Superseded

Cable Data Services

DOCSIS® Provisioning of EPON Specifications

DPoE™ MAC and Upper Layer Protocols Interface Specification

DPoE-SP-MULPIv2.0-I01-121004

ISSUED

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Document Status Sheet

Document Control Number:	DPoE-SP-MULPIv2.0-I01-121004			
Document Title:	DPoE™ MAC and Upper Layer Protocols Interface Specification			
Revision History:	I01 - Released 10/04/12			
Date:	October 4, 2012			
Status:	~~Work in Progress~~	~~Draft~~	**Issued**	~~Closed~~
Distribution Restrictions:	~~Author Only~~	~~CL/Member~~	~~CL/ Member/ Vendor~~	**Public**

Key to Document Status Codes

Work in Progress An incomplete document, designed to guide discussion and generate feedback that may include several alternative requirements for consideration.

Draft A document in specification format considered largely complete, but lacking review by Members and vendors. Drafts are susceptible to substantial change during the review process.

Issued A stable document, which has undergone rigorous member and vendor review and is suitable for product design and development, cross-vendor interoperability, and for certification testing.

Closed A static document, reviewed, tested, validated, and closed to further engineering change requests to the specification through CableLabs.

Trademarks

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1 INTRODUCTION

DOCSIS Provisioning of EPON (DPoE) version 2.0 specifications are a joint effort of Cable Television Laboratories (CableLabs), cable operators, vendors, and suppliers to support EPON technology using existing DOCSIS-based back office systems and processes. DPoE v2.0 specifications augment the DPoE v1.0 specifications to provide requirements for additional service capabilities and corresponding provisioning and network management capabilities.

Ethernet PON (EPON) is an [802.3] standard for a passive optical network (PON). A PON is a specific type of multi-access optical network. A multi-access optical network is an optical fiber based network technology that permits more than two network elements to transmit and receive on the same fiber.

DPoE specifications are focused on DOCSIS-based provisioning and operations of Internet Protocol (IP) using DOCSIS Internet service (which is typically referred to as High Speed Data (HSD)), or IP(HSD) for short, and Metro Ethernet services as described by Metro Ethernet Forum (MEF) standards. DPoE Networks offer IP(HSD) services, functionally equivalent to DOCSIS networks, where the DPoE System acts like a DOCSIS CMTS and the DPoE System and DPoE Optical Network Unit (ONU) together act like a DOCSIS CM.

1.1 DPoE Technology Introduction

DPoE technology was established with the following common requirements already developed by operators. Each of the participant operators had previously selected 1G-EPON and 10G-EPON as the appropriate technology for one or more applications. EPON is a widely deployed technology with a sufficient and large supply of vendors offering a variety of products for each component of the access network. 10G-EPON technology is available and is backwards compatible with 1G-EPON. A 1G-EPON network can be incrementally upgraded to 10G-EPON, adding or replacing ONUs as business needs require. 1G-EPON and 10G-EPON are compatible with [SCTE 174].

1G-EPON and 10G-EPON, originally defined in [802.3ah] and [802.3av] respectively, support a point-to-multipoint architecture with a centralized controller called an Optical Line Terminal (OLT) and distributed low cost Layer 2 ONUs. The basic service mapping architecture in EPON is to map Ethernet (or IP) frame header information (e.g., addresses, IP Differentiated Service Code Points, Ethernet Q tag, S-VLAN/C-VLAN ID, ISID, bridge address, etc.) to a logical circuit called a Logical Link Identifier (LLID) in [802.3ah]. The service mapping function in DPoE specifications is similar to that used in DOCSIS specifications. Both DOCSIS and DPoE networks rely on a centralized scheduler though EPON utilizes an LLID which functions like a SID in DOCSIS to support unicast, broadcast, and multicast.

Existing [802.3ah] EPON systems do interoperate within the strict definitions of 1G-EPON. Experience with lab testing, field trials, and deployments has shown operators that 1G-EPON OLT and ONU systems typically only interoperate with a single port ONU. This is because [802.3ah] specifies the interfaces on the PON (the DPoE TU interface) but does not specify any of the other system interfaces. For example, an OLT from vendor A will register an ONU from vendor B, but it is not possible to construct a VLAN across the DPoE Network. This is a well-recognized limitation of [802.3ah]. The challenge is that neither 1G-EPON nor 10G-EPON specify OAMP to configure the forwarding of traffic between Network to Network Interface

(NNI) ports (I-NNI for MEF or NSI for L2VPN or IP(HSD)) and the PON or UNI ports and the PON. This is not different from other Ethernet standards. For example, if two Ethernet switches from two different vendors are connected, each switch must typically be configured independently. The challenge for EPON is that the remote device (the ONU) cannot be reached directly, and therefore cannot be configured. A solution to this problem must then be based on developing a common (standard) method of reaching the controller for the ONU, identifying the ONU capabilities, and providing that information to the OLT so that it can configure the ONU to forward traffic.

Even if EPON had solved that provisioning challenge, there are no standard management interfaces for the ongoing operations and maintenance of the network, including fault management, performance management, security, etc. Operators already have fully working and scaled-out systems that solve these challenges for DOCSIS networks. One of the primary goals for DPoE specifications is to use the existing DOCSIS back office infrastructure to scale up EPON-based business services.

1.2 Scope

As the name suggests, the scope for this document is the MAC and upper layer protocols for DPoE Networks. The MAC in DPoE Networks is EPON. This specification does not place any additional requirements on the EPON MAC beyond the [802.3] specifications as amended for EPON by [802.3ah] and [802.3av]. The first set of requirements is for the support of DOCSIS-based Operations Administration Maintenance and Provisioning (OAMP) for the MAC and upper layer protocols as specified in [MULPIv3.0]. The second set of requirements is in addition to the above functionality traffic classification (as provisioned) and traffic forwarding (as both provisioned and according to the requirements set forth in this specification).

The primary addition to the DOCSIS specifications are the requirements and accompanying specifications for Metro Ethernet services as described in [DPoE-MEFv2.0].

1.3 Goals

The objective of this specification is to document the requirements to support the automated provisioning of IP High Speed Data Services and Metro Ethernet services over EPON network using DOCSIS provisioning methods and backend servers. The intention of this document is to specify requirements and guidelines to assure interoperability between DPoE products. The idea is to establish requirements that are in addition and in some cases in replacement of requirements in DOCSIS 3.0.

1.4 Requirements

Throughout this document, the words that are used to define the significance of particular requirements are capitalized. These words are:

"MUST" This word means that the item is an absolute requirement of this specification.

"MUST NOT" This phrase means that the item is an absolute prohibition of this specification.

"SHOULD" This word means that there may exist valid reasons in particular circumstances to ignore this item, but the full implications should be understood and the case carefully weighed before choosing a different course.

"SHOULD NOT" This phrase means that there may exist valid reasons in particular circumstances when the listed behavior is acceptable or even useful, but the full implications should be understood and the case carefully weighed before implementing any behavior described with this label.

"MAY" This word means that this item is truly optional. One vendor may choose to include the item because a particular marketplace requires it or because it enhances the product, for example; another vendor may omit the same item.

1.5 DPoE Version 2.0 Specifications

A list of the specifications included in the DPoE 2.0 series is provided in Table 1. For further information please refer to http://www.cablelabs.com/dpoe/specifications.

Table 1 - DPoE 2.0 Series of Specifications

Designation	Title
DPoE-SP-ARCHv2.0	DPoE Architecture Specification
DPoE-SP-DEMARCv2.0	DPoE Demarcation Device Specification
DPoE-SP-OAMv2.0	DPoE OAM Extensions Specification
DPoE-SP-PHYv2.0	DPoE Physical Layer Specification
DPoE-SP-SECv2.0	DPoE Security and Certificate Specification
DPoE-SP-IPNEv2.0	DPoE IP Network Element Requirements
DPoE-SP-MULPIv2.0	DPoE MAC and Upper Layer Protocols Interface Specification
DPoE-SP-MEFv2.0	DPoE Metro Ethernet Forum Specification
DPoE-SP-OSSIv2.0	DPoE Operations and Support System Interface Specification
DPoE-SP-SOAMv2.0	DPoE Service-OAM Specification

1.6 Reference Architecture

The DPoE reference architecture shown in Figure 1 identifies the elements that a DPoE Network minimally requires to illustrate and communicate the physical hardware and logical software interfaces between the functional subsystems of the DPoE architecture. The principal elements in the architecture are the DPoE System that resides in the headend or hub site, and the DPoE ONU (D-ONU) which may be an off-the-shelf EPON ONU, EPON SFP-ONU, or an EPON ONU with additional subsystems. The remaining elements in the architecture are existing servers and systems in the operator's network. All the server elements have connectivity through an IP (TCP/IP) network. Transport of bearer traffic, and (in some cases) Layer 2 OAM Protocol Data Units (PDUs) are available through either IP or Layer 2 Ethernet-based Network Interfaces.

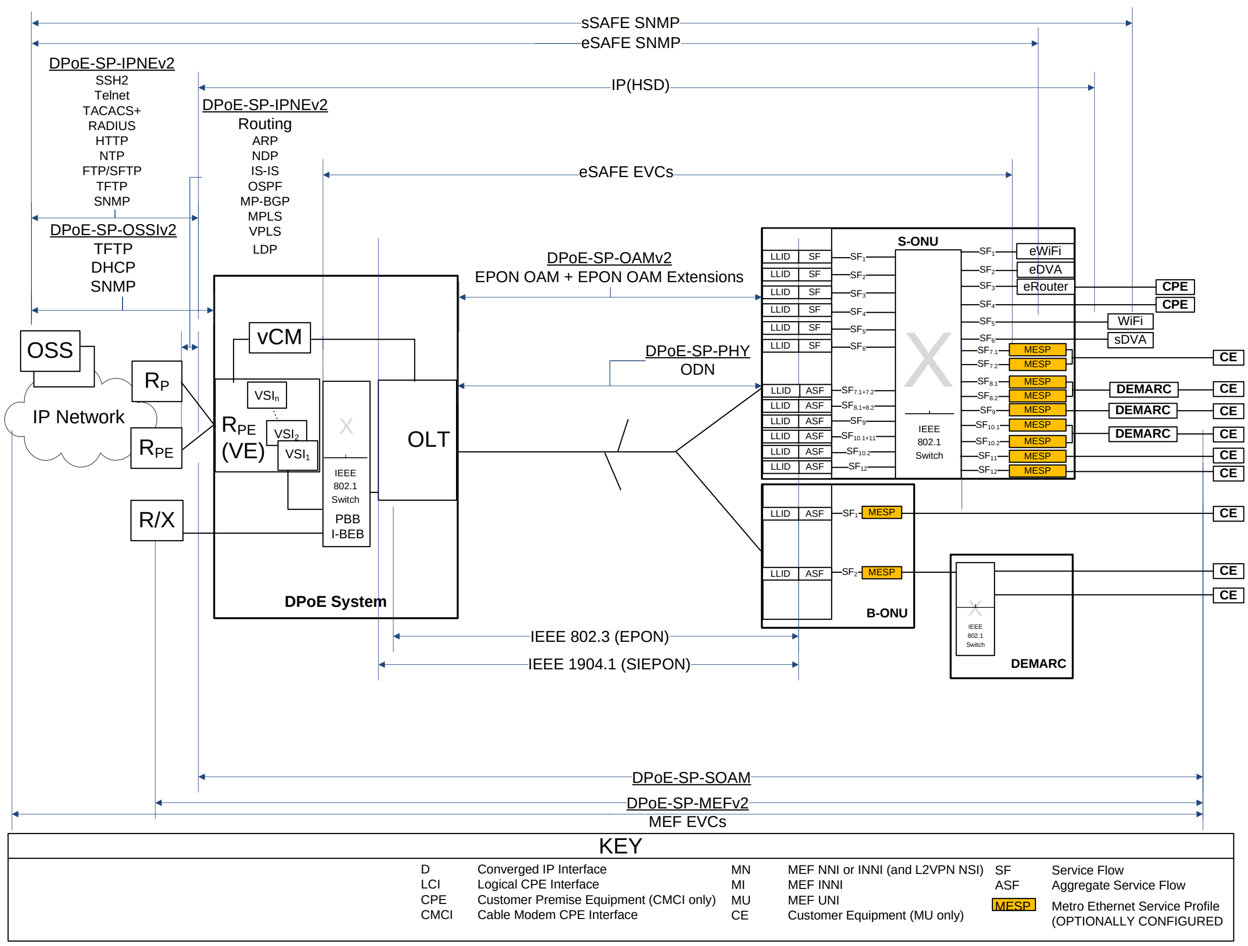

Figure 1 - DPoEv2.0 Reference Architecture

1.7 DPoE Interfaces and Reference Points

The DPoE interfaces and reference points shown in Figure 2 provide a basis for the description and enumeration of DPoE specifications for the DPoE architecture. Each interface or reference point indicates a point between separate subsystems. The reference points have protocols that run across them, or have a common format of bearer traffic (with no signaling protocol). All the interfaces are bi-directional interfaces that support two-way communications. The protocols in DPoE specifications operate within different layers based on the [802.3], [802.1], IETF, MEF, and CableLabs specifications. The C reference points are uni-directional for upstream (C_O) or downstream (C_S) classification, respectively.

