance Parameters (0° - 40° C)

| 1. | RF Input Channel Bandwidth | 6 MHz |
|----|----------------------------|--|
| 2. | RF Input Tuning Range | 54 MHz to 864 MHz IRC/HRC/Standard Channel Plans |
| | | 6 dB minimum over full tuning range |
| | | 75 ohm unbalanced |

| 5. | RF Input Level Range | Analog visual carrier(c) from 0 dBmV minimum to +15 dBmV maximum; Analog aural carrier from -10 to -17 dBc; Digital 64 QAM signal from -15 dBmV to +15 dBmV; Digital 256 QAM signal from -12 dBmV to +15 dBmV | |
|-----------------------------------|---|---|--|
| 6. | AFC Range | Better than ±125 kHz or nominal tuning resolution of 62.5 kHz | |
| 7. | LO Leakage (Input EMC) | -37 dBmV over 54 MHz to 864 MHz | |
| 8. | Signal Leakage/RFI | Per [47CFR15] | |
| 9. | Group Delay Variation Tolerance | ≤ 0.25 µsec/MHz across the 6-MHz channel | |
| 10. | Phase Noise Tolerance | ≤ -88 dB/Hz @ 10 kHz offset (relative to the center of QAM signal spectrum) | |
| 11. | Amplitude Ripple Tolerance: Digital channels Analog channels | ≤ 5 dB p-p within the 6 MHz channel ≤ 4 dB p-p within the 6 MHz channel | |
| 12. | Microreflection Tolerance_ (assumes one dominant echo with max. specified amplitude in dB relative to the primary QAM signal) | -10 dB at < 0.5 μsec -15 dB at < 1 μsec -20 dB at < 1.5 μsec -30 dB at < 4.5 μsec Echoes > 4.5 μsec (see Note 1) | |
| 13. | Burst Noise Tolerance | Not longer than 25 µsec at 10 Hz repetition rate | |
| 14. | 14. Image Rejection Image response less than 60 dBc at final IF or baseba output, 54 to 714 MHz | | |
| | | Image response less than 50 dBc at final IF or baseband video output, 714 to 860 MHz | |
| 60dB standard to apply at 714 MHz | | 11 0 | |
| | | Two equal power CW signals, +15 dBmV | |
| | | $F_{\text{image}} = F_{\text{desired}} + 90 \text{ MHz}$ | |
| 15. | Spurious Emissions, 5 – 864 MHz | < -37 dBmV | |
| | | | |

Table Notes:

1. Micro-reflection longer than 4.5 microseconds rarely occur in conventional cable television systems. Moreover, very low-level micro-reflections (e.g., -40dB) longer than 4.5 microseconds cannot be measured reliably with readily available instruments. Studies on the subject of long Micro-reflections are continuing, which may result in quantifying this parameter at a future date.

Transmission Rate 1.544/3.088 Mbps [SCTE 55-2] 1. 2.048 Mbps [SCTE 55-1] 2. RF Input Channel Spacing 1.0/2.0 MHz [SCTE 55-2] 1.8 MHz [SCTE 55-1] RF Input Tuning Range 70 MHz to 130 MHz 3. 4. Nominal carrier frequency Any integer multiple of 250 kHz between the minimum and maximum carrier frequencies, inclusive and the specific fixed frequency of 104.200 MHz. +/-50 ppm 5. Frequency acquisition range 6. RF Input level range -15 to +15 dBmV rms (75 ohms) (See Note 1) 7. Differential Encoding The differential encoder SHALL accept bits (A, B) in sequence and generate phase changes as follows: A B Phase Change default alternative 0 0 none none 0 1 +90 deg -90 deg 1 0 -90 deg +90 deg 1 1 180 deg 180 deg 200 ns max in channel, measured over Nyquist 8. Group Delay variation tolerance bandwidth 9. Channel Tune / Carrier acquisition < 500ms time

Table 7.3–2 - FDC Channel: RF Performance Parameters (0° - 40° C)

7.3.1.1 RF Signal Levels and Adjacent Channel Characteristics

7.3.1.1.1 RF Signal Levels

REO34 The

The OCUR SHALL be capable of receiving an analog signal with a visual signal level that is within \pm 3 dB of the visual signal level of any adjacent analog channel (within a 6 MHz nominal frequency separation as specified in [47CFR76].

To determine the adjacent channel characteristics between digital and analog signals, the following information is provided. The nominal relative carrier power levels for analog and digital signals are given by:

Analog channel: 0 dBc (reference level)

256 QAM FAT: -5 ± 2 dBc QPSK FDC: -8 ± 5 dBc 64 QAM FAT: -10 ± 2 dBc

REQ35

The OCUR SHALL be capable of receiving a digital signal with an average RMS signal power that is within \pm 6 dB of its nominal level with respect to the nominal level of the adjacent channel digital or analog signal.

It is noted that the nominal carrier power levels provided above fall within the absolute power range for digital signals, -15 dBmV to +15 dBmV. The nominal analog signal power is measured as the peak envelope power (PEP), which is the average of all the analog RMS carrier power levels measured during horizontal sync level. The nominal digital signal power is measured as the average of all the digital RMS signal power levels.

7.3.1.1.2 Adjacent Channel Characteristics

REQ36

The OCUR SHALL be capable of receiving digital and analog signals with "Worst Case" Adjacent Channel performance as characterized in Table 7.3–3 - Adjacent Channel Characteristics.

Table 7.3-3 - Adjacent Channel Characteristics

| | Desired (D) Channel Modulation | Undesired (U) Adjacent Channel Modulation | Worst Case D/U Ratio* |
|-----|-----------------------------------|--|-----------------------|
| 1. | Analog NTSC | 64-QAM | -1 dB |
| 2. | Analog NTSC | 256-QAM | -6 dB |
| 3. | Analog NTSC | QPSK FDC | -6 dB |
| 4. | 64-QAM | Analog NTSC | -21 dB |
| 5. | 64-QAM | 256-QAM | -21 dB |
| 6. | 64-QAM | QPSK FDC | -21 dB |
| 7. | 256-QAM | Analog NTSC | -16 dB |
| 8. | 256-QAM | 64-QAM | -11 dB |
| 9. | 256-QAM | QPSK FDC | -16 dB |
| 10. | QPSK FDC | Analog NTSC | -22 dB |
| 11. | QPSK FDC | 64-QAM | -17 dB |
| 12. | QPSK FDC | 256-QAM | -22 dB |

^{*} Independent of the D/U ratios, the C/(N+I) and the absolute signal levels range shall meet the requirements for those parameters as described elsewhere in the specification.

