# CableLabs<sup>®</sup> Specifications

## **Battery Backup MIB**

## CL-SP-MIB-BB-I04-100608

#### ISSUED

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### 1 SCOPE

This specification describes the Battery Backup Uninterrupted Power Supply (UPS) MIB requirements for CableLabs devices.

#### 1.1 Requirements

Throughout this document, the words that are used to define the significance of particular requirements are capitalized. These words are:

"MUST"	This word means that the item is an absolute requirement of this specification.
"MUST NOT"	This phrase means that the item is an absolute prohibition of this specification.
"SHOULD"	This word means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course.
"SHOULD NOT"	This phrase means that there may exist valid reasons in particular circumstances when the listed behavior is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behavior described with this label.
"MAY"	This word means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, for example; another vendor may omit the same item.

## 2 REFERENCES

#### 2.1 Normative References

In order to claim compliance with this specification, it is necessary to conform to the following standards and other works as indicated, in addition to the other requirements of this specification. Notwithstanding, intellectual property rights may be required to use or implement such normative references.

- DOCSIS 2.0 Operations Support System Interface Specification, CM-SP-OSSIv2.0-C01-081104, November 4, 2008, Cable Television Laboratories, Inc.
- RFC 1628 IETF RFC 1628, UPS Management Information Base, May 1994.

#### 2.2 Informative References

RFC 3410 IETF RFC 3410, Introduction and Applicability Statements for Internet-Standard Management Framework, December 2002.

### 2.3 Reference Acquisition

- Cable Television Laboratories, Inc., 858 Coal Creek Circle, Louisville, CO 80027; Phone +1-303-661-9100; Fax +1-303-661-9199; http:// www.cablelabs.com.
- Internet Engineering Task Force (IETF) Secretariat 48377 Fremont Blvd., Suite 117, Fremont, California 94538, USA, Phone: +1-510-492-4080, Fax: +1-510-492-4001, http://www.ietf.org/Abbreviations.

## **3 ABBREVIATIONS**

This document uses the following abbreviations and acronyms.

eDOCSIS	Embedded Data-Over-Cable Service Interface Specifications
eCM	Embedded Cable Modem
E-MTA	Embedded Multimedia Terminal Adapter
LED	Light Emitting Diode
MIB	Management Information Base
MTA	Multimedia Terminal Adapter
SNMP	Simple Network Management Protocol
UPS	Uninterrupted Power Supply

## 4 UPS MIB AND LED FUNCTIONALITY

### 4.1 Introduction

CableLabs devices MAY support battery backup capabilities with Uninterrupted Power Supply (UPS) functionality. An example of such device is a PacketCable Embedded Multimedia Terminal Adapter (MTA) eDOCSIS device. This document extends the set of CableLabs MIB modules to provide SNMP management of the UPS power source and battery backup functions.

Support for battery backup capabilities with UPS functionality is becoming important as some broadband services rely on constant uptime. The CableLabs UPS components consist of one or more battery packs and associated management functions to allow the control of power supply inputs and outputs. When the UPS is being provided power via the utility line (power outlet), the battery pack(s) are able to charge. When utility power is removed, the UPS component switches to the battery backup power source to provide power to the device until utility power has been reapplied or the battery pack(s) have been depleted.

CableLabs compliant devices that include battery backup with UPS functionality MUST include a Battery LED that relays information on the status of the UPS and battery pack(s). For more information about the Battery LED requirements, refer to Section 4.2.2.

Figure 1 describes the typical functional blocks of a UPS component connected to an eDOCSIS device.

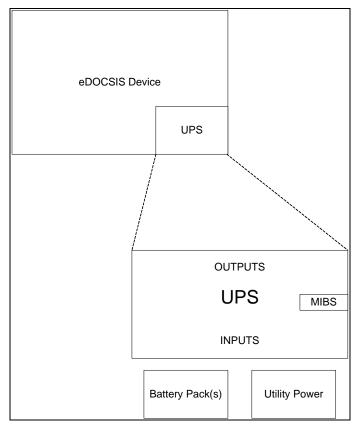


Figure 1 - UPS Components in eDOCSIS Devices

#### 4.2 UPS Management

The purpose of this section is to define the UPS management requirements for CableLabs devices supporting battery backup UPS functionality.