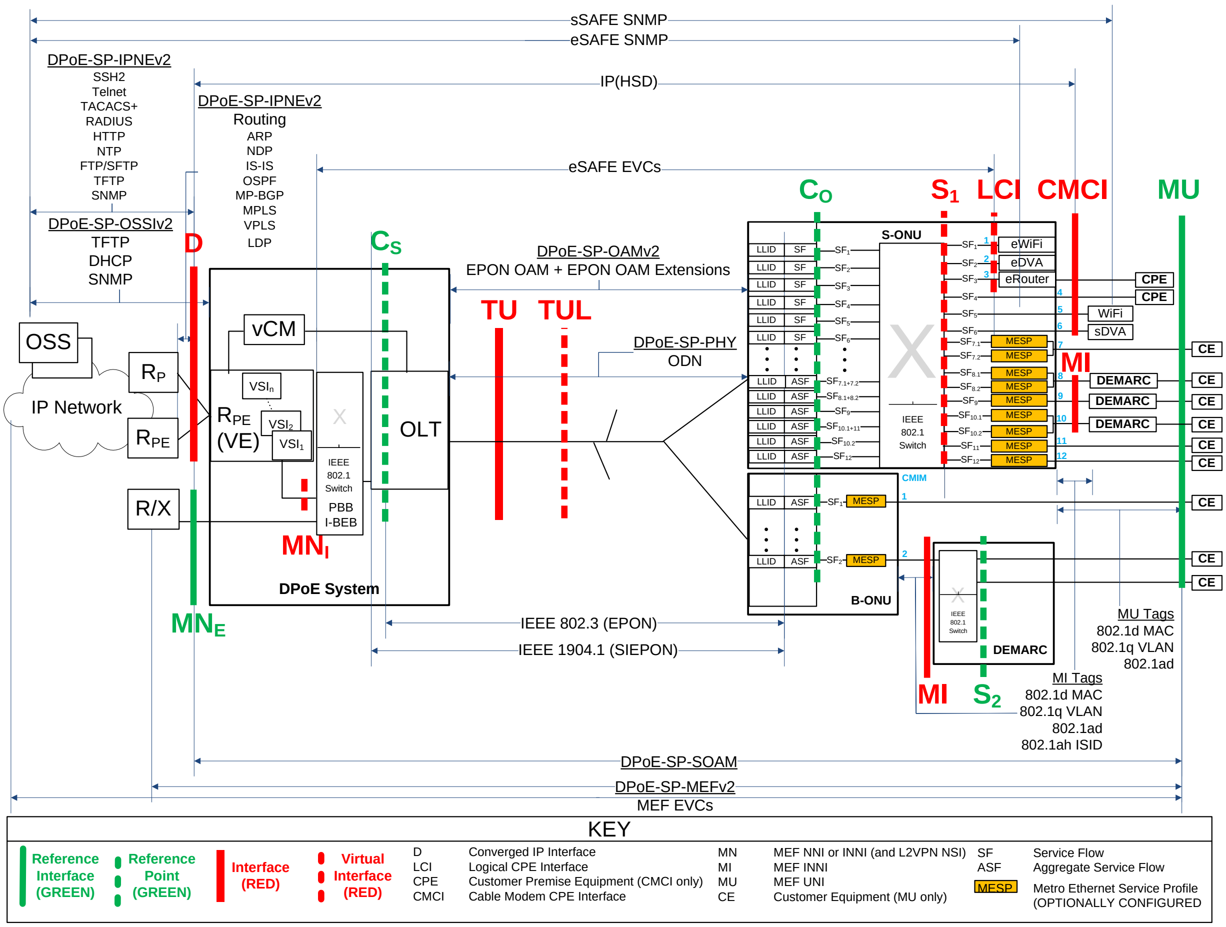

Figure 2 - DPoEv2.0 Interfaces and Reference Points

Table 2 - DPoEv2.0 Interface and Reference Point Descriptions

Interface or Reference Point		Interface or Reference Point Description
MN		MN is a logical concept used for the specification of requirements for MEF INNI that apply to both MN_E and MN_I. MN logically provides the equivalent function of a MEF INNI or L2VPN NSI. It is an NNI for Metro Ethernet services only.
	MN_E	The MN_E (MEF INNI External) interface is a substitute for the MN reference interface from DPoE version 1.0 specifications. The MN interface is an [802.3] interface for Ethernet (or MEF or L2VPN emulated) services only. It serves the role of a MEF INNI or L2VPN NSI. It is an NNI for Metro Ethernet services only.
	MN_I	The MN_I reference interface is used to describe the virtual interface between an OLT and a VPLS Virtual Switch Instance (VSI). In particular, it is used to describe the requirements for stitching VSIs to DPoE System and OLT [802.1] components such as [802.1d] bridge groups, [802.1ad] S-VLAN or C-VLAN (S-component or C-component), or [802.1ad] I-BEB (I-component) or B-BEB (B-component) backbone edge bridges. The DPoE System stitches VPLS and VPWS transport and forwarding for Metro Ethernet Services between the D interface and the MN_I reference interface[1].
D		The D interface is the DOCSIS IP NNI interface. It is an operator network-facing interface, sometimes called a Network Systems Interface (NSI) in DOCSIS specifications. The D interface allows a DPoE System to communicate with an IP network. The D interface carries all IP management traffic including OSSI and IP NE traffic. The D interface carries all DOCSIS IP service traffic, IP/MPLS/VPLS traffic, and IP/MPLS/VPWS traffic.
TU		The TU interface is the interface between the DPoE System and the D-ONU.
TUL		The TUL interface is a virtual interface representing a logical EPON on an ODN. Each ODN has at least one TUL, and each TUL represents a MAC domain.
C		The C reference point is used for explanation of traffic ingress to a DPoE classifier.
	C_O	The C_O reference point is used for explanation of traffic ingress to a D-ONU upstream classifier.
	C_S	The C_S reference point is used for explanation of traffic ingress to a DPoE System downstream classifier.
S		The S interface is an IEEE 802 interface. The S interface may be an internal interface, such as [802.3] across a SERDES (GMII or XGMII) interface in a BP-ONU (such as a SFP-ONU, SFP+ONU or XFP-ONU), or it may be an external Ethernet interface in a BB-ONU or S-ONU. S_1 is an interface for an S-ONU. S_2 is a reference point used for explanation of services with the B-ONU.
	S_1	The S_1 interfaces are the general case of all interfaces on an S-ONU. S_1 interfaces may be CMCI, LCI, MI, or MU interfaces.
	S_2	The S_2 reference point is used for explanation of traffic ingress to and egress from interfaces on a DEMARC device in a DPoE System. Although there are no specifications or requirements for the S_2 reference point, informative text refers to the S_2 reference point to provide the full context for the use of a B-ONU with a DEMARC device providing Metro Ethernet services.

[1] MN_I is required for IP-based forwarding and transport of Metro Ethernet services with DPoE in order to provide MEF E-LAN and E-TREE services described in DPoE version 2.0. While these services can be constructed with MN_E, these specifications do not describe the process to do so.

Interface or Reference Point	Interface or Reference Point Description
LCI	The Logical CPE Interface (LCI) interface is an eDOCSIS interface as defined in [eDOCSIS]. eSAFEs are connected to LCI interfaces.
CMCI	CMCI is the DPoE interface equivalent of the DOCSIS Cable Modem CPE Interface as defined in [CMCIv3.0]. This is the service interface for DOCSIS-based IP services. Customer Premise Equipment (CPE) is connected to CMCI interfaces.
MI	MI is an S interface that operates as a MEF INNI with additional requirements as specified in [DPoE-MEFv2.0]. The MI interface is an [802.3] interface (or reference point) between a D-ONU and a DEMARC device. • A D-ONU that provides a MEF INNI has an MI interface. • A D-ONU can have MU as an interface and an MI reference point on different S interfaces in a single D-ONU. DEMARC devices are connected to MI interfaces.
MU	MU is an S interface (or S reference interface) that operates as a MEF UNI. The MU reference interface is an [802.3] interface (or reference point) between a D-ONU or a DEMARC device and a customer's equipment. • A D-ONU that directly provides a MEF UNI (MU) interface has MU as an interface. • A D-ONU can have MU as an interface and an MI reference point on different S interfaces in a single D-ONU. Customer Edge (CE) devices are connected to MU interfaces.

2 REFERENCES

2.1 Normative References

In order to claim compliance with this specification, it is necessary to conform to the following standards and other works as indicated, in addition to the other requirements of this specification. Notwithstanding, intellectual property rights may be required to use or implement such normative references. At the time of publication, the editions indicated were valid. All references are subject to revision, and users of this document are encouraged to investigate the possibility of applying the most recent editions of the documents listed below. References are either specific (identified by date of publication, edition number, version number, etc.) or non-specific. For a non-specific reference, the latest version applies.

In this specification, terms "802.1ad" and "802.1ah" are used to indicate compliance with the [802.1ad] and [802.1ah] standards, respectively, now incorporated as part of [802.1Q]. For all intents and purposes, claiming compliance to [802.1Q], [802.1ad] or [802.1ah] in the scope of this specification will be treated as claiming compliance to IEEE Std. 802.1Q-2011. Unless otherwise stated, claiming compliance to 802.1q-2005 requires a specific date reference.

[802.1] Refers to entire suite of IEEE 802.1 standards unless otherwise specified.

[802.1ad] IEEE Std. 802.1ad-2005™, IEEE Standard for Local and Metropolitan Area Networks – Virtual Bridged Local Area Networks Amendment 4: Provider Bridges, May 2006. Former amendment to 802.1Q, now part of 802.1Q-2011.

[802.1ah] IEEE Std. 802.1ah-2008, IEEE Standard for Local and Metropolitan Area Networks – Virtual Bridged Local Area Networks – Amendment 6: Provider Backbone Bridges, January 2008. Former amendment to 802.1Q, now part of 802.1Q-2011.

[802.1d] IEEE Std. 802.1d™-2004, IEEE Standard for Local and Metropolitan Area Networks: Media Access Control (MAC) Bridges.

[802.1p] IEEE Std. 802.1p (2004), LAN Layer 2 QoS/CoS Protocol For Traffic Prioritization.

[802.1Q] IEEE Std. 802.1Q-2011, IEEE Standard for Local and Metropolitan Area Networks - Media Access Control (MAC) Bridges and Virtual Bridge Local Area Networks, August 2011.

[802.3] IEEE Std. 802.3-2008, Carrier Sense Multiple Access with Collision Detection (CSMA/CD) access method and Physical Layer specifications, January 2008.

[802.3ah] IEEE Std. 802.3ah™-2004: Amendment to IEEE 802.3™-2005: Media Access Control Parameters, Physical Layers, and Management Parameters for Subscriber Access Networks, now part of [802.3].

[802.3as] IEEE Std. 802.3as-2006™. Amendment 3 to IEEE Standard for Information technology-Telecommunications and information exchange between systems-Local and metropolitan area networks-Specific requirements-Part 3: Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications Amendment 3, November 2006.

[802.3av] IEEE Std. 802.3AV-2009, IEEE Standard for Information technology-Telecommunications and information systems-Local and metropolitan area networks-Specific requirements, Part3 3: Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications Amendment 1: Physical Layer Specifications and Management Parameters for 10Gb/s Passive Optical Networks.

[1588v2] IEEE Std. 1588™-2008, IEEE Standard for a Precision Clock Synchronization Protocol for Networked Measurement and Control Systems.

[CANN-DHCP-Reg] CableLabs' DHCP Options Registry, CL-SP-CANN-DHCP-Reg, Cable Television Laboratories, Inc.

[CMCIv3.0] Data-Over-Cable Service Interface Specifications, Cable Modem to Customer Premise Equipment Interface Specification, CM-SP-CMCIv3.0, Cable Television Laboratories, Inc.

[DOCSIS] Refers to entire suite of DOCSIS 3.0 specifications unless otherwise specified.

[DPoE-ARCHv2.0] DOCSIS Provisioning of EPON, DPoE Architecture Specification, DPoE-SP-ARCHv2.0, Cable Television Laboratories, Inc.

[DPoE-DEMARCv2.0] DOCSIS Provisioning of EPON, DPoE Demarcation Device Specification, DPoE-SP-DEMARCv2.0, Cable Television Laboratories, Inc.

[DPoE-IPNEv2.0] DOCSIS Provisioning of EPON, IP Network Element Requirements, DPoE-SP-IPNEv2.0, Cable Television Laboratories, Inc.

[DPoE-MEFv2.0] DOCSIS Provisioning of EPON, Metro Ethernet Forum Specification, DPoE-SP-MEFv2.0, Cable Television Laboratories, Inc.

[DPoE-OAMv2.0] DOCSIS Provisioning of EPON, OAM Extensions Specification, DPoE-SP-OAMv2.0, Cable Television Laboratories, Inc.

[DPoE-OSSIv2.0] DOCSIS Provisioning of EPON, Operations and Support System Interface Specification, DPoE-SP-OSSIv2.0, Cable Television Laboratories, Inc.

[DPoE-PHYv2.0] DOCSIS Provisioning of EPON, Physical Layer Specification, DPoE-SP-PHYv2.0, Cable Television Laboratories, Inc.

[DPoE-SECv2.0] DOCSIS Provisioning of EPON, Security and Certificate Specification, DPoE-SP-SECv2.0, Cable Television Laboratories, Inc.

[DPoE-SOAMv2.0] DOCSIS Provisioning of EPON, DPoE Service OAM Specification, DPoE-SP-SOAMv2.0, Cable Television Laboratories, Inc.

[eDOCSIS] Data-Over-Cable Service Interface Specifications, eDOCSIS Specification, CM-SP-eDOCSIS, Cable Television Laboratories, Inc.

[eRouter] Data-Over-Cable Service Interface Specifications, eRouter Specification, CM-SP-eRouter, Cable Television Laboratories, Inc.

[L2VPN] Data-Over-Cable Service Interface Specifications, Layer 2 Virtual Private Networks, CM-SP-L2VPN, Cable Television Laboratories, Inc.

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[MULPIv3.0] Data-Over-Cable Service Interface Specifications, MAC and Upper Layer Protocols Interface Specification, CM-SP-MULPIv3.0, Cable Television Laboratories, Inc.

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[RFC 2132] IETF RFC 2132, DHCP Options and BOOTP Vendor Extensions, S. Alexander, R. Droms, March 1997.

[RFC 3046] IETF RFC 3046, DHCP Relay Agent Information Option, January 2001.

[RFC 3315] IETF RFC 3315, R. Droms, Ed., J. Bound, B. Volz, T. Lemon, C. Perkins, M. Car, Dynamic Host Configuration Protocol for IPv6 (DHCPv6), July 2003.

[RFC 3376] IETF RFC 3376, B. Cain, S. Deering, I. Kouvelas, B. Fenner, A.Thyagarajan, Internet Group Management Protocol, Version 3, October 2002.

[RFC 3513] IETF RFC 3513, R. Hinden, S. Deering, Internet Protocol Version 6 (IPv6) Addressing Architecture, April 2003.

[RFC 3810] IETF RFC 3810, R. Vida, Ed., L. Costa, Ed. Multicast Listener Discovery Version 2 (MLDv2) for IPv6, June 2004.