7.3.1.1.3 Ranges for Digital Signals

REQ37

Independently of meeting the requirements specified in Sections 7.3.1.1.1 and 7.3.1.1.2 above, the OCUR SHALL tune and receive digital signals that fall within the ranges specified in Table 7.3–1 - Analog and FAT Channel: RF Performance Parameters (0° - 40° C) (QAM signals Item 2) and Table 7.3–2 - FDC Channel: RF Performance Parameters (0° - 40° C) (QPSK FDC signals Item 3).

REQ38

Independently of meeting the requirements listed in 7.3.1.1.1RF Signal Levels and 7.3.1.1.2 Adjacent Channel Characteristics, the OCUR SHALL be capable of receiving digital 64QAM with characteristics:

REQ38.1 Level = -10 dBmV on channel 82

REQ38.2 Interleaver depth of greater than or equal to I=64 (J=2)

REQ38.3 33 dB C/N

REQ38.4 -18 dB ghost at 0.5 us

REQ38.5 25 us burst noise not greater than -15 dBmV at 10 Hz rep rate

REQ39

Independently of meeting the requirements listed in 7.3.1.1.1 RF Signal Levels and 7.3.1.1.2 Adjacent Channel Characteristics, the OCUR SHALL be capable of receiving digital 256QAM with characteristics:

REQ39.1 Level = -7 dBmV on channel 82

REQ39.2 Interleaver depth of greater than or equal to I=64 (J=2)

REQ39.3 36 dB C/N

REQ39.4 -18 dB ghost at 0.5 us

REQ39.5 16 us burst noise not greater than -12 dBmV at 10 Hz rep rate

7.3.1.1.4 Spurious Emissions from the OCUR

REQ40 The OCUR SHALL limit all spurious emissions according to item 15 in Table 7.3–1- Analog and FAT Channel: RF Performance Parameters (0° - 40° C).

8 CARD INTERFACE

The OCUR provides an interface to the Card to facilitate the processing of digital information, which is received over the forward application transport (FAT) channel and the forward data channel (FDC).

The interfaces between the OCUR and the Card are described in [CCIF] and referenced in Section 6.4.

REQ41 The OCUR SHALL implement the Unidirectional Host Model in one or both S-Mode or M-

Mode as specified in the following sections of [CCIF]:

REQ41.1 7.0 Physical Interface

REQ41.2 9.0 Command Channel Operation

REQ41.5 10.6 Link Layer

REQ41.3 Annex B Error Handling

REQ41.4 Annex E DownloadIndicator Message Detail for Common Download (Normative)

REQ42 The OCUR SHALL support transport stream interface data rates of 26.97035 Mb/s and

38.81070 Mb/s averaged over the period between the sync bytes of successive transport

packets with allowable jitter of +/- one MCLKI clock period.

REQ101 The OCUR SHALL NOT utilize signals ITX, QTX, ETX, and CTX.

REQ44 The OCUR SHALL NOT report a Low Speed Communication resource identifier.

8.1 OCUR Functionality with Unscrambled Content

The OCUR needs to function without a Card and process the information received via the NTSC and FAT channels directly.

The OCUR needs to have the following minimum performance characteristics without the Card:

REQ1244 The OCUR SHALL encode and deliver to the DRI received analog television programming

in one of the video formats defined in [SCTE 43] with audio encoded as either MPEG-1 Level 2 as defined by [ISO-13818-3] with support for the minimum configuration defined in [DRI], or AC-3 as defined by [A/53C], compliant with [DRI], and representative of the

source content.

REQ1245 The OCUR SHALL make available on the DRI connection [DRI] unscrambled digital

standard- and high-definition audio-visual in the formats defined in [SCTE 43].

8.2 OCUR Functionality without a Card

REQ49 When the OCUR is operating without a Card, any channel map created from Control

Stream data while previously operating with a Card SHALL NOT be used.

8.3 Man Machine Interface (MMI) Support

The OCUR needs to be capable of operating in a unidirectional system and support copy protection in this operational case. As defined in [CCCP], for a unidirectional system, the copy protection system performs ID reporting utilizing the MMI resource.

REQ50 The OCUR SHALL support the MMI resource defined in [CCIF].

REQ51 The OCUR SHALL support the MMI protocol defined in [DRI].

8.4 Software Download

| REQ52 | The OCUR SHALL download and install a single monolithic code image to replace the OCUR's operating code based on the One-way Broadcast protocols specified in [CCIF] and security systems specified in [OC-SEC]. |
|-------|--|
| REQ53 | The OCUR SHALL receive SRM defined in Annex B based on the One-way Broadcast protocols with OOB delivered CVT, specified in [CCIF] and security systems specified in [OC-SEC]. |
| REQ54 | The OCUR SHALL securely download and install a single monolithic code image to replace the OCUR's operating code delivered from the DRI. |
| REQ55 | The OCUR SHALL add into the Drm_revocation_list any Drm_UUID(s) with a revocation_status = 01 when received through a SRM defined in Annex B. |
| REQ56 | The OCUR SHALL remove from the Drm_revocation_list any Drm_UUID(s) with a revocation_status = 00 when received through a SRM defined in Annex B. |
| REQ57 | The OCUR SHALL store the $\operatorname{Drm_revocation_list}$ into non-volatile memory every time it is updated. |
| REQ58 | The OCUR SHALL event a URL to notify the user via the [DRI] of all DRMs it supports, whenever a DRM is added, removed, renewed or revoked as a result of software download. |

8.5 Digital Receiver Interface (DRI) Output

The OCUR provides an interface to the Home Media Server that allows a secure control of its navigation and security services over an IP network. Other types of digital outputs are not permitted on an OCUR.

The Digital Receiver Interface protocol between the OCUR and the home media server is described in the OpenCable Digital Receiver Interface Specification [DRI].

| REQ59 | The OCUR SHALL include a digital output in compliance with the Digital Receiver Interface specification [DRI]. |
|-------|--|
| REQ60 | The OCUR SHALL NOT include any other OpenCable defined outputs. |

9 VIDEO

9.1 Analog Video

The OCUR will be introduced into an environment containing many existing analog channels. The OCUR will be able to receive analog services that are unscrambled. Analog video and audio will be NTSC-decoded in accordance with current cable-system practice and applicable FCC rules.