CableLabs compliant devices supporting battery backup functionality MUST support UPS management and MUST comply with the SNMP MIB requirements of IETF RFC 1628 0 as defined in this section. RFC 1628 0 contains more information than is required for the simple UPS devices used for PacketCable digital voice or DOCSIS broadband data services. This document defines an SMI compliance statement for IETF RFC 1628 0 that MUST be supported by CableLabs compliant devices with UPS functionality. Further, access to the UPS MIB objects MUST be provided via the eCM interface.

#### 4.2.1 CableLabs Battery Backup UPS MIB Requirements

The Battery Backup and UPS MIB objects MUST be implemented as defined below.

CLAB-UPS-MIB DEFINITIONS ::= BEGIN

IMPORTS				
MODULE-IDENTITY	FROM	SNMPv2-SMI	 RFC	2578
MODULE-COMPLIANCE	FROM	SNMPv2-CONF	 RFC	2580
clabCommonMibs	FROM	CLAB-DEF-MIB		
upsIdentManufacturer, upsIdentModel,				
- ,				

upsIdentAgentSoftwareVersion, upsIdentName,	
upsIdentAttachedDevices, upsBatteryStatus, upsSecondsOnBattery,	
upsEstimatedMinutesRemaining, upsEstimatedChargeRemaining,	
upsInputLineBads,	optional
upsInputNumLines, upsInputFrequency,	optional
upsInputVoltage, upsOutputSource,	optional
upsOutputFrequency,	optional
upsOutputNumLines, upsOutputVoltage,	optional
upsAlarmsPresent, upsAlarmDescr,	
upsAlarmTime, upsShutdownType,	
upsShutdownAfterDelay,	
upsStartupAfterDelay, upsRebootWithDuration,	
upsAutoRestart, upsConfigInputVoltage,	optional optional
upsConfigInputFreq,	optional
upsConfigOutputVoltage,	optional
upsConfigOutputFreq,	optional
upsConfigOutputVA,	optional
upsConfigOutputPower,	optional
upsConfigLowBattTime, upsConfigAudibleStatus	optional
	-MIB; RFC 1628
clabUpsMib MODULE-IDENTITY	
CIABOPSMID MODULE-IDENTITI LAST-UPDATED "201004280000Z" 2 ORGANIZATION "Cable Television La CONTACT-INFO	
"Postal: Cable Television	n Laboratories, Inc
858 Coal Creek Circle	
Louisville, CO 80027 U.S.A.	
Phone: +1-303-661-9100	
Fax: +1-303-661-9199	
E-mail:mibs@cablelabs.co	om
Acknowledgements: Sumanth Channabasappa - Jean-Francois Mule, Cab Kevin Marez, Motorola,	leLabs.
DESCRIPTION	
	s the management objects for the oring of the battery backup & UPS Labs compliant devices.
Copyright 2004-2010 Cable All rights reserved."	e Television Laboratories, Inc.
REVISION "201004280000Z" Apri DESCRIPTION	1 28, 2010
	s ECN MIB-BB-N-10.0047-2 CL-SP-MIB-BB-I04-100608."

```
REVISION "200905060000Z" -- May 6, 2009
    DESCRIPTION
            "Revised Version includes ECN MIB-BB-N-09.0042-2
            and published as part of CL-SP-MIB-BB-I03-090811."
    REVISION "200701191700Z" -- January 19, 2007
    DESCRIPTION
            "This revision published as CL-SP-MIB-BB-I02-070119."
    REVISION "200501280000Z" -- January 28, 2005
    DESCRIPTION
            "This revision published as CL-SP-MIB-BB-
I01-050128."
    ::= { clabCommonMibs 1 }
-- Administrative assignments
clabUpsNotifications OBJECT IDENTIFIER ::= { clabUpsMib 0 }
clabUpsObjects OBJECT IDENTIFIER ::= { clabUpsMib 1 }
clabUpsConformance OBJECT IDENTIFIER ::= { clabUpsMib 2 }
-- Object Groups
-- The object groups used in this MIB module are imported from
-- the IETF RFC 1628, see the module compliance statement
-- Conformance Statements
   clabUpsCompliances OBJECT IDENTIFIER ::=
                                             { clabUpsConformance 1 }
   clabUpsGroups OBJECT IDENTIFIER
                                          ::=
                                             { clabUpsConformance 2 }
clabUpsMibCompliance MODULE-COMPLIANCE
       STATUS
                  current
       DESCRIPTION
                "The compliance statement for CableLabs compliant
                devices that implement battery backup and UPS
                functionality."
       MODULE UPS-MIB -- RFC 1628
           MANDATORY-GROUPS {
                      upsSubsetIdentGroup,
                      upsFullBatteryGroup,
                      upsBasicInputGroup,
                      upsBasicOutputGroup,
                      upsBasicAlarmGroup,
                      upsBasicControlGroup,
                      upsBasicConfigGroup
                      }
   -- upsSubsetIdentGroup OBJECT-GROUP
         OBJECTS { upsIdentManufacturer, upsIdentModel,
   _ _
   _ _
                    upsIdentAgentSoftwareVersion, upsIdentName,
                    upsIdentAttachedDevices }
   _ _
```