[RFC 4361] IETF RFC 4361 Node-specific Client Identifiers for Dynamic Host Configuration, February 2006.

[RFC 4649] IETF RFC 4649, B. Volz, "Dynamic Host Configuration Protocol for IPv6 (DHCPv6) Relay Agent Remote-ID Option" August 2006.

[RFC 4862] IETF RFC 4862, S. Thomson, T. Narten, T. Jinmei, IPv6 Stateless Address Autoconfiguration, September 2007.

2.2 Informative References

This specification uses the following informative references.

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[802.1ax] IEEE Std. 802.1ax-2008, IEEE Standard for Local and Metropolitan Area Networks-Link Aggregation, January 2008.

[802.3ac] IEEE Std. 802.3ac-1995™, IEEE Standard for Carrier Sense Multiple Access with Collision Detection (CSMA/CD) Access Method and Physical Layer Specifications - Frame Extensions for Virtual Bridged Local Area Network (VLAN) Tagging on [802.3] Networks, January 1995. Now part of [802.3].

[MEF 6] Metro Ethernet Forum, MEF 6.1 Ethernet Services Definitions, Phase 2, April 2008.

[MEF 9] Metro Ethernet Forum, Abstract Test Suite for Ethernet Services at the UNI, October 2004.

[MEF 14] Metro Ethernet Forum, Abstract Test Suite for Traffic Management Phase 1, November 2005.

[MEF 21] Metro Ethernet Forum, Service OAM and Requirements Framework, Phase 1, April 2007.

[MEF 26] Metro Ethernet Forum, External Network to Network Interface (ENNI) – Phase 1, January 2010.

[OSSIv3.0] Data-Over-Cable Service Interface Specifications, Operations Support System Interface Specification, CM-SP-OSSIv3.0, Cable Television Laboratories, Inc.

[PHYv3.0] Data-Over-Cable Service Interface Specifications, Physical Layer Specification, CM-SP-PHYv3.0, Cable Television Laboratories, Inc.

[RFC 1918] IETF RFC 1918, Address Allocation for Private Internets.

[RFC 2669] IETF RFC 2669, DOCSIS Cable Device MIB Cable Device Management Information Base for DOCSIS compliant Cable Modems and Cable Modem Termination Systems. August 1999.

[RFC 2863] IETF RFC 2863, The Interfaces Group MIB, June 2000.

[RFC 3032] IETF RFC 3032, MPLS Label Stack Encoding, January 2001.

[RFC 3418] IETF RFC 3418/STD0062, Management Information Base (MIB) for the Simple Network Management Protocol (SNMP), June 2000.

[RFC 4188] IETF RFC 4188, Definitions of Managed Objects for Bridges, September 2005.

[RFC 4293] IETF RFC 4293, Management Information Base for the Internet Protocol (IP), April 2006.

[RFC 5462] IETF RFC 5462, Multiprotocol Label Switching (MPLS) Label Stack Entry: "EXP" Field Renamed to "Traffic Class" Field, February 2009.

[SCTE 174] ANSI/SCTE 174 2010, Radio Frequency over Glass Fiber-to-the-Home Specification.

[SECv3.0] Data-Over-Cable Service Interface Specifications, Security Specification, CM-SP-SECv3.0, Cable Television Laboratories, Inc.

[SFF-8077i] SFF-8077i 10 Gigabit Small Form Factor Pluggable Module, Revision 4.0, released April 13, 2004.

[SFF-8472] SFF-8472 Specification for Diagnostic Monitoring Interface for Optical Transceivers, Revision 10.4, released January 2009.

[SFP MSA] INF 8074i Rev 1.0, Small Form-factor Pluggable Multi-Source Agreement, released 12 May 2001.

2.3 Reference Acquisition

- Cable Television Laboratories, Inc., 858 Coal Creek Circle, Louisville, CO 80027; Phone +1-303-661-9100; Fax +1-303-661-9199; http://www.cablelabs.com
- Internet Engineering Task Force (IETF) Secretariat, 48377 Fremont Blvd., Suite 117, Fremont, California 94538, USA, Phone: +1-510-492-4080, Fax: +1-510-492-4001, http://www.ietf.org
- Institute of Electrical and Electronics Engineers (IEEE), +1 800 422 4633 (USA and Canada); http://www.ieee.org
- SCTE, Society of Cable Telecommunications Engineers Inc., 140 Philips Road, Exton, PA 19341 Phone: +1-800-542-5040, Fax: +1-610-363-5898, Internet: http://www.scte.org/
- Small Form Factor Committee (SFF), http://www.sffcommittee.com

3 TERMS AND DEFINITIONS

3.1 DPoE Network Elements

DPoE Network
This term means all the elements of a DPoE implementation, including at least one DPoE System, one or more D-ONUs connected to that DPoE System, and possibly one or more DEMARCs.

DPoE System
This term refers to the set of subsystems within the hub site that provides the functions necessary to meet DPoE specification requirements.

DPoE ONU (D-ONU)
This term means a DPoE-capable ONU that complies with all the DPoE specifications. There are two logical types of D-ONUs. These are the DPoE Standalone ONU (S-ONU) and the DPoE Bridge ONU (B-ONU). Requirements specified for a D-ONU must be met by all ONUs.

DPoE Standalone ONU (S-ONU)
This term means a D-ONU that provides all the functions of a B-ONU and also provides at least one CMCI port. An S-ONU can optionally have one or more eSAFEs.

DPoE Bridge ONU (B-ONU)
This term means a D-ONU that is capable of [802.1] forwarding but cannot do all the encapsulation functions required to be an S-ONU. The B-ONU is a logical definition used by the specification for requirements that apply to all types of B-ONUs. The two types of B-ONUs are the BP-ONU and the BB-ONU.

DPoE Bridge Pluggable ONU (BP-ONU)
This term means a D-ONU that is a B-ONU which is pluggable. Pluggable BP-ONUs include devices such as an SFP-ONU (1G-EPON), SFP+ONU (10G-EPON), or XFP-ONU (10G-EPON).

DPoE Bridge Baseband ONU (BB-ONU)
This term means a D-ONU that is a B-ONU which has a baseband IEEE Ethernet interface. BB-ONUs include those with one or more [802.3] baseband PMDs. (See [DPoE-ARCHv2.0], section 7.2.6.2 for examples.)

DEMARC
Short form of "Demarcation Device." This term means the device, owned and operated by the operator that provides the demarcation (sometimes called the UNI interface) to the customer. Some architectures describe this device as the CPE (as in DOCSIS) or the NID (as in the MEF model).

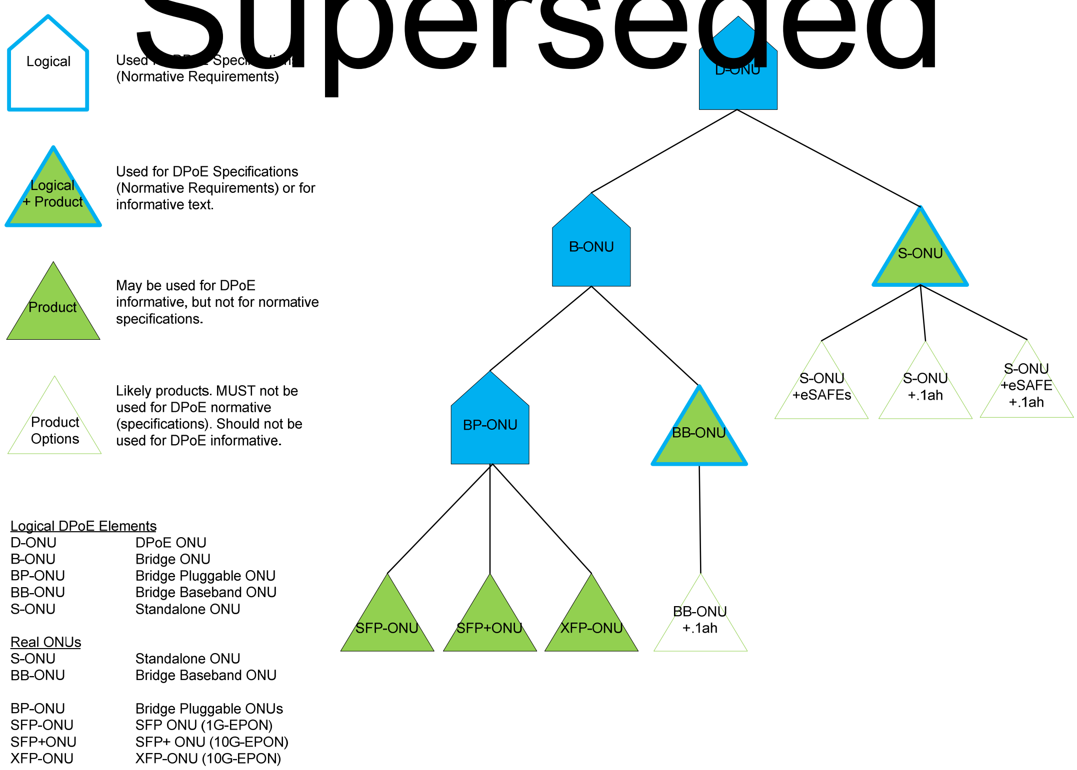

Figure 3 - D-ONU Types

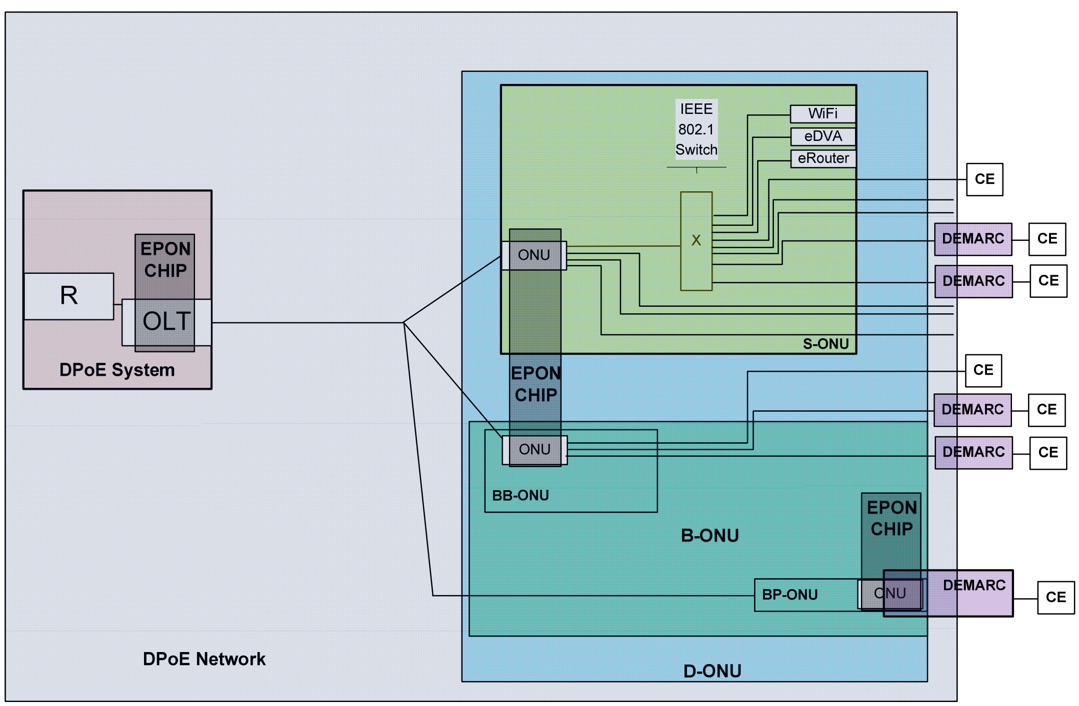

Figure 4 - DPoE Network Elements

3.2 Other Terms and Definitions

1G-EPON	EPON as defined in [802.3ah].
10G-EPON	EPON as defined in [802.3ah] and amended in [802.3av].
Address Resolution Protocol	A protocol of the IETF for converting network addresses to 48-bit Ethernet addresses.
Byte	A contiguous sequence of eight bits. An octet.
Burst	A single, continuous transmission in the upstream direction originating from a single ONU, where queued customer data is transmitted towards the DPoE System at the full data rate supported by the transmission channel. Between bursts, ONUs do not transmit any data.
Cable Modem CPE Interface	CMCI as defined in [MULPIv3.0].
Classifier	A set of criteria used for packet matching according to TCP, UDP, IP, LLC, [802.1p] or [802.1Q] packet fields. A classifier maps each packet to a Service Flow. A Downstream classifier is used by the DPoE System to assign packets to downstream service flows. An Upstream classifier is used by The D-ONU to assign packets to upstream service flows.
Codeword	An element of an error-correcting code used to detect and correct transmission errors.
Customer Premise Equipment (CPE)	Customer Premise Equipment as defined in [DOCSIS].
Data Link Layer	Layer 2 in the Open System Interconnection (OSI) architecture; the layer that provides services to transfer data over the transmission link between open systems (here, equal to EPON).
Data Rate	Rate Throughput, data transmitted in units of time usually in bits per second (bps). Various multipliers are used in this document, ranging from kbit/s (thousand bits per second) to Gbps (billion bits per second).
EPON Operations and Maintenance Messaging (OAM)	EPON OAM messaging as defined in [802.3ah] and [DPoE-OAMv2.0]; Ethernet OAM is not the same as EPON OAM; Ethernet OAM is [802.1ag].
Ethernet Passive Optical Network (EPON)	Refers to both 1G-EPON and 10G-EPON collectively.
Frame	Basic data organizational unit. Here, equal to MAC frame per [802.3], Clause 4.
Logical CPE Interface	LCI as defined in [eDOCSIS].
Network Interface Device (NID)	A DEMARC device in DPoE specifications.
Upstream	The direction of transmission from the customer to the head-end.