9.2 Digital Video

The OCUR is required to handle all digital transport streams according to the following requirements:

9.2.1 MPEG-2 Transport

| REQ61 | The OCUR SHALL support System Information tables provided in [SCTE 65] for the |
|-------|--|
| | navigation function. |

REQ62 The OCUR SHALL acquire and make available on the DRI a Digital Service contained within the same multiplex within 1.5 seconds.

REQ63 The OCUR SHALL acquire and make available on the DRI a Digital Service contained within a different multiplex within 2.0 seconds.

REQ64 The OCUR SHALL store the [SCTE 65] system information tables required for program navigation in non-volatile memory.

9.2.2 FAT Delivered Service/System Information

REQ65 The OCUR SHALL make available upon request on the DRI connection [DRI] in-band System and Service Information for programs that are transported unscrambled in accordance with Section 5.5 Constraints on PSI of [SCTE 54].

9.2.3 Service/System Information provided by Card

REQ66 The OCUR SHALL make available upon request on the DRI connection [DRI] Service/System Information provided by the Card or found In-band.

The set of MPEG-2 tables required to support the navigation function in the OCUR conforms to one or more of the profiles specified in [SCTE 65].

REQ67 The OCUR SHALL be able to extract the channel map used for program navigation from all profiles specified in [SCTE 65].

9.2.4 Digital Television (DTV) Closed Captioning

REQ69 The OCUR SHALL make available on the DRI connection [DRI], NTSC closed captioning information, when present in line 21 of the VBI as specified in [CEA-608], in the MPEG-2 Picture Level user data transported according to [SCTE 21].

REQ70 The OCUR SHALL make available on the DRI connection [DRI], Digital Television closed captioning (DTVCC) information when present in the MPEG-2 Picture Level user_data, as specified in section 9 of [CEA-708] and transported according to [A/53C] (with cc_type 10 or 11).

REQ71 The OCUR SHALL make available on the DRI connection [DRI] the caption_service_descriptor, as defined in [A/65B] and carried in either the PMT of the inband MPEG-2 transport stream or passed across the Card Extended Data Channel according to [CCIF].

9.2.5 Digital Television (DTV) Content Advisory Information

To support the interoperable availability of content advisory information for OCURs and/or Cards, OpenCable specifies the use of MPEG-2 Picture Level user_data found in [SCTE 21], the content_advisory_descriptor passed across the Extended Data Channel, or the content_advisory_descriptor

found in section 6.7.4 of [A/65B]. The syntax follows Table 6.18 in that reference. This descriptor is placed in the Program Map Table (PMT) as permitted in Table 6.16 of [A/65B] in accordance with the standard descriptor mapping for the TS program map section() found in [ISO 13818-1].

The only rating region currently defined for OpenCable use is Region One (value 0x01 for the rating_region field). Semantics for the coding of the fields found in the PSIP Content Advisory Descriptor follow the rules given in section 6.74 of [A/65B].

REQ72 The OCUR SHALL make available on the DRI connection [DRI] content advisory

information as specified in [CEA-608] when such information is transported according to

[SCTE 21] or [SCTE 20].

REQ73 The OCUR SHALL convert and make available on the DRI any [CEA-608] NTSC content

advisory information as an [A/65B] compliant content_advisory_descriptor in PMT tables

as defined in Table 9.2–1- Content advisory conversion table.

Table 9.2-1 - Content advisory conversion table

| | ATSC Descriptor | | 608B Descriptor | | | | | |
|---------------------|-----------------|---------------|------------------------|-------------------------------|--|--|--|--|
| Rated_ Dimension | Rating _region | Rating _value | Rating Level | Attributes | | | | |
| 0 | US_TV | 1 | US_TV_None | | | | | |
| | | 2 | US_TV_G | | | | | |
| | | 3 | US_TV_PG | | | | | |
| | | 4 | US_TV_14 | | | | | |
| | | 5 | US_TV_MA | | | | | |
| 1 | US_TV | 1 | | Sexually Suggestive Dialog | | | | |
| 2 | US_TV | 1 | | Adult Language | | | | |
| 3 | US_TV | 1 | | Sexual Situation | | | | |
| 4 | US_TV | 1 | | Violence | | | | |
| 5 | US_TV | 1 | US_TV_Y | | | | | |
| | | 2 | US_TV_Y7 | | | | | |
| 6 | US_TV | 1 | | Fantasy Violence | | | | |
| 7 | MPAA | 1 | MPAA_ NotApplicable | | | | | |
| | | 2 | MPAA_G | | | | | |
| | | 3 | MPAA_PG | | | | | |
| | | 4 | MPAA_PG13 | | | | | |
| | | 5 | MPAA_R | | | | | |
| | | 6 | MPAA_NC17 | | | | | |
| | | 7 | MPAA_X | | | | | |
| | | 8 | MPAA_NotRated | | | | | |

REQ74 The OCUR SHALL make available on the DRI connection [DRI] the content advisory information as defined by [A/65B] and [CEA-766-A] when such information is transported in either the PMT of the in-band MPEG-2 transport stream or passed across the Card Extended Data Channel according to [CCIF].

REQ103 The OCUR SHALL have the U. S. RRT (Region Rating Table for Region One) defined in [CEA-766-A] either embedded as part of the firmware or stored in non-volatile memory, such that the table is always available for use when needed.

REQ76 The U.S. RRT SHALL be the default RRT for all OCURs. It is noted that this approach is consistent with that specified in Annex C.1 of [SCTE 65].

9.2.6 Digital Television (DTV) Emergency Alert Service (EAS)

REQ77 The OCUR SHALL make available on the DRI connection [DRI] EAS messages as defined in [SCTE 18].

REQ1246 The OCUR SHALL make available on the DRI connection [DRI] any EAS message found In-Band upon proper initialization of the Mux Service.

10 OCUR POWERING STATES

Once the OCUR has power applied and has performed Card installation and initialization, it always has access to cable network services through the Control Stream channel for receipt of messages, alarms, or notifications. Thus, when the OCUR is "On" (from the subscriber's perspective), it is fully active and providing services to the connected HMS. When it is "Off", it still maintains cable network connectivity and thus is still consuming power and running the processor, operating system, and navigator shell.

The OCUR SHALL re-acquire the FDC after being disconnected from and then REQ78

reconnected to power.

REQ79 The OCUR SHALL re-acquire the FAT and FDC after being disconnected from and then

reconnected to RF cable connection.

REQ1247 The OCUR SHALL enter background mode when the OCUR is not streaming.

The operation of the OCUR in background mode is not defined in this document.

10.1 **Card Background Mode Power Management**

REQ80 The minimum power requirements for Background mode SHALL include the following:

REQ80.2 The OCUR FDC receiver circuitry SHALL be fully powered when a Card is

inserted.