OBJECT upsIdentManufacturer DESCRIPTION "The value of the upsIdentManufacturer object MUST contain the name of the device manufacturer." OBJECT -- same as RFC 1628 upsIdentModel DESCRIPTION "The UPS Model designation." OBJECT upsIdentAgentSoftwareVersion -- same as RFC 1628 DESCRIPTION "The UPS agent software version. This object may have the same value as the upsIdentUPSSoftwareVersion object." OBJECT upsIdentName DESCRIPTION "The upsIdentName object identifies the UPS and its value SHOULD be provided in the device configuration file. If the upsIdentName value is not provided in the configuration file, the default value MUST be an empty string " OBJECT upsIdentAttachedDevices DESCRIPTION "The upsIdentAttachedDevices MUST contain a column separated list of the names of the embedded devices attached to the UPS power output as specified in CableLabs' DHCP Options Registry. For example, if the eDOCSIS device is an E-MTA with an integrated eCM, eMTA eSAFE, and a vendor device named 'VendorXEmbeddedDevice', this object must contain the value 'ECM:EMTA:vVendorXEmbeddedDevice' (without the single quotes)." REFERENCE "CableLabs Specifications, CableLabs' DHCP Options Registry." upsFullBatteryGroup OBJECT-GROUP \_ \_ OBJECTS { upsBatteryStatus, upsSecondsOnBattery, upsEstimatedMinutesRemaining, \_ \_ upsEstimatedChargeRemaining } \_ \_ OBJECT upsBatteryStatus SYNTAX INTEGER { unknown(1) batteryNormal(2), batteryLow(3), batteryDepleted(4) DESCRIPTION "The support of the upsBatteryStatus object value unknown(1) is used to indicate the presumption that the system's battery is absent or disconnected from the power switch controller. In such case, the following values are reported as well: upsEstimatedMinutesRemaining = o upsEstimatedChargeRemaining = 0 upsBatteryVoltage = 0 (if supported)." OBJECT upsSecondsOnBattery DESCRIPTION "If the device is on battery power, the upsSecondsOnBattery object MUST return the elapsed time since the UPS last switched to battery power, or the time since the device was last restarted, whichever is less.

The upsSecondsOnBattery object MUST return a value of 0 if the attached devices are not on battery power." OBJECT upsEstimatedMinutesRemaining -- same as RFC 1628 DESCRIPTION "An estimate of the time to battery charge depletion under the present load conditions if the utility power is off and remains off, or if it were to be lost and remain off." OBJECT upsEstimatedChargeRemaining -- same as RFC 1628 DESCRIPTION "An estimate of the battery charge remaining expressed as a percent of full charge." \_ \_ upsBasicInputGroup OBJECT-GROUP OBJECTS { upsInputLineBads, upsInputNumLines, \_ \_ \_ \_ upsInputFrequency, upsInputVoltage } OBJECT upsInputLineBads DESCRIPTION "The upsInputLineBads object MAY be supported." OBJECT upsInputNumLines DESCRIPTION "The upsInputNumLines object specifies the number of input lines utilized in this device. For example, for an eDOCSIS E-MTA device with 1 battery pack and 1 AC power source, this object value must be 2." OBJECT upsInputFrequency DESCRIPTION "The upsInputFrequency object MAY be supported." OBJECT upsInputVoltage DESCRIPTION "The upsInputVoltage object MAY be supported." upsBasicOutputGroup OBJECT-GROUP \_ \_ OBJECTS { upsOutputSource, upsOutputFrequency, \_ \_ upsOutputNumLines, upsOutputVoltage } \_ \_ upsOutputSource OBJECT SYNTAX INTEGER { none(2), normal(3), battery(5) } DESCRIPTION "The devices capable of supporting battery backup and UPS functionality MUST support the upsOutputSource values of none(2), normal(3), battery(5). The upsOutputSource value of other(1) may be used to represent transient states." OBJECT upsOutputFrequency DESCRIPTION "The upsOutputFrequency object MAY be supported." OBJECT upsOutputNumLines DESCRIPTION "The upsOutputNumLines object specifies the number of output