4 ABBREVIATIONS AND ACRONYMS

This specification uses the following abbreviations:

ASF	Aggregate Service Flow
ASFID	Aggregate Service Flow Identifier
ASF-REF	Aggregate Service Flow Reference
BE	Best Effort Service
B-DA	Backbone MAC Destination Address
B-SA	Backbone MAC Source Address
B-VID	Backbone VLAN ID
CBS	Committed Burst Size
CID	Classifier IDs
CIR	Committed Information Rate
CMCI	Cable Modem CPE Interface as defined in [CMCIv3.0]
CMIM	Cable Modem Interface Mask
CoS	Class of Service
CPE	Customer Premise Equipment
DBA	Dynamic Bandwidth Allocation
DCID	Downstream Channel Identifier
DPM	Dual-stack Provisioning Mode
DPoE	DOCSIS Provisioning of EPON
DR	Default Router
DUT	Downstream Unencrypted Traffic
EBS	Excess Burst Size
EIR	Excess Information Rate
ENNI	External Network to Network Interface
EPON	Ethernet Passive Optical Network; refers to both 1G-EPON and 10G-EPON collectively
EToD	EPON Time of Day
eSAFE	embedded Service/Application Functional Entity
EVC	Ethernet Virtual Connection
FEC	Forward error correction
Gbps	Gigabits per second (as used in the industry)
GSF	Group Service Flows
IM	Intensity Modulated
IP	Internet Protocol
IP(HSD)	High Speed Data Broadband Internet Access using DOCSIS
I-NNI	Internal Network to Network Interface
I-SID	[802.1ah] I-Component Service Identifier
IP-SG	IP Serving Group
LCI	Logical CPE Interface as defined in [eDOCSIS]
LLID	Logical Link Identifier

LTE	Logical Topology Emulation
MEF	Metro Ethernet Forum
MEN	Metro Ethernet Network
MESP	Metro Ethernet Service Profile
MESPID	Metro Ethernet Service Profile Identifier
MESP-REF	Metro Ethernet Service Profile Reference
MI	MEF INNI Interface at a customer premise
MN	MEF INNI Interface to operators MEN
MPCP	Multi-Point Control Protocol
MPCPDU	MPCP Data Unit
MSC	Mobile Switching Center
MU	MEF UNI Interface
NID	Network Interface Device
NNI	Network to Network Interface
NSI	Network Systems Interface
OAM	Operations Administration and Maintenance
OAMP	Operations Administration Maintenance and Provisioning
ODN	Optical Distribution Network
OLT	Optical Line Termination
ONU	Optical Network Unit
OSC	Optical Splitter Combiner
OSI	Open System Interconnection
P2MP	Point to Multi-Point
P2P	Point-to-Point
P2PE	Point-to-Point Emulation
PB	Provider Bridging [802.1ad]
PBB	Provider Backbone Bridging [802.1ah]
PCS	Physical Coding Sublayer
PDUs	Protocol Data Units
PHY	Physical Layer
PMA	Physical Medium Attachment
PMD	Physical Media Dependent (Sublayer)
PON	Passive Optical Network
QoS	Quality of Service
R	IP Router
RAIO	Relay Agent Information Option
RS	Reconciliation Sublayer
RTPS	Real Time Polling Service
RTT	Round Trip Time
SAO	DPoE Standalone ONU
SCB	Single Copy Broadcast

sDVA Standalone Digital Voice Adapter

SF Service Flow

SFID Service Flow Identifier

SFP Small Form-factor Pluggable

SFP+ Small Form-factor Pluggable Plus (+)

SFTP Secure File Transfer Protocol

SNMP Simple Network Management Protocol

TDM Time Division Multiplexing

TDMA Time Division Multiple Access

TFTP Trivial File Transfer Protocol

ToD Time of Day

TPID Tag Protocol Identifier

TQ Time Quanta

UCID Upstream Channel Identifier

UGS Unsolicited Grant Service

UNI User Network Interface

vCM Virtual Cable Modem

VFI Virtual Forwarding Instance

VSI Virtual Switch Instances

V-UNI Virtual-UNI

WSC Wireless Switching Center

X IEEE Ethernet Switch (Generic)

XFP X Form-factor Pluggable

5 OVERVIEW AND THEORY OF OPERATIONS

5.1 MULPI Key Features

DPoE specifications introduce a number of features that build upon features defined in the DOCSIS 3.0 specifications, as well as EPON specifications (i.e., [802.3ah] and [802.3av]), together with the series of other relevant specifications as listed in Section 2. This specification includes the following key new features for the MAC and Upper Layer Protocols Interface as compared to the DOCSIS 3.0 version [MULPIv3.0].

Downstream Channel transmission is operated using Time Division Multiplexing (TDM) transmission over EPON with Intensity Modulated signal. Channel Bonding [MULPIv3.0] is not supported in DPoE Networks, and bandwidth is assigned to individual links or circuits on demand via the Dynamic Bandwidth Allocation (DBA) operating in the DPoE System. DPoE specifications building on 1G-EPON [802.3ah] offer an effective downstream bandwidth of approximately 960 Mbit/s (already accounting for transmission overhead, excluding optional Forward error correction (FEC) parity), while DPoE specifications building on 10G-EPON [802.3av] offer an effective downstream bandwidth of approximately 8.9 Gbps (already accounting for transmission overhead, including mandatory FEC parity etc.). The downstream channel in DPoE Networks provides broadcast and multicast capability inherent for Point to Multi Point (P2MP) passive architecture of EPON.

Upstream Channel transmission is operated using Time Division Multiple Access (TDMA) transmission over EPONs with IM signal, where several D-ONUs connected to a single DPoE System time-share a single receiver to the upstream medium. Channel Bonding is not supported in DPoE Networks, and bandwidth is assigned to individual D-ONUs / logical entities on demand via the DBA operating at the DPoE System. DPoE specifications building on 1G-EPON [802.3ah] offer an effective upstream bandwidth of approximately 920 Mbit/s (already accounting for transmission overhead, including typical band gaps, excluding optional FEC parity), while DPoE specifications building on 10G-EPON [802.3av] offer an effective upstream bandwidth of approximately 8.6 Gbps (already accounting for transmission overhead, including mandatory FEC parity and band gaps, etc.).

5.2 Technical Overview

This specification defines the MAC layer protocols of the DPoE Network elements, as well as requirements for upper layer protocols (IP, DHCP, etc.) operating on top of the EPON MAC. DPoE specifications introduce the EPON MAC as a substitute for the DOCSIS MAC in the DOCSIS specifications, reusing EPON MAC definitions for transmission of Ethernet encapsulated data over P2MP passive optical links.

EPON, and therefore DPoE specifications, do not support DOCSIS MAC-specific functions, such as:

- DOCSIS Dynamic Quality of Service (QoS) establishment and two-phase activation process;
- DOCSIS-specific load balancing;
- DOCSIS channel bonding in upstream and downstream channels;
- frame fragmentation at the transport layer;

5.2.1 Multicast Operation

The DPoEv2.0 Specifications support IP multicast for IP(HSD) services by adopting the IP multicast model defined in [MULPIv3.0]. This model supports the delivery of Any Source Multicast (ASM) and Source-Specific Multicast (SSM) IP multicast streams to D-ONUs. As defined in [MULPIv3.0], the D-ONU is not aware of IP multicast control protocols. In DPoE specifications, the D-ONU does not proxy or snoop to track Layer-3 IP multicast group membership. Instead, all of the processing and management functionality related to multicast group membership is at the DPoE System.

The DPoE Network supports the provisioning and operation of IP multicast for IP(HSD) as defined in [MULPIv3.0], and this includes:

- Support for forwarding Source Specific Multicast traffic for IGMPv3 [RFC 3376] and MLDv2 [RFC 3810] CPE devices.
- Support for forwarding Any Source Multicast traffic for IGMPv1/v2 and MLDv1 CPE devices.
- Support for downstream multicast QoS
- Support for static multicast
- Support for downstream encrypted multicast
- Support for IPv4 and IPv6 multicast traffic
- Explicit tracking at the DPoE System of CPEs joined to a given multicast group

The following exceptions and differences from [MULPIv3.0] for support of IP multicast apply to this version of DPoE specifications:

- Upstream multicast is not defined in this version of the DPoE specification but the forwarding of upstream multicast traffic is not actively prevented. There is no upstream support defined for functionality such as multicast QoS or upstream multicast encryption.
- Pre-Registration IP multicast is not supported.
- Downstream Service ID (DSID) defined in [MULPIv3.0] is replaced with a multicast LLID (mLLID).
- Support for multicast authorization in the DPoE network is performed on a per D-ONU CMIM-Interface basis.
- QoS Authorization is defined as part of the multicast serving group in [DPoE-IPNEv2.0].

5.2.2 Network and Higher Layer Protocols

The DPoE System MUST perform (Ethernet) MAC Layer bridging and Network Layer routing of data traffic. The D-ONU MUST perform only MAC layer bridging of data traffic. However, both DPoE System and D-ONU are network-layer and transport-layer aware. Specifically, the DPoE System and D-ONU support classifying user traffic, based on operator configured set of criteria, including network layer and transport layer information among others, for purposes of providing QoS and packet filtering.

Additionally, the DPoE System MUST support the following protocols for operation and management:

- SNMP
- TFTP, used by the DPoE System for downloading operational software and configuration information.
- SFTP, used by the DPoE System as a file transfer method for DEMARC Automatic Configuration.

- DHCPv4 and DHCPv6 used by the DPoE System to obtain IP addresses and other configuration for D-ONU for vCM and DEMARC provisioning and management.

5.2.3 vCM, D-ONU, and CPE Provisioning and Management

5.2.3.1 Initialization, Provisioning and Management of CMs

During initialization, the D-ONU goes through a number of steps before becoming fully operational in the DPoE Network. The full initialization comprises the same four fundamental stages specified for a DOCSIS CM:

1. Topology resolution and physical layer initialization
2. Authentication and encryption initialization
3. IP initialization
4. Registration (MAC layer initialization)

The D-ONU initialization is shown in Figure 5.

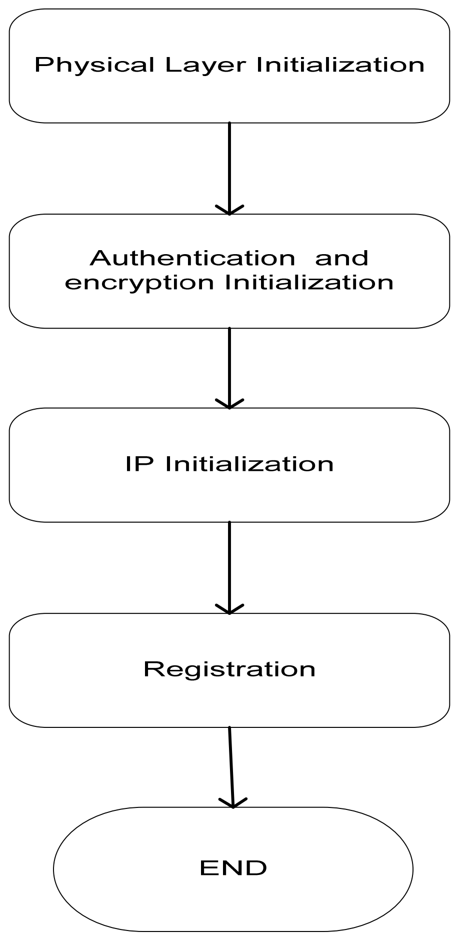

Figure 5 - D-ONU Initialization

The first stage, topology resolution and physical layer initialization, is specified in [DPoE-PHYv2.0], which describes the DPoE Network relationship to EPON system specifications. The 1G-EPON specifications were released in [802.3ah], while higher speed 10G-EPON specifications were released in [802.3av].

The second stage, authentication and encryption, is specified in [DPoE-SECv2.0], which describes how security is implemented in a DPoE Network.

The third stage, IP Initialization, requires the assignment of an IPv4, IPv6, or IPv4 and IPv6 address to a vCM. Depending on the capabilities of the OSSI system, this enables management of the D-ONU through the vCM. Since the D-ONU does not contain an IP stack (i.e., not directly addressable using IP), the vCM MUST obtain an IP address and CM configuration file from the OSS provisioning systems, on behalf of the D-ONU, as part of the registration process.

As described in [DPoE-OSSIv2.0] y, the DPoE System MUST provide management capabilities on behalf of the D-ONU for all IP-based management functions when the OSS management systems direct management requests to a given D-ONU. The concept of a virtual Cable Modem (vCM) is used in this specification to represent the IP-addressable management entity maintained and controlled within the DPoE System; one vCM is maintained per D-ONU. See Figure 6. The vCM is used to map requirements that were previously required of the DOCSIS Cable Modem to requirements on the DPoE System. Note that all requirements written against the vCM are understood to be directly interpreted as DPoE System requirements.

When the DPoE System receives management requests destined to a vCM, it checks whether the given management request requires interaction with the D-ONU. If no interaction is needed the request is handled locally, but if the request requires an extended Operations Administration and Maintenance (eOAM) message exchange between the DPoE System and the D-ONU, it converts those requests into the appropriate eOAM messages, and sends the eOAM requests to the corresponding D-ONU as needed. See [DPoE-OAMv2.0] for a full description of the DPoE OAM messaging.

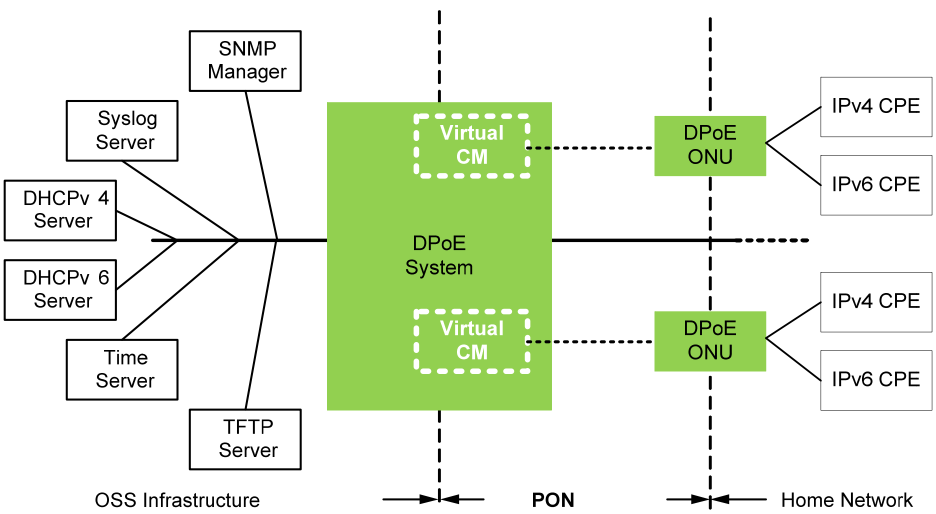

Figure 6 - vCM within the DPoE network

The DPoE System uses DHCPv4 to acquire an IPv4 address and/or uses DHCPv6 to acquire an IPv6 address. This step is followed by TFTP to obtain D-ONU operational parameters. To facilitate compatibility with existing provisioning systems, this process is identical to the DOCSIS CM provisioning process and is further described in Section 9.