REQ80.1 The Card SHALL be fully powered when present.

11 OCUR DIAGNOSTICS

The following requirements define the self-reporting diagnostic parameters that the OCUR use to identify its working state.

REQ84 The OCUR SHALL be capable of performing self-diagnostics and displaying a minimum

set of diagnostics via LED that includes:

REQ84.1 REQ84.pending1 REQ85.1 OCUR power status

REQ84.2 REQ84.pending2 REQ85.2 OCUR boot status

REQ84.3 REQ84.pending3 REQ85.3 Indication of fatal error (e.g., Checksum error)

REQ86 The OCUR SHALL provide the following diagnostic information to the DRI connection

[DRI] and to the CableCARD device interface [CCIF] if requested.

REQ86.1 OCUR power status

REQ86.2 OCUR boot status

REQ86.3 OCUR memory allocation

REQ86.4 Software version numbers of code in the OCUR

REQ86.5 Firmware version REQ86.6 MAC addresses

REQ86.7 OCUR network addresses

REQ86.8 Status of FDC REQ86.9 Status of FAT

REQ86.10 Current channel status

REQ100 NOTE: These diagnostics MAY also be displayed on the LED.

REQ83 The OCUR SHALL report any requested self diagnostics identified in this section to the

Card through the Generic Diagnostic Support resource.

The following subsections describe the self-diagnostics parameters, specified above, that are reported to the Card and made available on the DRI connection [DRI].

11.1 Memory Resources

REQ87 The OCUR SHALL be capable of reporting memory allocation results that SHALL include, but are not limited to:

REQ87.1 Type of memory being reported (ROM, DRAM, SRAM, Flash, and NVM)

REQ87.2 Physical size of memory type (in kilobytes, defined to 1024 bytes)

11.2 Software Versions

REQ88 The OCUR SHALL be capable of reporting software version number results, of all available applications, that SHALL include:

REQ88.1 Application's name string

REQ88.2 Application's version number

REQ88.3 Software status (active, inactive or downloading)

REQ88.4 If applicable, Application's signature

11.3 Firmware Version (OCUR)

REQ89 The OCUR SHALL be capable of reporting firmware version results that SHALL include:

REQ89.1 Firmware version number of entire firmware image

REQ89.2 Firmware's release or installation date of entire firmware image

11.4 MAC Addresses

REQ90 The OCUR SHALL be capable of reporting media access control (MAC) address results

that SHALL include:

REQ90.1 Type of devices being reported (OCUR, Card, USB, and/or Ethernet)

REQ90.2 NOTE: If multiple devices of the same type exist, then the MAC address for each device type SHALL be reported.

REQ90.3 MAC address of each reported device

11.5 OCUR Network Addresses

REQ92 The OCUR SHALL be capable of reporting network address results that SHALL include:

REQ92.1 Network address of device

REQ92.2 NOTE: If multiple network addresses exist, then each network address SHALL be reported.

11.6 Status of FDC

REQ94 The OCUR SHALL be capable of reporting forward data channel (FDC) status results that

SHALL include:

REQ94.1 FDC center frequency, in MHz

REQ94.2 Carrier lock status (e.g., LOCKED - NOT LOCKED)

11.7 Status of FAT

REQ95 The OCUR SHALL be capable of reporting forward application transport (FAT) channel status results that SHALL include:

REQ95.1 Modulation mode indicator; analog, 64 QAM, or 256 QAM

REQ95.2 Carrier lock status

REQ95.3 If the currently tuned channel is digital, then PCR lock status; the FAT channel tuner is locked or not locked to the currently tuned service

REQ95.4 Numerical estimate of the channel's signal to noise ratio in tenths of a dB

REQ95.5 Numerical estimate of the signal level in tenths of a dBmV

NOTE: When operated at nominal line voltage, at normal room temperature, the reported Level and SNR needs to be within 6 dBmV and 3 dB of the actual received channel level and SNR, respectively, for the input level range of -15 dBmV to +15 dBmV.

NOTE: Across the input level range from -15 dBmV to +15 dBmV, for any 1 dB change in input level or SNR, the OCUR needs to report a power change in the same direction that is not less than 0.5 dB and not more than 2.0 dB.

11.8 Current Channel Status

REQ98 The OCUR SHALL be capable of reporting current channel status results that SHALL

include, but are not limited to:

REQ98.1 Channel type; analog or digital

REQ98.2 Authorization status; OCUR is authorized or not authorized for currently tuned

service

REQ98.3 Purchasable status; currently tuned service may or may not be purchased

REQ98.4 Purchased status; currently tuned service is or is not purchased

REQ98.5 Preview status; currently tuned service is or is not in preview mode

11.9 DRM Pairing Message

REQ99 The OCUR SHALL be capable of reporting its current DRM Pairing Message.

12 MECHANICAL

REQ104 The OCUR SHALL provide a thermal design for the CableCard module, such that while

operating with a reference power load Card drawing an average of 2.5 Watts, no non-consumer-accessible surface point is hotter than 65°C in a room where the ambient

temperature is 40°C.

REQ105 The OCUR SHALL have a non-removable nameplate(s) or sticker(s) that includes the

following information:

REQ105.1 Vendor ID: 24-bit vendor ID represented as 3 bytes (6 hexadecimal digits).

REQ105.2 This number SHOULD be assigned by OpenCable to ensure uniqueness.

REQ105.3 Vendor Name: 40 ASCII characters maximum.

REQ105.4 Serial Number or Serial No: 40 ASCII character maximum.

REQ106 The OCUR SHALL meet all of the requirements listed in this specification without

malfunction, hardware or software failures under the conditions listed in Table 12-1.