lines utilized in this eDOCSIS device. For example, for an eDOCSIS E-MTA devices with both the eCM and eMTA attached to the UPS, this object value must be 2." OBJECT upsOutputVoltage DESCRIPTION "The upsOutputVoltage object MAY be supported." upsBasicAlarmGroup OBJECT-GROUP \_ \_ OBJECTS { upsAlarmsPresent, upsAlarmDescr, upsAlarmTime } OBJECT upsAlarmsPresent -- same as RFC 1628 DESCRIPTION "The upsAlarmsPresent object indicates the current number of active alarm conditions." OBJECT upsAlarmDescr DESCRIPTION "The following well known alarm types MUST be supported by the CableLabs UPS capable devices: upsAlarmBatteryBad, upsAlarmOnBattery, upsAlarmLowBattery, upsAlarmDepletedBattery, upsAlarmOutputOffAsRequested, upsAlarmUpsOutputOff, upsAlarmGeneralFault, upsAlarmAwaitingPower, upsAlarmShutdownPending, and upsAlarmShutdownImminent." OBJECT upsAlarmTime -- same as RFC 1628 DESCRIPTION "The upsAlarmTime object indicates the value of sysUpTime when the alarm condition was detected." upsBasicControlGroup OBJECT-GROUP \_ \_ OBJECTS { upsShutdownType, upsShutdownAfterDelay, upsStartupAfterDelay, upsRebootWithDuration, \_ \_ \_ \_ upsAutoRestart } OBJECT upsShutdownType INTEGER { SYNTAX output(1) DESCRIPTION "The upsShutdownType object defines the nature of the action to be taken at the time when the countdown of the upsShutdownAfterDelay and upsRebootWithDuration object values reach zero. The support for the upsShutdownType value system is not required (for CableLabs compliant devices, a system shutdown or reset can be achieved using other mechanisms." OBJECT upsStartupAfterDelay SYNTAX INTEGER (-1..604800) -- max range is 7 days or 604800s DESCRIPTION "The upsStartupAfterDelay MUST be supported. The CableLabs devices capable of support battery backup and

```
UPS functionality MUST support a maximum upsStartupAfterDelay
      value of 604800 seconds, equivalent to 7 days."
  OBJECT
                upsRebootWithDuration
                                                  -- same as RFC 1628
  DESCRIPTION
      "The upsRebootWithDuration controls a reboot procedure with
      a countdown. It also indicates whether a reboot procedure is in
      progress and the number of seconds remaining in the countdown."
  OBJECT
                upsAutoRestart
                                                  -- same as RFC 1628
  DESCRIPTION
      "The upsAutoRestart is only applicable for UPS system shutdown;
      it MAY be supported."
_ _
    upsBasicConfigGroup OBJECT-GROUP
         OBJECTS { upsConfigInputVoltage, upsConfigInputFreq,
_ _
_ _
                   upsConfigOutputVoltage, upsConfigOutputFreq,
                   upsConfigOutputVA, upsConfigOutputPower,
- -
                   upsConfigLowBattTime, upsConfigAudibleStatus }
_ _
  OBJECT
                upsConfigInputVoltage
  DESCRIPTION
      "The upsConfigInputVoltage MAY be supported."
  OBJECT
                upsConfigInputFreq
  DESCRIPTION
      "The upsConfigInputFreq MAY be supported."
  OBJECT
                upsConfigOutputVoltage
  DESCRIPTION
     "The upsConfigOutputVoltage MAY be supported."
  OBJECT
                upsConfigOutputFreq
  DESCRIPTION
      "The upsConfigOutputFreq MAY be supported."
  OBJECT
                upsConfigOutputVA
  DESCRIPTION
      "The upsConfigOutputVA MAY be supported."
  OBJECT
                upsConfigOutputPower
  DESCRIPTION
      "The upsConfigOutputPower MAY be supported."
  OBJECT
                upsConfigLowBattTime
                                                  -- same as RFC 1628
  DESCRIPTION
      "The upsConfigLowBattTime specifies the value of
      upsEstimatedMinutesRemaining at which a lowBattery condition is
      declared.
      Implementation of all possible values may be onerous for some
      systems. Consequently, not all possible values must be
      supported. However, at least two different manufacturer-
      selected values for upsConfigLowBattTime MUST be supported."
  OBJECT
                upsConfigAudibleStatus
  DESCRIPTION
      "The upsConfigAudibleStatus MAY be supported."
       ::= { clabUpsCompliances 1 }
```