The fourth stage, registration, involves the DPoE System processing the CM configuration file. The DPoE System validates the contents and configures the DPoE System and D-ONU based on the service provisioning information in the CM configuration file. The vCM is used by the DPoE System to store the registration state, as well as the configuration of the D-ONU.

After the DPoE System completes initialization, the vCM is a manageable network element in the operator's IP network. The vCM supports SNMP (as mentioned above), and responds to queries directed to the IPv4 and/or IPv6 address that it acquired during initialization.

5.2.3.2 Initialization, Provisioning, and Management of CPEs

DOCSIS specifications assume the use of DHCP for provisioning of CPE devices (per [DPoE-ARCHv2.0]). To that end, the DPoE System MUST support a DHCP Relay Agent that allows the operator to associate a CPE IP address (DHCP) request with the customer D-ONU MAC address. This feature is also used as the basis of a mechanism that prevents spoofing of IP addresses.

5.2.3.3 Relationship between CMIM and D-ONU Ports

The Cable Modem Interface Mask (CMIM) is a bit mask representing the interfaces of the D-ONU from which the D-ONU classifies traffic in the upstream and sends traffic to on the downstream. These interfaces include both physical ports and Logical CPE Interfaces (LCI) on the D-ONU. Any of the classifier configuration related to these interfaces is provisioned using the CMIM. The vCM is responsible for translating between a CMIM-bit position and the corresponding port on the D-ONU, this translation is needed as the eOAM uses port numbers.

5.2.4 Relationship to the Physical Plant Topology

The DPoE Network uses an all-fiber passive Optical Distribution Network (ODN). Typically there are no active elements in the ODN. The ODN elements include optical splitter/combiners, connectors, and fiber.

In the DPoE Network, a MAC Domain is defined as a shared group of upstream and downstream channels on the same logical TU interface (TUL) that require the use of a shared scheduling algorithm for all D-ONUs on those channels. The DPoE System MUST allocate unique LLIDs for all D-ONUs within the same MAC Domain.

The concept of MAC Domain in the DPoE Network is used for the purpose of compatibility with [OSSIv3.0] functions and to support 1G/10G coexistence. A DPoE System MUST represent each TUL as a single MAC Domain to the DOCSIS OSS in order to provide backwards compatibility with [MULPIv3.0]. A DPoE System MUST have at least one TUL per TU.

The DPoE System MUST ensure the following for a MAC Domain:

- Each D-ONU belongs to one and only one MAC Domain.
- Each downstream channel belongs to one and only one MAC Domain.
- Each upstream channel belongs to one and only one MAC Domain
- A MAC Domain can contain multiple downstream channels.
- For the purposes of compatibility with [OSSIv3.0] functions, an 8-bit Downstream Channel ID (DCID) is assigned by the DPoE System to each downstream channel within a MAC Domain. Similar to the definition within [MULPIv3.0], the DCID value is unique within the scope of a particular MAC Domain.

- A MAC Domain can contain multiple upstream channels.
- For the purposes of compatibility with [OSSIv3.0] functions, an 8-bit Upstream Channel ID (UCID) is assigned by the DPoE System to each upstream channel within a MAC Domain. Similar to the definition within [MULPIv3.0], the UCID value is unique within the scope of a particular MAC Domain.

The DPoE Network implements the necessary [DOCSIS] functionality primarily through the proxy of these functions on the DPoE System, which operates a vCM in place of a CM to emulate the function of the CM for management purposes in a DPoE Network. In DOCSIS specifications, the MAC Domain is used to directly manage CMs in the MAC Domain, without respect to the IP address of the CM. Likewise, the DPoE specification uses MAC domains to directly manage services on the D-ONU.

5.2.4.1 Examples of MAC Domain Relationship to the Physical Plant Topology

Although this specification does not explicitly mandate the number of MAC Domains to associate with a particular physical plant topology, this section provides examples of the relationship between MAC Domain and various DPoE Network topologies.

These examples define a TU interface with various combinations of 1G and 10G downstream channels, with never more than one of each type of channel available on a TU interface. Therefore, the wavelength bands of the downstream channels do not overlap and the output from the transmitters can be multiplexed using a WDM coupler.

10G downstream channel using the 1575-1580 nm wavelength band

1G downstream channel using the 1480-1500 nm wavelength band

The examples also include various combinations of 1G and 10G upstream channels. Depending on the 1G wavelength band in use, the upstream channels may overlap. If they overlap, a scheduler treats them as a single channel, only allowing one D-ONU transmitter of either type to be active at a time.

10G upstream channel using the 1260-1280 nm wavelength band

1G upstream channel using the 1260-1360 nm wavelength band

The first column in the table below identifies the type of downstream channels available on a particular TU interface. The second column identifies the type of upstream channels in use or planned on the same TU interface. The third column identifies the number of MAC Domains that a vendor or operator may want to associate with the TU interface given the number and type of channels available.

Downstreams in TU	Upstreams in TU	MDs (TULs) in TU
1G	1G	1
10G	1G	1
10G	10G	1
10G	10G and 1G overlapping	1
10G	10G and 1G non-overlapping	1
10G and 1G	1G	1
10G and 1G	10G and 1G overlapping	1
10G and 1G	10G and 1G non-overlapping	1 or 2

In most of the examples above, the upstream channels must be assigned to the same scheduler and therefore the same MAC Domain. In the last case, there is the possibility of scheduling the upstreams independently, resulting in the option of associating all channels to one MAC Domain or splitting the channels across two MAC Domains. If the latter case is chosen, the MAC domain (TUL) channel assignment could be as follows:

MAC Domain #1: 1G Downstream + 1G Upstream

MAC Domain #2: 10G Downstream + 10G Upstream

The first MAC Domain would include only the 1G channels and support 1G/1G symmetric D-ONUs. The second MAC Domain would include only the 10G channels and support 10G/10G symmetric D-ONUs. The potential advantage of choosing this option is the non-overlapping upstreams could both be active simultaneously, resulting in more available bandwidth in the upstream direction. The disadvantage is a 10G/1G D-ONU could not be supported in this particular scenario since the 10G downstream and 1G upstream channels are assigned to different MAC Domains.

6 MEDIA ACCESS CONTROL SPECIFICATION

6.1 Introduction

6.1.1 Overview

A DPoE Network uses the EPON MAC. The additional requirements beyond the EPON specifications are provided in this section and include the following functions of the MAC, MAC Clients, and MAC Control sublayers:

- Dynamic Bandwidth Allocation (DBA) controlled by the DPoE System for the upstream channel.
- Downstream channel operated using TDM technique.
- Upstream channel operated using TDMA technique with centrally controlled medium access.
- High bandwidth efficiency through native support for Ethernet encapsulation and limitation of protocol conversions between the CPE and Ethernet-based transport network.
- Native support for variable packet lengths (see [DPoE-ARCHv2.0] for more details on the size of supported frames). Note that the maximum payload size of the Ethernet frame is equal to 1500 bytes. This feature combines naturally with variable-size packets produced by TCP/IP carrying the majority of today's network traffic.
- Strong link layer security as described in [DPoE-SECv2.0].
- Strong QoS support through
    - support for bandwidth and latency guarantees,
    - packet classification,
    - dynamic, on-demand bandwidth allocation for increased on-demand throughput, and
    - inherent support for various levels of fairness for bandwidth sharing.

6.1.2 Definitions

6.1.2.1 MAC Frame

This is an Ethernet frame as defined in Clause 4 [802.3] with extensions introduced in Clause 65 [802.3ah] and Clause 76 [802.3av] to support Point-to-Point (P2P) emulation on P2MP physical medium. DPoE Networks do not modify the Ethernet frame format in any way.

6.1.2.2 Time Division Multiplexing

This is a mode of transmission between the DPoE System and D-ONUs (in downstream) in which the data channel is divided into a number of smaller transmission slots any number of which can be assigned to any of the receiving stations depending on the data pending transmission at the DPoE System. Data in a DPoE Network is sent in Ethernet MAC frames, comprising an organized structure of data as defined in Section 6.1.2.1.

In the downstream channel, there is only one transmitter active at any time (DPoE System), and multiple receivers (D-ONUs), hence no collision avoidance mechanism is needed.

6.1.2.3 Time Division Multiple Access

This is a mode of transmission between the D-ONUs and the DPoE System (in upstream), in which individual stations take possession of the data channel for a strictly controlled period of time and send data towards the DPoE System using TDM transmission. Data in a DPoE Network is sent in Ethernet MAC frames, comprising an organized structure of data as defined in Section 6.1.2.1.

In the upstream channel, there are multiple transmitters that can be active at any time, and only one receiver; hence a collision avoidance mechanism is needed, in which a central medium access controller (DPoE System) assigns transmission opportunities to individual D-ONUs in a pre-defined and non-colliding manner. Bandwidth assignment is dynamic and depends on the SLAs, supported services, fairness, etc., subject to definition by the service provider.

6.1.2.4 LLID

In DPoE specifications, as in the EPON standard, an LLID is used to identify a logical link between the DPoE System and a D-ONU. The LLID is a 15-bit field transmitted in the preamble of both upstream and downstream frames. During the registration process, the DPoE System assigns one or more LLIDs to a D-ONU. In the downstream direction, if the frame received by a D-ONU contains an LLID that matches an LLID previously assigned to a D-ONU, then the D-ONU accepts the frame and forwards it to its MAC layer. Conversely, if the frame received by a D-ONU contains an LLID that does not match an LLID previously assigned to a D-ONU, the frame is rejected by the D-ONU. In the upstream direction, based on the LLID in an incoming frame, the DPoE System forwards frames to the appropriate MAC entity. In this way, a point-to-point (P2P) emulation over the point-to-multipoint (P2MP) PON architecture is created.

The LLID is also used to represent a traffic-bearing entity that requires upstream bandwidth allocation by the DPoE System. Through a queue occupancy reporting mechanism defined in the EPON standard, the D-ONU informs the DPoE System how much data is waiting to be transmitted for a particular LLID. The DPoE System, in turn, schedules the LLID for upstream transmission.

In [802.3ah] 1G-EPON, one LLID (value 0x7FFF) is reserved for broadcast transmission (flooding data to all D-ONUs) in the downstream channel and transmission of unregistered D-ONUs in the upstream channel. In [802.3av] 10G-EPON, a different LLID is reserved (0x7FFE) for the same purpose to facilitate coexistence between both systems. Additionally, a range of LLIDs is left reserved for future use - 0x7F00–0x7FFD (see Table 76-4 in [802.3av] for details).

6.2 MAC Frame Formats

6.2.1 Generic MAC Frame Format

In the scope of this document, the term Generic MAC Frame is considered to be equal to the term Ethernet MAC frame as defined in Section 6.1.2.1. The only normative document defining the Ethernet MAC frame format in use in the DPoE System is [802.3] Clause 4.

The DPoE System MUST support the maximum Ethernet frame size of 1600 bytes for 1G-EPON. The DPoE System MUST support the maximum Ethernet frame size of 2000 bytes [802.3as] for 10G-EPON. The D-ONU MUST support the maximum Ethernet frame size of 1600 bytes for 1G-EPON. The D-ONU MUST support the maximum Ethernet frame size of 2000 bytes for 10G-EPON. These maximum sizes above indicate the size for the whole Ethernet frame including the preamble, DA, SA, Type/Size, Payload, FCS and any [802.1Q] tags (encapsulation) there may be.

The D-ONU MUST drop frames exceeding the supported size of the frame for the given type of EPON when received on an S interface. The DPoE System MUST drop frames exceeding the supported frame size of a given MAC Domain. Accounting for all necessary encapsulation and tagging overhead remains the sole responsibility of the operator.

6.3 MAC Management Messages

Messages defined in [MULPIv3.0], subsections 6.4.1 through 6.4.36, are not supported by the DPoE System.

6.3.1 DPoE OAM Messages

Operation of the [802.3ah], Clause 57 OAM protocol on a generic Ethernet interface (regardless of whether it is a legacy P2P full-duplex or EPON P2MP link) does not affect standard data transmission, having a minimum impact on bandwidth available for services. The OAM protocol can be implemented in hardware or software, thus providing the desired media independence and flexibility required, especially for legacy equipment where hardware changes are highly unwelcome and software alternations are limited in scope. OAM frames target the slow protocol MAC address and are intercepted by the MAC sublayer, and thus do not propagate across multiple hops in an Ethernet network, assuring the OAM protocol data units (OAMPDUs) affect only the operation of the OAM protocol itself, while leaving the contents of the customer frames unaltered.

The main supported OAM features and functionalities include:

- Discovery process – The OAM Discovery Process is the first phase of [802.3ah] OAM protocol, and its basic functionality is limited to identifying the individual devices in the given network domain as well as their OAM capabilities. In [802.3ah], upon powering up, a device enters a discovery state and attempts to send the Information OAMPDU to its link peer, thus establishing the local link information path, which will be used further on for exchange of more specialized OAM frames. In the case of standard Ethernet OAM, the discovery process relies on the Information OAMPDUs, which are propagated in the given network and trigger all connected OAM-enabled devices to issue their OAM capabilities information, which will be encapsulated in the appropriate frames and delivered to other link peer stations.
- Link performance monitoring – The OAM link monitoring administration tools target detection and identification of link faults, where the detection mechanism utilizes the Event Notification OAMPDU, sending link state-related events to a Link Partner OAM entity, thus relaying the information on the potential link problems. If the link partner happens to be SNMP-enabled, an SNMP trap could pass the OAMPDU to a remote entity. There are a number of standard defined error events, helping to diagnose the current state of the link.
- Remote fault detection – Describing means of detecting and handling compromised links in any underlying Ethernet network infrastructure
- Remote loopback – In the loopback mode, every Ethernet frame received is transmitted back on that same port except for OAMPDUs and pause frames, which provide flow control and OAM functionality. This particular functionality helps network administrators ensure and measure link quality during installation or troubleshooting stages, when no standard data exchange occurs and the given links are subject to testing and quality evaluation. The remote loopback session requires a periodic exchange of OAMPDUs; otherwise, the OAM session is interrupted and all link peer stations transition into the standard transmission mode. It is interesting to note that any OAM-enabled station with a link in active mode (as opposed to passive mode) can force its link peer station into the remote loopback mode simply by sending a loopback control OAMPDU. The loopback command is acknowledged by responding with an Information OAMPDU with the loopback state indicated in the state field.