Table 12-1 - Environmental / Mechanical Requirements

| | Parameter | Value | Internal HMS Device | Standalone |
|----|---|--|---------------------------|------------|
| 1. | Input Line Voltage | 95 to 125 VAC | | X |
| 2. | Input Line Frequency | 57 to 63 Hz | | X |
| 3. | RF Susceptibility | RF field of 2 volts/ meter from 40 MHz to 1 GHz | X | X |
| 4. | Lightning Surge Tolerance | UL 1409 voltage surge test 38.1, UL 1449, IEEE C62.41, IEEE 587 compliant. RF Input: 1.5 kV at 1kV/usec, 60 amp peak; AC line input: 6 kV, oscillatory 0.5 µsec rise time 100 kHz. | х | х |
| 5. | Electrostatic Discharge | IEC 801-2, withstand 10 discharges at 15 kV to each corner of the chassis, through a 150 pf capacitor in series with 150 ohm resistor, with device chassis grounded to ESD generator | | х |
| 6. | Brown Out Effects | No corruption of non-volatile memory due to input voltage fluctuations from nominal to zero volts | Х | Х |
| 7. | Operating Ambient Temperature and Humidity | 0° to 40° C and 5% to 95% RH non-condensing humidity | X | х |
| 8. | External Surface Temperature (with power applied, device on, and 25° C ambient temperature) | UL 1409 compliant. No external protruding surface point hotter than 50° C for metallic and 60° C for nonmetallic surfaces. No non-accessible surface point hotter than 65° C. | х | х |
| 9. | Storage Temperature (non-powered, non-operating) | -20° to +60° C | X | х |

| | Parameter | Value | Internal HMS Device | Standalone |
|-----|--|---|---------------------------|------------|
| 10. | Storage Humidity (non-powered, non- operating) | 5% to 95% RH non-condensing at 40° C | Х | х |
| 11. | Altitude | Operating: -150 to 10,000 ft. AMSL Storage: -150 to 15,000 ft. AMSL ₌ | X | х |
| 12. | Thermal Shock | Device meets all operational specs after subjection to: -40° C. for 30 minutes +25° C. for 10 minute +60° C. for 30 minutes_ | х | х |
| 13. | Humidity Shock | Mil-std-810d method 507.2: Device meets all operational specs after subjection to: raise temp to +60° C and 95% RH over 26 hrs., maintain for 6 hrs., drop to 85% RH while reducing temp to +30° C over 8 hrs., maintain +30° C and 95% RH for 8 hrs. Repeat for 10 cycles. | Х | х |
| 14. | Solvent Resistance | No external surface deformation effect of common household solvents, cleaners, waxes. | | X |
| 15. | Shipping Vibration | Fully operational after subjection to swept frequency vibration test applied in each of x, y, z planes with excursion of 0.3 inches at a frequency varied from 10 to 30 Hz back to 10 Hz done six times within 30 minutes. | х | х |
| 16. | Mounting Feet | No marks or stain to varnished wooden surface after 40° C and 95% RH exposure for 10 days under force of 1.65 lbs. | | х |
| 17. | Impact Test | Device will not develop any openings creating electrical shock risks after subjection to an impact force of 5 ft. lbs. obtained from a free fall of a 2-inch diameter solid smooth steel sphere weighing 1.18 lbs. | | х |
| 18. | Handling Drop Test | Device fully operational and not develop any openings exposing risk of electrical shock after subjection to one drop on the face of the device from a height of 20 inches onto a 2-inch thick smooth surface concrete floor. | | х |
| 19. | Strain Relief Test | For permanently attached power supply cords, device will withstand steady pull force of 35 lbs. applied to the cord. | | х |
| 20. | Non-volatile Memory Life | Non-volatile memory will have a non-powered minimum life of: 1.5 yrs storage life @ 60° C or less. | Х | х |

| | Parameter | Value | Internal HMS Device | Standalone |
|-----|---|--|---------------------------|------------|
| 21. | Microphonic Shock | Device will remain error- or interference-free (i.e., no audio pops, clicks, no data errors, no video artifacts) when subjected to tapping with a reasonable force by placing device on a hard surface without padding or mats and inducing 20 taps from knuckles, flat hands, fists, finger nails, screwdriver handles, plastic hammers to all external surfaces of the device. | х | Х |
| 22. | Physical Security/ Tamper-Resistance | Secure means of evidencing entry into the security portions of the device | X | х |

Annex A OCUR-HMS CONTENT PROTECTION REQUIREMENTS (normative)

REQ113 When paired with a CableCARD, the OCUR SHALL output content received on the Cable Input ONLY on the DRI and consistent with the tables below.

REQ114 The DRM SHALL specify usage rights (enforced pursuant to the applicable DRM compliance rules) to permit content output only as shown in the tables below.

Content Type designation key: A = Analog; D = Digital; numerical values 0,1,2, or 3 reflect the bit values of the successive content control value columns; X = ignore or don't care.

| | | | | | | | Downstream Distribution from | | | Output of Content by Devices Downstream of OCUR | | | | | | | | | | |
|---------------|------------------------------|----------------------|--|------------------|---------------------|---|--|---|------------------------------|---|-----------|---|--------------------|---------------------------------------|---|-------------------------------|--------------------------|---------|----------|---------------------------|
| An | alog TV | Signals | on OCUR's | Cable | Input | DRM | Internal | HMS o | of DRM- ed Cable ntent | Analog Composite or Component Outputs | | | | | VGA⁴ | | 1394 | / DTO | СР | |
| # | Content Type | CA Scram- bled | Macrovision Encoding on Video Signal | APS ¹ | CGMS-A ¹ | License and Encryp- tion on DRI | HMS Reten- tion Limit (min.) | To Display Onl Devices (no persistent storage)* | Charage | Macrovision Encoding on 480 line Video Signals | or CE | 8-C and IEC A-805-C end CGMS-A ² | oding ⁸ | Image Cons- Traint ³ | Max. Frame Resolution (pixels) | HDCP on DVI and HDMI | DTCP Encryp- tion? | EPN_2 | EMI | VCPS Output Allowed |
| 1 | A S | 1 | X | X | X | Not support | ed by the C | CUR device | e – This req | uirement is enforc | ed by the | OCUR spe | ecificatio | n and not | the DRM | | | | | |
| 2 | A 300 | | AGC + 4 CS | | | | | | | AGC+ 4 CS | 1,1 | | | | | | | | | |
| 3 | A 200 | 0 | AGC + 2 CS | Х | Х | Yes | 90 | | | AGC+ 2 CS | 1,0 | 1,1 | 1 | | | Yes | Yes | 1 | 1,1 | No |
| 4 | A 100 | | AGC | | | | | | | AGC | 0,1 | | | | | | | | | |
| 5 | A 33 | | | | | | | | | | | | | | | | | | | 1 |
| 6 | A 31 | 0 | none | 1.1 | X | Yes | 90 | | | AGC+ 4 CS | 1,1 | 1,1 | 1 | | | Yes | Yes | 1 | 1.1 | No |
| 7 | A 32 | Ĭ | | .,. | ^ | | 00 | | | 7.001 100 | .,. | .,. | | | | | | | .,. | |
| 8 | A 30 | | | | | | | | | | | | | | | | | | | |
| 9 10 11 | A 23 A 21 A 22 A 20 | 0 | none | 1,0 | х | Yes | 90 | Yes | No | AGC+ 2 CS | 1,0 | 1,1 | 1 | no | 520k | Yes | Yes | 1 | 1,1 | No |
| 12 | | | | | | | | 165 | | | | l T | | 110 | 320K | | | | <u> </u> | |
| 13 | A 13 A 11 | | | | | | | | | | | | | | | | | | | 1 |
| 15 | A 12 | 0 | none | 0,1 | X | Yes | 90 | | | AGC | 0,1 | 1,1 | 1 | | | Yes | Yes | 1 | 1,1 | No |
| 16 | A 10 | | | | | | | | | | | | | | | | | | | 1 |
| 17 | A 03 | | | | 1,1 | | | | | | | | | | | | | | 1,1 | No |
| 18 | A 03 | | | | 0,1 | | 90 | | No | | | 1,1 | 1 | | | | Yes | 1 | 0,1 | No No |
| 19 | A 01 | 0 | none | 0,0 | 1,0 | Yes | | | | none | 0,0 | .,. | · | | | Yes | . 55 | | 1,0 | Protected |
| 20 | A 00 | | | | 0.0 | | none | | Yes | | | 0.0 | 0 | | | | No | 0 | | Not Protected |
| 21 | A N | 0 | none | none | none | Yes | none | | Yes | none | 0,0 | 0,0 | 0 | | | Yes | No | 0 | 0,0 | Not Protected |