--

- -- Units of conformance for CableLabs UPS capable devices
- -- Adapted from RFC 1628, a column was added for CableLabs devices
- -- An 'x' in the column means the object MUST be supported; all the
- -- rest is optional and left for vendor decision.

-- Summary at a glance:

	subset	basic	adv	CLAB-U MUST	JPS COMPLIANCE GROUP
upsIdentManufacturer	x	x	х	x up	sSubsetIdentGroup
upsIdentModel	х	х	x	x up	sSubsetIdentGroup
upsIdentUPSSoftwareVersion		х	х		
upsIdentAgentSoftwareVersio	on x	х	x	x up	sSubsetIdentGroup
upsIdentName	х	х	х	x up	sSubsetIdentGroup
upsIdentAttachedDevices	х		х	x up	sSubsetIdentGroup
upsBatteryStatus	х	х	х		psFullBatteryGroup
upsSecondsOnBattery	х	х	х	x up	sFullBatteryGroup
upsEstimatedMinutesRemainir			х	x up	sFullBatteryGroup
upsEstimatedChargeRemaining	3		х	x up	osFullBatteryGroup
upsBatteryVoltage					
upsBatteryCurrent					
upsBatteryTemperature					
upsInputLineBads	х	х	х		
upsInputNumLines		х	х	x up	sBasicInputGroup
upsInputFrequency		х	х		
upsInputVoltage		х	х		
upsInputCurrent					
upsInputTruePower					
upsOutputSource	х	x	х	x up	osBasicOutputGroup
upsOutputFrequency		x	х		
upsOutputNumLines		x	x	x up	osBasicOutputGroup
upsOutputVoltage		х	x		
upsOutputCurrent upsOutputPower			X		
upsOutputPercentLoad			x x		
upsoucputpercentioad			A		
upsBypassFrequency		x	x		
upsBypassNumLines		x	x		
upsBypassVoltage		x	x		
upsBypassCurrent		11			
upsBypassPower					
upsAlarmsPresent	x	x	x	x up	sBasicAlarmGroup
upsAlarmDescr	x	x	x		sBasicAlarmGroup
upsAlarmTime	x	x	x	-	sBasicAlarmGroup
				1	-
upsTestId		x	x		
upsTestSpinLock		x	х		
upsTestResultsSummary		x	x		
upsTestResultsDetail		x	x		

upsTestStartTime upsTestElapsedTime		x x	x x		
upsShutdownType upsShutdownAfterDelay upsStartupAfterDelay upsRebootWithDuration	x x	x x x x	x x x x	x x x x x	upsBasicControlGroup upsBasicControlGroup upsBasicControlGroup upsBasicControlGroup
upsAutoRestart	x	x	х		
 upsConfigInputVoltage upsConfigInputFreq upsConfigOutputVoltage upsConfigOutputFreq upsConfigOutputVA upsConfigOutputPower	x x x x x x x	x x x x x x x	x x x x x x x		
upsConfigLowBattTime				x	upsBasicConfigGroup

END

#### 4.2.2 Power and Battery LED requirements

CableLabs devices with UPS functionality MUST provide a special LED labeled as "BATTERY" (referred to as BATTERY LED or Battery LED in this document). The BATTERY LED conventions MUST comply with the requirements defined in this section in Table 1. The "POWER" LED of CableLabs devices with UPS functionality MUST also support the additional requirements defined in Table 1 of this section when the device is running on battery backup power.