- MIB variable retrieval – Providing management information look-up from a remote database, delivering required OAM-specific information on the given network structure.
- D-ONU provisioning – where a CM configuration file received by the vCM is then translated by the vCM into a series of extended OAM messages and used then to configure (and effectively provision) individual services on the D-ONU.
- Organization-specific enhancements – System vendors have chosen to utilize organization-specific extensions to the standard Ethernet OAM protocol to implement additional and extended events, include additional information during the discovery phase, or even develop a completely proprietary OAM protocol, while maintaining the general framework compatibility with the standard IEEE-compliant OAM.

Detailed specification of both [802.3ah] Clause 57 compliant OAM and DPoE-specific extended OAM (eOAM), can be found in [DPoE-OAMv2.0].

7 MEDIA ACCESS CONTROL PROTOCOL OPERATION

The DPoE specifications rely on the respective [802.3] and [802.3av] standards and do not introduce any changes to their stipulations. Bandwidth allocation for each LLID is controlled using Multi-Point Control Protocol (MPCP) as described therein.

The DPoE specifications provide additional requirements, included in the following subsections, which are not covered by the respective [802.3] and [802.3av] standards providing definitions of functions outside the scope of [802.3].

7.1 Timing and Synchronization

The DPoE Network must support a time of day, phase, and frequency distribution mechanism as defined in the following sections. Hereafter, the term EToD (EPON Time of Day) is used to designate the [1588v2]-based Time of Day delivery mechanism, as defined in this section.

7.1.1 Synchronization in EPON

EPON, as defined in [802.3] and [802.3av], inherently supports two synchronization mechanisms, as defined below:

- Physical layer synchronization, in which the local ONU clock tracks the OLT clock transferred to the ONU via the continuous downstream signal. This process operates in a continuous and uninterrupted manner as long as the ONU receives downstream signal from the OLT. This mechanism guarantees that the ONU may use lower quality oscillators while the higher precision oscillators are used in the OLT, sourcing clock information to the ONU. Effectively, this mechanism provides phase and frequency transfer. For example, OLTs operating in central offices are suggested to use Stratum-2 clock reference or better, OLTs operating in local offices are suggested to use Stratum-3 clock reference or better, while OLTs operating in a free-running mode, irrespective of their location are suggested to use Stratum-4 clock reference or better.
- MPCP layer synchronization, in which the local ONU MPCP clock is synchronized periodically with the OLT MPCP clock via the exchange of time-stamped MPCPDUs (GATE, REPORT). The MPCP clock is not bound to any specific time domain and represents EPON internal reference time sourced by the OLT and used to schedule transmissions from individual ONUs. Effectively, this mechanism provides an internal EPON time reference, which can be used to calculate the EToD for any selected time domain.

7.1.2 EToD, Phase and Frequency Distribution

This section describes the method used to obtain the accurate EToD in DPoE Network, timing relations between the DPoE System and D-ONUs, and references to other DPoE specifications required for proper operation. A D-ONU MAY support EToD transfer function, as defined in this section. An S-ONU MUST support EToD transfer function, as defined in this section. A D-ONU not supporting the EToD transfer function as defined in this section MUST support forwarding [1588v2] frames received from the TU, TUL, or S interfaces.

The accuracy of the EToD clock sourced at the D-ONU across any of the supported interfaces SHOULD be ±50ns. The accuracy of the EToD clock sourced at the D-ONU across any of the supported interfaces MUST be at least ±200ns. The mechanism used to synchronize the DPoE System EToD clock instance(s) with the network-based EToD source(s) (EToD master clock(s)) is outside the scope of this specification. The DPoE System MUST support [1588v2] boundary clock acting as a local EToD clock. The DPoE System MAY support [1588v2] transparent clock.

The D-ONU S interface may support various types of synchronization mechanisms. A D-ONU that supports EToD distribution services MUST support the [1588v2] boundary clock. A D-ONU that supports EToD distribution services MAY support [1588v2] transparent clock. A D-ONU that supports EToD distribution services MAY support 1PPS+EToD interface. The D-ONU providing EToD distribution services may support other types of EToD distribution interfaces, including 1PPS+ToD[2] or others, subject to operator choice.

The EToD transfer between the DPoE System and the connected D-ONUs uses the MPCP clock synchronization function, as defined in EPON, combined with the EToD transfer mechanism described in the following section, relying on the periodic exchange of TLVs over the unicast, multicast and broadcast eOAM channels across the TUL. This process is presented in Figure 7, together with the location of [1588v2] boundary clocks, and two time domains, named A and B, synchronized with [1588v2] Grand Master A and B, respectively. In this way, the EToD mechanism used in DPoE may support more than one time domain within a single DPoE Network, providing maximum flexibility for the operators.

Domains 1, 2, and 3, as shown in Figure 7, illustrate the boundaries of individual timing domains, considering that the DPoE System and D-ONU feature [1588v2] boundary clock implementations. The first domain spans therefore between the [1588v2] Grand Master clock (either A or B) and the [1588v2] boundary clock on the DPoE System. This domain uses [1588v2] frames for synchronization and EToD purposes. The third domain spans between the [1588v2] boundary clock on the D-ONU and one or more EToD slave devices, sinking [1588v2] frames or using 1PPS+EToD signaling for synchronization purposes. This domain uses [1588v2] frames for synchronization and EToD purposes if the EToD Slave device sinks [1588v2].

The second time domain spans between [1588v2] boundary clock on the DPoE System and the [1588v2] boundary clock on the D-ONU and represents the internal DPoE Network domain, where EToD, phase, and frequency information is sourced from the DPoE System and delivered to the ONU using the mechanism defined in this section.

In the future versions of this specification, once hardware support for transparent [1588v2] clocks on the DPoE System and the D-ONU is available, these three timing domains may be collapsed into a single domain, supporting end-to-end [1588v2] frame exchange.

[2] 1PPS + ToD interface is currently under standardization in ITU-T SG1, 5Q13.

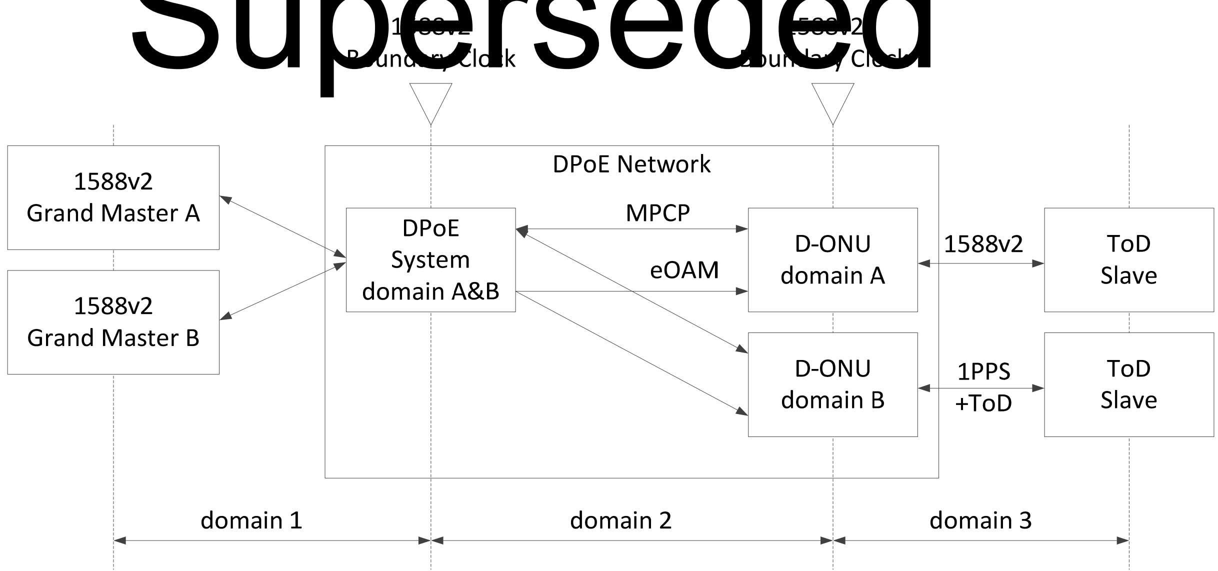

Figure 7 - Graphical Representation of the EToD Distribution Mechanism in DPoE Network

7.1.3 Operating Principle

The principle of operation is as follows. It is assumed that the DPoE System and D-ONU in question support a single EToD domain – the description can be easily extended into multiple EToD domains. The DPoE System MUST support at least one accurate EToD clock per TUL interface, where the implementation of such EToD clock(s), their internal relationships, and their synchronization is outside the scope of this specification.

At certain time (T_{MPCP_0} in the MPCP clock domain, T_{ToD_0} in the EToD domain), the DPoE System decides to synchronize the D-ONU EToD value to the EToD clock operating on the DPoE System which is synchronized to the selected EToD master clock. In this way, hierarchical clock distribution model is achieved. The DPoE System selects some instance of time (here, assumed to be in the future), at which the value of EToD clock in the given EToD domain will be equal to T_{ToD_3}. Next, the DPoE System calculates what the value of the local MPCP clock (T_{MPCP_3}) at the time T_{ToD_3} will be. The DPoE System and the D-ONU use the MPCP plane clock synchronization and distribution model specified (EPON), in which the D-ONU MPCP clock is synchronized with the DPoE System MPCP clock, with the delay corresponding to the downstream transmission delay between the DPoE System and the D-ONU. With reasonable precision, this delay can be estimated as RTT/2, where RTT is characteristic for the given D-ONU.

The DPoE System, knowing the value of T_{ToD_3} as well as the corresponding value of the MPCP clock at time T_{MPCP_3}, delivers the two aforementioned values to all connected ONUs using the broadcast eOAM channel (via the broadcast LLID – SCB mechanism), with the use of the "MPCP and EToD transfer" TLV. Once a D-ONU receives the said pair of values, it calculates the value of the local EToD (T_{TOD_5}), which may lie before or after the T_{TOD_3} selected by the OLT for calculation. The ONU uses the following formula for calculation:

$$T_{TOD\_5} = T_{TOD\_3} + \left(RTT \times \frac{n_{\text{down}}}{n_{\text{down}} + n_{up}} + (T_{MPCP\_5} - T_{MPCP\_3}) \right) \times 16ns$$

where T_{MPCP_5} is the local MPCP clock value when the ONU performs the update of its local EToD clock, RTT is the RTT for the given ONU, delivered to the ONU using the unicast "RTT transfer" TLV, n_{down} and n_{up} represent the downstream and upstream refractive indices of light for the transmission medium at the wavelength for the given EPON type. The values of the n_{down} and n_{up} parameters are pre-provisioned on the given ONU. These values could be measured or be calculated theoretically. A D-ONU that optionally supports or an S-ONU that is required to support EToD distribution services MAY adjust these values when sent the 'Propagation Parameters' TLV. The DPoE System MUST deliver the RTT value specific for the given D-ONU to that D-ONU using the 'Time Transfer' TLV.

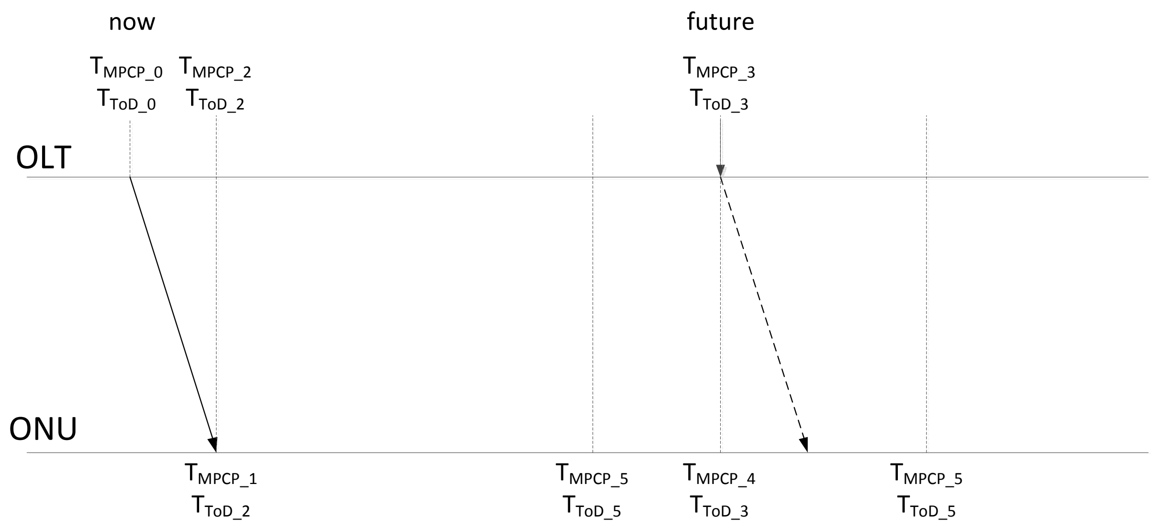

Figure 8 - Illustration of Relationship for EToD Parameters

The aforementioned process of calculation is not affected by changes in the RTT value. The MPCP as specified in EPON provides continuous RTT measurement and real-time update to its value for every pair of GATE / REPORT MPCPDUs exchanged between the DPoE System and the D-ONU. The DPoE System MUST notify the given D-ONU on any changes in its RTT once they are detected, using the 'Time Transfer' TLV.

The periodicity of the EToD distribution between the DPoE System and the D-ONU is outside the scope of this specification and SHOULD be configurable on the DPoE System. The DPoE System MUST synchronize EToD for all connected D-ONUs supporting the EToD distribution service. The eOAMPDUs required for the support of the EToD transfer function are specified in [DPoE-OAMv2.0].