| | Input of Digital TV on OCUR's | | | | DRM License | Internal HMS | Downs | stream | | | Output | of Conte | nt by De | evices Dowi | nstream | of OC | JR | | | | | | | | | | | | | | |
|----------|-------------------------------|-------------------|----------------------|---------------------------|-----------------|-----------------|----------------------------------|--|--|---|--|---------------------------------------|---------------------------------------|---|------------------------------|-----------------------------|--------|-----------------------------|--------------------------|---------------------------------------|----------------------------------|-----|-----|---|----|------|-----|-----|---|-----|----|
| | Input o | | al TV on le Input | ocu | IR's | | and Encryp- tion on DRI | Reten- tion Limit (min.) | Distribut HMS of Protecte Con | f DRM- ed Cable | Analog Composite and Component Outputs | | | VGA ¹⁰ | HDCP on DVI or HDMI | 13 | 394/DT | ГСР | | | | | | | | | | | | | |
| | Content | CA- Scram- | co | Cl Valu | ie ⁷ | | | | Displau Only Devices (persistent storage not | | Macrovision Encoding on All 480 Line | | | IEC 61880, encoding ⁸ | Image Cons- | Max. Frame Resolution | | DTCP Encryp- Tion? | EPN | EMI | VCPS Output | | | | | | | | | | |
| # | Type D1 33 | Bled ⁶ | EPN | CIT 0 | APS | EMI | | | allowed)* Yes | | Video Signals | | | | Traint ⁹ | (pixels) | | | | | Allowed | | | | | | | | | | |
| 2 | D1 C33 | | | 1 | 1,1 | | | | 162 | | AGC+ 4 CS | 1,1 | | | 520k | | | | | | | | | | | | | | | | |
| 3 | D1 23 D1 C23 | 1 | X | 0 | 1,0 | 1,1 | Yes | 90 | | | AGC+ 2 CS | 1,0 | 1,1 | 1 | No 520k | 520k | Yes | Yes | 1 | 1,1 | No | | | | | | | | | | |
| 5 6 | D1 13 D1 C13 | · | ^ | 0 | 0,1 | .,. | 100 | | | | AGC | 0,1 | .,, | · | No 520k | 020K | 100 | 100 | · | .,. | 110 | | | | | | | | | | |
| 7 | D1 03 D1 C03 | | | 0 | 0,0 | | | | | | none | 0,0 | | | No 520k | | | | | | | | | | | | | | | | |
| 9 | D1 31 D1 C31 | | | 0 | 1,1 | | | | | | AGC+ 4 CS | 1,1 | | | No 520k | | | | | | | | | | | | | | | | |
| 11 | D1 21 D1 C21 | | | 0 | 1,0 | | | | | | AGC+ 2 CS | 1,0 | | | No 520k | | | | | | | | | | | | | | | | |
| 13 | D1 11 | 1 | Х | 0 | 0,1 | 0,1 | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | Yes | 90 | | No | AGC | 0,1 | 1,1 | 1 | No | 520k | Yes | Yes | 1 | 0,1 | No |
| 14 15 | D1 C11 D1 01 | | | 0 | 0,0 | | | | | | none | 0,0 | | | 520k No | | | | | | | | | | | | | | | | |
| 16 17 | D1 C01 D1 32 D1 C32 | | | 0 | 1,1 | | | | - | | AGC+ 4 CS | 1,1 | | | 520k No | | | | | | | | | | | | | | | | |
| 18 | D1 22 | | | 0 | 1,0 | | | | | | | | | AGC+ 2 CS | 1,0 | | | 520k No | | | | | | | | | | | | | |
| 20 | D1 C22 D1 12 | 1 | Х | 0 | 0,1 | 1,0 | Yes | None | | | AGC | 0,1 | 1,1 | 1 | 520k No | 520k | Yes | Yes | 1 | 1,0 | Protected | | | | | | | | | | |
| 22 | D1 C12 D1 02 | | | 0 | 0,0 | | | | | | none | 0,0 | | | 520k No | | | | | | | | | | | | | | | | |
| 24 25 | D1 C02 D1 E30 | | | 0 | 1,1 | | | | | | AGC+ 4 CS | 1,1 | 1,1 | | 520k No | | | | | | | | | | | | | | | | |
| 26 27 | D1 EC30 D1 E20 | | | 0 | 1,0 | | | | | | AGC+ 2 CS | 1,0 | 1,1 | | 520k No | | | | | | | | | | | | | | | | |
| 28 29 | D1 EC20 D1 E10 | 1 | 0 (Signaled) | | 0,1 | 0,0 | Yes | None | | Yes | AGC | 0,1 | 1,1 | 1 | 520k No | 520k | Yes | Yes | 0 | 0,0 | Protected | | | | | | | | | | |
| 30 31 | D1 EC10 D1 E00 | | | 0 | 0,0 | | | | | | none | 0,0 | 0,0 | | 520k No | | | | | | | | | | | | | | | | |
| 32 33 | D1 EC00 D1 30 | | | 0 | 1,1 | | | | | | AGC+ 4 CS | 1,1 | 1,1 | | 520k No | | | | | | | | | | | | | | | | |
| 34 35 | D1 C30 D1 20 | | | 1 | | | | | | | | | | | 520k No | | | | | | | | | | | | | | | | |
| 36 37 | D1 C20 D1 10 | 1 | (Not | 1 0 | 1,0 | 0,0 | yes | None | | Yes | AGC+ 2 CS | 1,0 | 1,1 | 0 | 520k no | 520k | Yes | No | 1 | 0,0 | Not Protected | | | | | | | | | | |
| 38 | D1 C10 D1 00 | | Signaled) | 1 0 | 0,1 | | | | | | AGC | 0,1 | 1,1 | | 520k no | | | | | | | | | | | | | | | | |
| 40 | D1 C00 | | | 1 | 0,0 | | | | | | none | 0,0 | 0,0 | • | 520k | | | N. | | | | | | | | | | | | | |
| 41 | D1NoCCI | 1 | SCT | CI Deli E 21 \ CGMS | /alues | 3 | | No, or per SCTE 21 ¹¹ | | Yes, or per SCTE 21 ¹¹ | None, or per SCTE 21 ¹¹ | 0,0 or per ¹¹ SCTE21 | 0,0 or per ¹¹ SCTE21 | 0, or 1 if SCTE 21 ¹¹ | no | 520k | Yes | No, or Yes if SCTE | 1, or 0 if SCTE | 0,0 or per ¹¹ SCTE21 | Protected based on SCTE 21 | | | | | | | | | | |