The Power and Battery LED requirements and location on CableLabs devices with UPS functionality MUST be consistent with the requirements in Section 7 of the DOCSIS 2.0 OSSI specification 0.

The following table defines the LED functionality used to relay power and battery status information:

Mode of Operation	UPS Power Input Source	Battery Status	POWER LED Requirements	BATTERY LED Requirements
Device Initialization			Unlit	Lit
	AC Power	Good Battery	Lit	Lit
	(AC Power is ON)	Low Battery	Lit	Flash
	ON)	Bad Battery	Lit	Unlit
Normal Operation	<b>Battery Power</b>	Good Battery	Flash	Unlit
	(AC Power is OFF, battery	Low Battery	Flash	Flash
	input source is ON	Bad Battery	Unlit (see Note 1*)	Unlit

 Table 1 - Power and Battery LED Operations By State

\**Note 1*: During AC Power Fail with a bad battery, device operation may not be possible due to lack of battery power; the POWER and BATTERY LEDs may be 'Unlit'.

The Battery LED MUST be 'Lit' under the following conditions:

- The Battery LED MUST be 'Lit' during the initialization of all the components attached to the UPS (the list of components or eSAFE devices attached to the UPS is defined by the upsIdentAttachedDevices object in the CLAB-UPS-MIB module).
- The Battery LED MUST be 'Lit' if the eDOCSIS UPS is operating on AC power and the battery is functioning normally.

The Battery LED MUST be 'Unlit' under the following conditions:

- One or more batteries are determined to be in "bad" condition. A battery "bad" condition occurs when one or more batteries have been determined to require replacement, for example when a battery is malfunctioning or may not be rechargeable. Such condition also triggers the upsAlarmBatteryBad alarm in the CLAB-UPS-MIB module.
- The UPS is operating on battery power and the battery is functioning normally.

The Battery LED MUST 'Flash' under the following condition:

• The Battery LED MUST 'Flash' if the battery is low. A low battery condition is reached when the remaining battery run-time is less than or equal to the value of the upsConfigLowBattTime MIB object in the CLAB-UPS-MIB module (such condition also triggers the upsAlarmLowBattery alarm condition).

#### 4.2.3 Applicability of the CableLabs Battery Backup UPS MIB requirements

The battery backup and UPS functionality may be implemented in various CableLabs devices, for example a PacketCable Embedded Multimedia Terminal Adapter (E-MTA), a standalone Cable Modem or any eDOCSIS device. This section specifies additional applicability statements.

#### 4.2.3.1 PacketCable E-MTA devices

In the case of a PacketCable Embedded Multimedia Terminal Adapter (E-MTA) device used to provide telephony services, service uptime is critical and the usage of battery backup UPS components may be an operator requirement.

A PacketCable E-MTA supporting battery backup UPS functionality MUST provide UPS output power to both the embedded cable modem (eCM) and the MTA eSAFE device (eMTA). Therefore, the upsIdentAttachedDevices object MUST contain the value 'ECM:EMTA' (without the single quotes).

## Appendix I Acknowledgements

On behalf of CableLabs and its participating member companies, we would like to extend our thanks to all those who contributed to the development of this specification. Certainly all the participants of the provisioning focus team have added value to this effort by participating in the review and weekly conference calls. Particular thanks are given to:

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Jean-François Mulé, Sumanth Channabasappa (CableLabs)

## Appendix II Revision History

ECN	Date Accepted	Summary
MIB-BB-N-06.0022-2	3/13/06	Clarification of UPS MIB SNMP access
MIB-BB-N-07.0026-1	1/18/07	Editorial Changes

The following ECNs have been incorporated in CL-SP-MIB-BB-I02-070119.

The following ECN has been incorporated in CL-SP-MIB-BB-I03-090811.

ECN	Date Accepted	Summary
MIB-BB-N-09.0042-2	5/6/2009	Editorial updates

The following ECN has been incorporated in CL-SP-MIB-BB-I04-100608.

ECN	Date Accepted	Summary
MIB-BB-N-10.0047-2	4/28/2010	Clarifications on Battery Backup