7.1.4 Synchronization of multiple DPoE Systems to common reference clock

Using the [1588v2] infrastructure, it is possible to synchronize multiple instances of DPoE System to a common reference clock. In such a scenario, multiple DPoE Systems may be synchronized to a common instance of the [1588v2] grand master clock. The operating principle of the [1588v2] protocol itself guarantees all clients (in this case, all connected instances of the DPoE System) to be within the same time domain, i.e., be synchronized with one another.

Synchronization between individual D-ONUs in this scenario may be achieved using the mechanism described in this section, where each ONU synchronizes with the nearest [1588v2] boundary clock, located in the DPoE System to which it is connected.

In this way, a hierarchical system of [1588v2] clock domains is achieved.

7.1.5 Control and Management for EToD Distribution Service

The EToD distribution service in the DPoE Network relies on two mechanisms, as defined below:

- MPCP clock distribution and synchronization mechanism, as specified in EPON and outlined in Appendix I, where the DPoE System timestamps each outgoing GATE MPCPDU with the local value of the MPCP clock. Upon reception of the said GATE MPCPDU at the D-ONU, the local MPCP clock operating at the D-ONU is set to the value carried in the GATE MPCPDU, achieving the synchronization function. Given the frequent exchange of the said MPCPDUs, the MPCP clocks running at the DPoE System and D-ONU remain synchronized with high precision.
  The MPCP plane clock operates with the resolution of 16 ns (1 TQ) as specified in EPON.
- Distribution of EToD information using the TLVs specified in [DPoE-OAMv2.0].

7.1.6 1588v2 Provisioning Parameters

This version of the DPoE specifications provides a number of EToD provisioning parameters. These EToD provisioning parameters are configured via a Network Timing Profile, the configuration of which is described within [DPoE-IPNEv2.0]. The Network Timing Profile (TLV 72) includes the Network Timing Profile Name (TLV72.1) and includes the capability of extending the Network Timing Profile TLV for future needs. This Network Timing TLV is referenced from the L2VPN encoding via the Network Timing Profile Reference.

7.2 Upstream Data Transmission

In EPON, in the upstream direction, due to the directional properties of passive splitter/combiner devices deployed in the ODN, data packets transmitted from any of the connected D-ONUs only reach the DPoE System and no other D-ONUs. In this way, the properties of the upstream EPON channel are similar to those of a standard P2P link.

However, unlike a true P2P architecture, in the DPoE Network all D-ONUs belong to a single collision domain. This means that data packets from different D-ONUs transmitted simultaneously still may collide, since they are contending for access to a single DPoE System TU interface. Therefore, in the upstream direction, the DPoE Network needs to employ some form of the medium access arbitration mechanism to avoid data collisions, and fairly share the channel capacity among D-ONUs, subject to specific user service agreements, fairness rules imposed in the network, etc.

7.2.1 Upstream Bandwidth Allocation

Definition of the Dynamic Bandwidth Allocation (DBA) mechanism is outside of the scope of the DPoE specifications. The Upstream Bandwidth Allocation mechanism in DPoE System relies on the MPCP functionalities (i.e., GATE MPCPDU and REPORT MPCPDU), as described in more detail in Appendix II.

7.2.2 Upstream Transmission Request Policies and Contention Resolution

In both DOCSIS and EPON MAC layers, the upstream direction transmission does not start until a D-ONU gets a grant/gate for transmission from DPoE System.

There are two upstream granting policies defined in DOCSIS and EPON MAC layers. One is "request-based" – when the DPoE System gives grants as a consequence of data transmission requests made from the D-ONU. Another is "unsolicited mode" – when the DPoE System gives grants based on its own policies without consideration for requests from the D-ONU, usually on a periodic basis. In DOCSIS specifications, such a mechanism is called Unsolicited Grant Service (UGS). This version of the DPoE specification does not require support of UGS services.

When using a "request-based" granting policy, a DPoE System does not give grants until a bandwidth request (REPORT MPCPDU) is received from the D-ONU for transmission of data. Therefore, for proper support of QoS, it is very important to give D-ONU the opportunity to transmit requests on a timely basis. The DPoE System guarantees timely reception of the bandwidth requests from the connected D-ONUs via transmission of GATE MPCPDUs with the report request bit set.

DOCSIS networks may use contention-based request scheduling policies, where requests from different CMs may be transmitted during the same time, and therefore, may collide and need to be retransmitted at a later time. Contention-based request scheduling is implemented in DOCSIS networks to be able to support large numbers of CMs in the same MAC domain with limited bandwidth overhead. A "contention resolution" mechanism is needed to guarantee that individual CMs can deliver data to the CMTS. Obviously, such a mechanism is statistical, introduces additional transmission delay, and therefore, is not well suited for real-time services. The DPoE Network, does not use contention-based request scheduling in the MAC layer. Every request transmission in the DPoE Network is always contention-less and, therefore, is delay bound.

7.2.3 Upstream Service Flow Scheduling Services

[MULPIv3.0] defines five Service Flow Scheduling Types: Best Effort(BE), Real-time Polling Service(RTPS), Non-Real-time Polling Service (NRTPs), Unsolicited Grant Service(UGS), and Unsolicited Grant Service-Activity Detection (UGS-AD). This version of the DPoE specifications only supports two types: RTPS and BE. A DPoE System MUST support the Service Flow Scheduling Type (TLV 24.15) values for Real Time Polling Service (RTPS) and Best Effort (BE). A DPoE System MAY support other Service Flow Scheduling Type values in a vendor-specific manner. If a DPoE System does not support a specific Scheduling Type, and sees the corresponding value in a CM configuration file, it MUST disallow registration of the vCM.

Table 3 details which parameters are applicable for an Upstream Service Flow, according to its configured Upstream Scheduling Service Type per [MULPIv3.0].

Table 3 - DPoE Upstream Service Flow Parameters

Service Flow Parameter as defined in DOCSIS MULPI	Best Effort	Real-Time Polling
Miscellaneous		
Traffic Priority	Optional Default = 0	N/A[1]

Service Flow Parameter as defined in DOCSIS MULPI	Best Effort	Real-Time Polling
Upstream Scheduling Service Type	Optional Default = 2	Mandatory
Request/Transmission Policy	Optional Default = 0	Optional Default = 0
Maximum Rate		
Max Sustained Traffic Rate	Optional Default = 0	Optional Default = 0
Max Traffic Burst	Optional Default = 12800 bytes	Optional Default = 12800 bytes
Minimum Rate		
Min Reserved Traffic Rate	Optional Default = 0	Optional Default = 0
Polls		
Nominal Polling Interval	N/A[1]	Mandatory
Table Note: N/A means not applicable to this service flow scheduling type.		

If a request for a service flow contains a parameter that is not applicable for the given service flow scheduling type, the DPoE System MUST ignore those parameters. Note that a DPoE System MUST support a Nominal Polling Interval (TLV 24.17) parameter in a vCM configuration file where RTPS is specified. This parameter defines the minimum time between poll requests. The DPoE System is not required to set up an EPON polling interval exactly as configured, and it may use a value that approximates the configured one. However, the DPoE System MUST reflect the actual value (approximated by the system) in the docsQos3ParamSetNomPollInterval MIB variable. How a DPoE System chooses an EPON polling interval for BE is vendor-specific.

7.3 Quality of Service

7.3.1 QoS Model in DPoE

The DPoE Network supports QoS through the concept of a Service Flows and Aggregate Service Flows, this allows for a two layer QoS model. These concepts are detailed in the following sections.

7.3.1.1 Definition of a Service Flow (SF)

The DPoE Network supports QoS through the concept of a Service Flow (SF), which is defined as a DPoE MAC-layer transport service that provides unidirectional transport of frames, transmitted in the upstream direction by the D-ONU, or in the downstream direction by the DPoE System. An SF is characterized by a set of QoS parameters provisioned via the CM configuration file, a Service Class definition in the DPoE System, or a combination of both.

The Quality of Service Parameter Set Type (TLV 24/25.6) included in the CM configuration file defines the SF states for which the QoS parameters apply. The DPoE System MUST only support a value of ‘7’, corresponding to a bitmask setting the Provisioned, Admitted and Active states to a value of 1, which means that the configured QoS parameters apply to all these three states. A DPoE System that receives a CM configuration file with QoS Parameter Set values other than 7 MUST reject the configuration file.

An SF can be provisioned with a Metro Ethernet Service Profile (MESP) when the SF TLV 24/25 contains an optional reference to an MESP, which is called an MESP Reference (sub TLV 37. Then, the SF is said to be associated with an MESP. In this case, the QoS parameters for the SF are described within the MESP parameters and the DPoE System MUST ignore any parameters carried within the Service Flow encodings.

7.3.1.2 Definition of Aggregate Service Flow (ASF)

An Aggregate Service Flow (ASF) is a grouping of one or more SFs.

The DPoE Network supports a two-layered QoS model through the concept of an ASF, which is defined as a DPoE MAC-layer transport service that provides unidirectional transport of frames, transmitted in the upstream direction by the D-ONU, or in the downstream direction by the DPoE System.

When an SF TLV 24/25 contains an optional ASF Reference (ASF-REF) (sub TLV 36) then the SF is aggregated into the ASF indicated by ASF reference. Multiple SFs can be associated with a single ASF, as shown in Figure 11.

The concept of ASF applies in both the upstream and downstream directions.

The DPoE System MUST support a CM configuration file with ASF parameters for Metro Ethernet services, as defined in [DPoE-MEFv2.0]. The DPoE System MAY support a CM configuration file with ASF parameters for IP (HSD) services.

7.3.1.3 Relationship between SF and ASF

An ASF aggregates SFs, where each of the aggregated SFs contains the same ASF-REF in the TLV 24/25. An ASF may be provisioned without any associated SF, this may be used for addition of service flows at a later time. The DPoE System MUST support a CM configuration file which contains an ASF encoding without any associated SF encodings. Figure 11 shows an example of aggregating three upstream SFs, number 1, 2, and 3 into a single ASF number 1. Figure 12 shows an example of mapping between four downstream SFs into a single ASF (ASF_1). For an SF which is aggregated the SF TLV 24/25 contains the ASF-REF pointing to the provisioned ASF that SF aggregates into. All the SFs may optionally contain a reference to an MESP (e.g., $MESP_1$ in Figure 11), defining QoS parameters for the given SF. The ASF MUST contain a reference to an MESP (e.g., $MESP_2$ in Figure 11), defining QoS parameters for the given ASF. The ASF, MESP relationship is shown for upstream and downstream in Figure 9 and Figure 10.

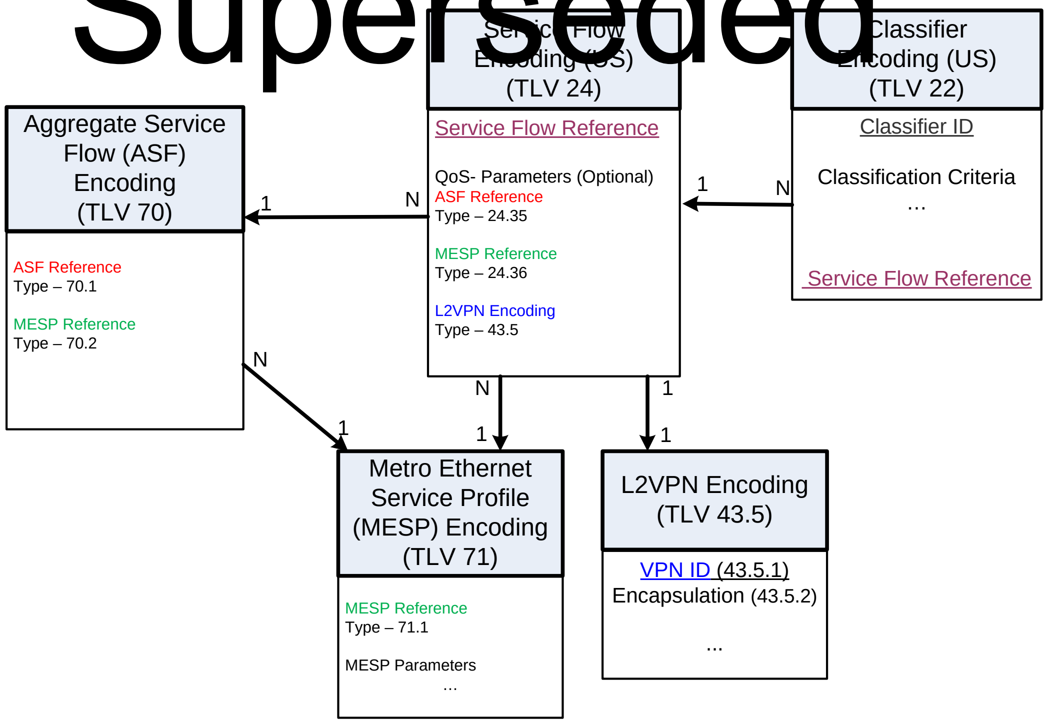

Figure 9 - Object model diagram for upstream ASF and MESP TLVs and relationship to DPoE L2VPN Model.