¹ APS and CGMS-A indicators on Analog TV input refer to Line 20 per IEC 61880 and Line 21 per [CEA-608]. REQ108 If the protection indicators conflict, the OCUR SHALL apply the more restrictive value of each APS, CGMS-A and RC.

REQ109 ² All analog composite or component outputs SHALL include the parameters shown encoded compliant with [CEA-608] and [IEC 61880] for RF, composite video, S-video and 480i component signals, and, compliant with CEA-805-C for 480p, 720p and 1080i component signals. Until 18 months after the Effective Date, this requirement is CONDITIONAL MANDATORY, applicable only when the graphics card includes support for "type B" packets as described in CEA-805-C. After 12 months, this requirement will be MANDATORY for HMSs manufactured with next generation graphics chipsets, and after 18 months will be MANDATORY for all HMSs.

REQ110 ⁶ The OCUR SHALL treat all content for which it requests CA descrambling of the CableCARD as CA-Scrambled.

REQ111 ⁸ All analog composite or component outputs SHALL include the parameters shown encoded compliant with [CEA-608] and IEC 61880 for RF, composite video, S-video and 480i component signals, and, compliant with CEA-805-C for 480p, 720p and 1080i component signals. Until 18 months after the Effective Date, this requirement is CONDITIONAL MANDATORY, applicable only when the graphics card includes support for "type B" packets as described in CEA-805-C. After 12 months, this requirement will be MANDATORY for HMSs manufactured with next generation graphics chipsets, and after 18 months will be MANDATORY for all HMSs.

REQ112 ¹¹ If [SCTE 21] data is present and includes values for APS, CGMS-A, or RC, the OCUR SHALL permit the output of content only according to the values shown in the inset tables.

³ Image Constraint is defined in [CCCP]. A Constrained Image can be output or displayed using video processing techniques such as line doubling or sharpening to improve the perceived quality of the image.

⁴ VGA outputs as defined in [CHILA]. Content output over VGA can be output or displayed using video processing techniques such as line doubling or sharpening to improve the perceived quality of the image.

⁵ The CCI and DTCP "EPN" bit value of zero signals Encryption Plus Non-assertion. The EPN value of one signals EPN mode inactive.

⁷ CCI Value per SCTE 41 2004 extended with an EPN bit defined as Encryption Plus Nonassertion. A value of 0 signals that content must remain protected.

⁹ Image Constraint is defined in [CCCP] and applies only to output at 720p or 1080i lines. A Constrained Image can be output or displayed using video processing techniques such as line doubling or sharpening to improve the perceived quality of the image.

¹⁰ VGA outputs as defined in [CHILA]. Content output over VGA can be output or displayed using video processing techniques such as line doubling or sharpening to improve the perceived quality of the image.

^{*} Display Only Devices, which are downstream rendering devices that are not permitted under applicable compliance rules to persistently store Cable Content, shall be subject to agreed upon proximity limits.

^{**} External Storage Devices, which are downstream devices on which Cable Content can be stored (where permitted under applicable compliance rules) and played back, shall be subject to agreed upon proximity limits (when obtaining content) and limited to Standard Definition.

Annex B Mechanism for DRM revocation

Annex B defines a mechanism to send SRMs (System Renewabilty Messages) to the OCUR device for the purpose of revoking or reinstating one or more DRM systems. Leveraging the [OC-CD] and [OC-SEC] specifications, it defines the format of the SRM messages and how they are included into files that are downloaded to the OCUR.

REQ1540

The OCUR SHALL process the downloaded files based on their file name extension as provided by the Code Version Table (CVT) described in Table 9.19-5 of the [CCIF] specification and Table B–1.

Table B-1 - Code File Naming

B.1 Download File Structure

REQ 1544

The Download File SHALL consist of one or two PKCS #7 compliant sections as defined in Table B–2.

| Code File | Description |
|---------------------------------|--|
| PKCS #7 Digital Signature { | |
| ContentInfo | |
| ContentType | SignedData |
| SignedData () | EXPLICIT signed-data content value: includes CVS and X.509 compliant CVCs |
| } end PKCS #7 Digital Signature | |
| SignedContent { | |
| DownloadParameters { | Mandatory TLV format (Type 28). (Length is zero if there is no sub-TLVs.) |
| Srm() | Optional TLV for MSO System Renewability Message TLV format 200. Refer to section B.3.1. |
| MfgCACerts () | Optional TLV for one or more DER-encoded CableLabs Manufacturer CA Certificate(s) each formatted according to CableLabs Device CA-Certificate TLV format (Type 17) Refer to section B.3.2. |
| clabCVCRootCACert() | Optional TLV for one DER-encoded certificate formatted according to the CableLabs CVC Root CA CA-Certificate TLV format (Type 51). Refer to section B.3.3. |
| clabOCURCVCCACertificate () | Optional TLV for one DER-encoded certificate formatted according to the CableLabs OCUR CVC CA-Certificate TLV format (Type 52). Refer to section B.3.4. |
| Codelmage () | Optional Upgrade code image. |
| } end SignedContent | Optional Opgrave code image. |

Table B-2 - Download File PKCS #7 section format

B.2 Signed Data

REQ1589

If the download file name extension is SRM, the OCUR SHALL verify that the CableLabs Code Verification Certificate is available in the Signed Data field defined in Table B–3.

REQ1590 If the download file name extension is IMAGE, the OCUR SHALL verify that the Vendor

Code Verification Certificate is available in the Signed Data field defined in Table B-3

before parsing the SignedContent section.

REQ1591 If the download file name extension is BOTH, the OCUR SHALL verify that the CableLabs

Code Verification Certificate of the first PKCS#7 structure is available in the Signed Data field defined in Table B–3 and that the Vendor Code Verification Certificate is available in

the Signed Data field defined in Table B-3.

Table B-3 - PKCS #7 Signed Data

| PKCS #7 Field | Description |
|----------------------------|--|
| SignedData { | |
| Version | version = 1 |
| DigestAlgorithmIdentifiers | SHA-1 |
| ContentInfo | |
| ContentType | data (SignedContent is concatenated at the end of the PKCS #7 structure) |
| certificates { | CableLabs Code Verification Certificates |
| SignerCVC | (REQUIRED for all code files) |
| Co-signerCVC | (OPTIONAL, required for co-signatures) |
| } end certificates | |
| SignerInfo { | |
| SignerInfo { | (REQUIRED for all code images/SRMs) |
| Version | version = 1 |
| IssuerAndSerialNumber | |
| IssuerName | |
| CountryName | US |
| OrganizationName | CableLabs |
| CommonName | CableLabs OCUR CVC CA |
| CertificateSerialNumber | <signer cvc="" number="" serial=""></signer> |
| DigestAlgorithm | SHA-1 |
| AuthenticatedAttributes | |
| ContentType | data (contentType of signedContent) |
| SigningTime | UTC Time (GMT), YYMMDDHHMMSSZ |
| MessageDigest | Digest of the content as defined in PKCS #7 |
| DigestEncryptionAlgorithm | RsaEncryption |
| EncryptedDigest | |
| } end mfg signer info | |
| CoSignerInfo { | (OPTIONAL; required for co-signatures) |
| Version | version = 1 |
| IssuerAndSerialNumber | |
| IssuerName | |
| CountryName | US |
| OrganizationName | CableLabs |
| CommonName | CableLabs OCUR CVC CA |
| CertificateSerialNumber | <cosigner cvc="" number="" serial=""></cosigner> |
| DigestAlgorithm | SHA-1 |
| AuthenticatedAttributes | |
| ContentType | data (contentType of signedContent) |
| SigningTime | UTC Time (GMT), YYMMDDHHMMSSZ |
| MessageDigest | Digest of the content as defined in PKCS #7 |
| DigestEncryptionAlgorithm | RsaEncryption |
| EncryptedDigest | |
| } end CoSignerInfo | |
| } end SignerInfo | |
| } end SignedData | |

B.3 Signed Content

Signed Content section of the PKCS#7 structure is defined in Table B-2.

B.3.1 Srm ()

SRM Format

REQ1557 For DRM revocation (or removal from revocation list), the SRM SHALL have the format

defined in Table B-4.

Table B-4 - SRM Structure

| Syntax | # of bits | Description |
|---|--------------|---|
| Srm() { | | |
| number_of_DRMs | 8 | Number of DRMs listed in this SRM |
| issue_date for (i=0;i <number_of_drms;i++) td="" {<=""><td>32</td><td>Unsigned integer quantity representing the current system time as the number of seconds since 12 AM, January 6, 1980.</td></number_of_drms;i++)> | 32 | Unsigned integer quantity representing the current system time as the number of seconds since 12 AM, January 6, 1980. |
| drm_guid | 128 | Global Unique Identifier for the DRM system |
| revocation_status | 8 | revocation_status: 0x00 Not revoked |
| } | | 0x01 Revoked |
| } | | |

B.3.2 MfgCACerts()

CableLabs Device CA-Certificate TLV Format

The attribute is a string attribute containing an X.509 CA Certificate, as defined in [OC-SEC].

Type Length Value

17 X.509 CableLabs Device CA Certificate (DER-encoded ASN.1) Variable

B.3.3 clabCVCRootCACert()

CVC Root CA CA-Certificate TLV Format

The attribute is a string attribute containing an X.509 CA Certificate, as defined in [OC-SEC].

Type Length Value

51 Variable X.509 CableLabs CVC Root CA Certificate (DER-encoded ASN.1)

B.3.4 clabOCURCVCCACertificate()

CVC CA-Certificate TLV Format

The attribute is a string attribute containing an X.509 CA Certificate, as defined in [OC-SEC].

Value Type Length

52 Variable X.509 CableLabs CVC CA Certificate (DER-encoded ASN.1)

RFO1592 If the download file name extension is SRM and a srm() download parameter is present,

the OCUR SHALL verify that it is signed by the CableLabs CVC, and update the

Drm revocation list.

REQ1593 If the download file name extension is SRM and if one or more of the following 3 download

> parameters: MfgCACerts(), clabCVCRootCACert(), or clabOCURCVCCACertificate() are present, the OCUR SHALL verify that it is signed by the CableLabs CVC, and replace the CableLabs Device CA, CableLabs CVC Root CA or the CableLabs OCUR CVC CA

Certificate(s) currently stored in the OCUR.

REQ1594 If the download file name extension is IMAGE and a Codelmage is available, the OCUR

SHALL validate and install the image as described in section 9 of [OC-SEC].

| REQ1595 | If the download file name extension is BOTH and an srm() download parameter is present in the first PKCS#7 structure, the OCUR SHALL verify that it is signed by the CableLabs CVC, and update the Drm_revocation_list. |
|---------|--|
| REQ1596 | If the download file name extension is BOTH and if one or more of the following 3 download parameters: MfgCACerts(), clabCVCRootCACert(), or clabOCURCVCCACertificate() are present, the OCUR SHALL verify that it is signed by the CableLabs CVC, and the certificate fields are intended to replace the CableLabs Device CA, CableLabs CVC Root CA or the CableLabs OCUR CVC CA Certificate(s) currently stored in the OCUR. |
| REQ1597 | If the download file name extension is BOTH and a Codelmage is present in the second PKCS#7 structure, the OCUR SHALL validate and install the image as described in section 9 of [OC-SEC]. |

Each manufacturer should build their code with additional mechanisms that verify an upgrade code image is compatible with the destination OCUR.