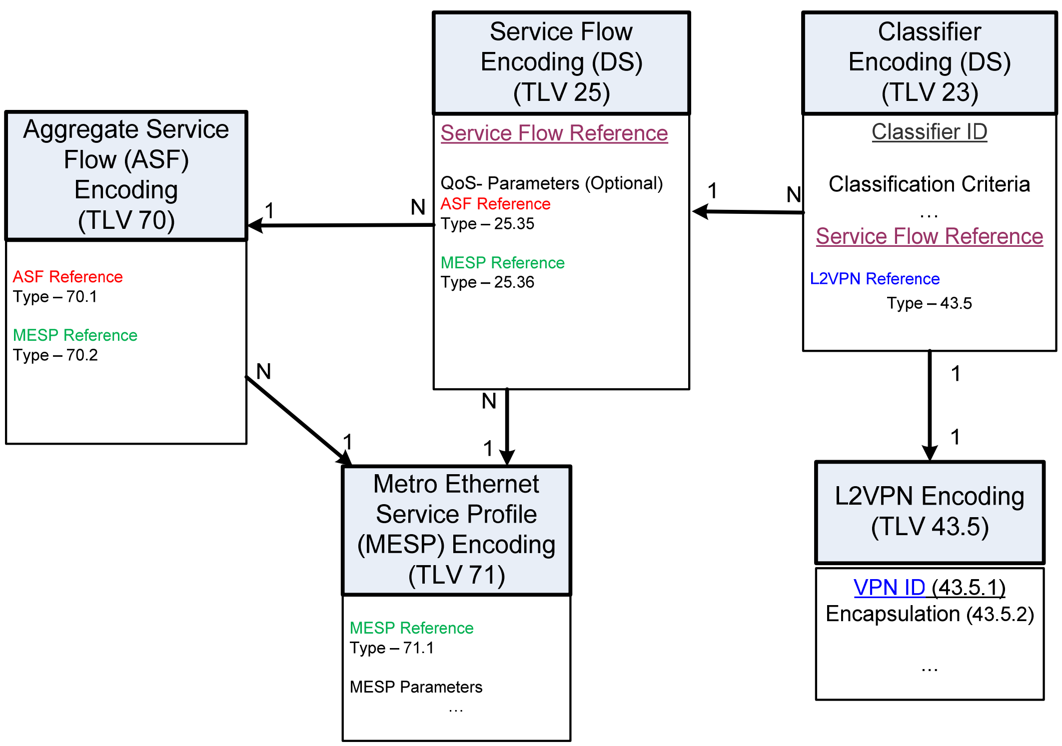

Figure 10 - Object model diagram for downstream ASF and MESP TLVs and relationship to L2VPN Model.

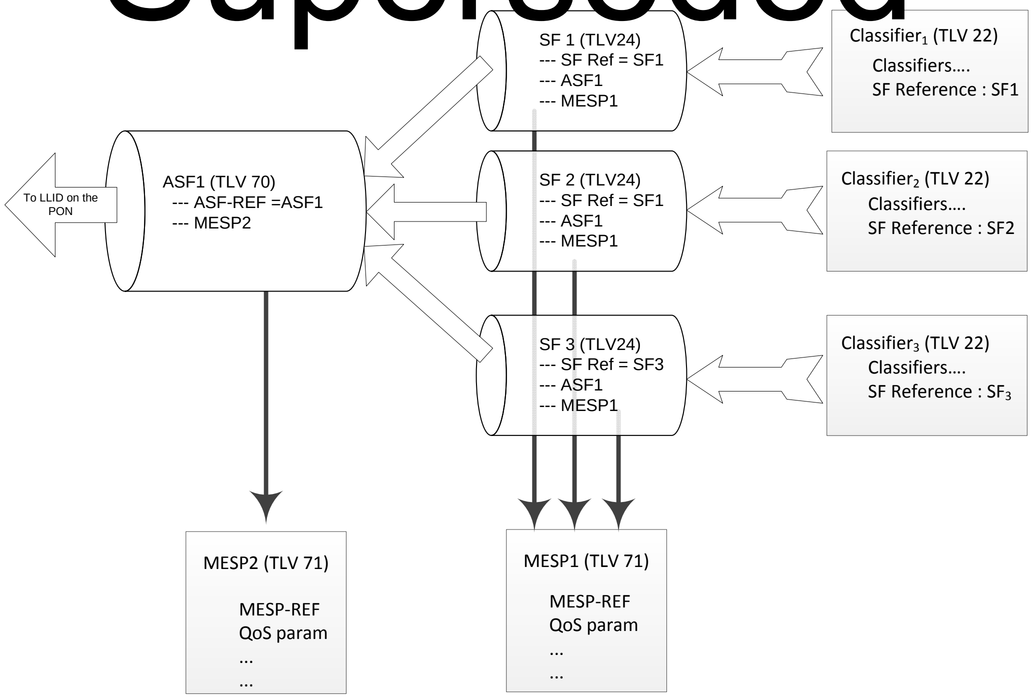

Figure 11 - Example of three upstream SFs (SF_1, SF_2, and SF_3) aggregated into ASF

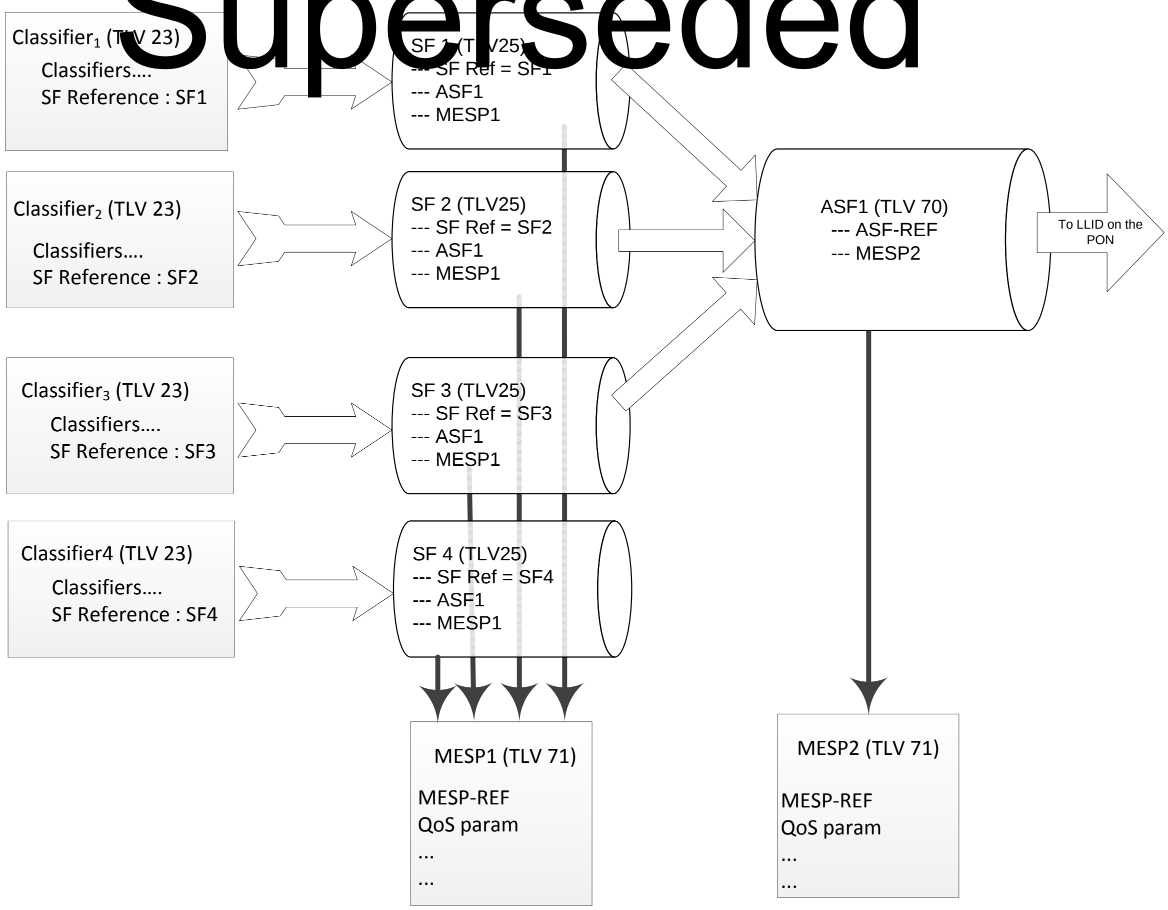

Figure 12 - Example of four downstream SFs (SF_1, SF_2, and SF_3) aggregated into ASF

When an SF is mapped into an ASF, the classifier forwards matching traffic into a configured SF, which is aggregated with other SFs into an ASF, depending on the ASF-REF present in the Service Flow Encoding TLV (24/25). The traffic is transmitted with the LLID associated with the ASF. The LLID is autonomously assigned to the ASF by the DPoE System. SFs associated with an ASF are not assigned dedicated LLIDs.

When an SF is not mapped into an ASF, the classifier forwards matching traffic into a configured SF. The traffic is transmitted with the LLID associated with the SF, identical to the behavior defined in the DPoEv1.0 specifications. The LLID is autonomously assigned to the SF by the DPoE System.

7.3.1.4 SF and ASF Parameters

An SF or ASF is characterized by the following set of parameters:

- For an SF, Service Flow ID (SFID) serves as the principal identifier of the SF in the DPoE System.
- For an ASF, Aggregating Service Flow ID (ASFID) serves as the principal identifier of the ASF in the DPoE System.
- For a MESP, Metro Ethernet Service Profile ID (MESPID) serves as the principal identifier of the MESP in the DPoE System.

7.3.1.4.1 QoS Parameters

- The DPoE System MUST support the following QoS parameters for SFs. These parameters apply to the given SF only when the given SF is not associated with an MESP (i.e., when the SF does not contain an instance of the sub TLV 37).
- The Maximum Sustained Traffic Rate (TLV 24/25.8) parameter.
- The Maximum Traffic Burst (TLV 24/25.9) parameter. This parameter has a minimum value of 1600 bytes and a default value of 12800 bytes.
- The Minimum Reserved Traffic Rate (TLV 24/25.10) parameter.
- The Request Transmission Policy (TLV 24.16) parameter to control EPON scheduling behavior for Upstream Service flows. Only Bit #4, the "No Piggyback Bit", is supported as a way to disable MPCP Force Report behavior, where REPORT MPCP PDUs are piggybacked with data frames.

The DPoE System MUST ignore the Maximum Concatenated Burst parameter for Service Flows.

Unless stated otherwise, these parameters defined above are to be interpreted as defined in [MULPIv3.0].

In addition to the parameters above, for IP(HSD) services the DPoE System MUST support the following parameters.

- A Service Flow Scheduling Type (TLV 24.15) value of "Best Effort" for all upstream service flows.
- The Traffic Priority (TLV 24/25.7) parameter.

For IP(HSD) services, only the DOCSIS QoS Parameters are used, the MESP parameters are used only with MEF services.

In addition to the parameter above, for Metro Ethernet services the DPoE System MUST support the following parameters.

- A Service Flow Scheduling Type (TLV 24.15) value of "Real-Time Polling" for all upstream service flows.
- The Nominal Polling Interval (TLV 24.17) for "Real-Time Polling" upstream service flows.

All the DOCSIS QoS Parameters apply to a Service Flow(SF) or Aggregate Service Flow (ASF) created for MEF services. When a MESP is also provisioned for a Service Flow created for MEF services, the MESP overrides the rate related DOCSIS QoS parameters such as maximum sustained rate, minimum reserved rate, maximum traffic burst, peak traffic rate etc.

These MESP parameters are provisioned using the TLV 72, as specified in Annex C.

The vCM MUST support the MESP parameters for an ASF and configure the D-ONU appropriately based on D-ONU capabilities. The vCM MUST support the MESP parameters for an SF and configure the D-ONU appropriately, based on D-ONU capabilities. If a Service Flow is configured using both a DOCSIS Service Flow parameters and a set of MESP parameters, the MESP parameters take precedence.

7.3.1.5 SF Requirements

When an SF is not aggregated into an ASF, the DPoE System MUST map the SF into one of the available LLIDs. The mapping between the SF and the LLID is a vendor-specific process and outside the scope of this specification.

When an SF is aggregated into an ASF, the DPoE System MUST map such an SF into one of the provisioned ASFs, where the mapping between the SF and the ASF is defined through sub-TLV 36.

An SF begins its existence when the DPoE System assigns an SFID to it. The SFID serves as the principal identifier for the SF within the DPoE System. Within a DPoE System, any SF MUST have an assigned SFID, together with the set of QoS parameters, as defined above. An SF cannot exist without an assigned SFID.

The DPoE System MUST support assignment of SIDs to upstream SFs and upstream ASFs. The SID is an important management identifier in DOCSIS networks. SID Clusters defined in [MULPIv3.0] are not supported in DPoE specifications. The concept of SID in DOCSIS (at a logical level) corresponds to the LLID in the DPoE Network.

In [MULPIv3.0], an SF can be in one of several states – provisioned, admitted, and active. For each of those states, the flow may have a different set of values of QoS parameters. However, the DPoE System MUST only support SFs that are provisioned, admitted, and active (Quality of Service Parameter Set Type (TLV 24/25.6) value of 7). There are no provisions for deferred admission and activation of SFs. The DPoE System MUST admit and activate SFs when the given SFs are provisioned.

7.3.1.6 ASF-Related Requirements

The DPoE System MUST map each ASF into one of the available LLIDs. The mapping between the ASF and the LLID is a vendor-specific process and outside the scope of this specification.

The DPoE System creates the ASF and assigns an ASFID to it. The ASFID serves as the principal identifier for the ASF within the DPoE System. A DPoE System MUST assign an ASFID to each ASF. An ASF cannot exist without an assigned ASFID.

7.3.1.7 MESP Requirements

The DPoE System MUST assign an MESPID to an MESP. The MESPID serves as the principal identifier for the MESP within the D-ONU and DPoE System.

An MESP may be associated with any number of SFs and ASFs. SFs and ASFs may be associated with DOCSIS QoS parameters and MESP parameters. ASFs are always associated with an MESP. The DPoE System MUST reject the CM configuration file if it encounters an ASF encoding that is not associated with an MESP

7.3.2 Frame Classification and Rule execution

In the DPoE Network, all operations on data frames related with QoS enforcement and forwarding operations are performed based on classification operations and execution of associated actions. The purpose of the classification process in the DPoE Network is to identify all frames belonging to the given SF / ASF, to determine what actions to take on the frame (e.g., encapsulate / de-encapsulate, convert specific subfields, set bits in specific locations etc.) and to forward the given frame to the appropriate LLID (in upstream and downstream direction alike).

Independent classification engines execute inside each D-ONU and the DPoE System in the very same manner. The DPoE System classification engine is configured jointly via the IPNE mechanisms and CM configuration files. The D-ONU classification engine is configured via the eOAM as defined in [DPoE-OAMv2.0] where individual eOAM PDUs represent translated configuration parameters contained in the CM configuration file.

Each classification engine operates on a set containing at least one classification rule. Each classification rule comprises at least one condition, followed by at least one action to be executed, as shown below:

IF (condition[0] AND condition[1] AND … condition[N]) THEN (action[1], action [2] … action[M])

All conditions are logically ANDed and the action is executed only if all the conditions evaluate to "true". Each condition may compare a particular header field in a frame against a provisioned value, test for existence of a field, or unconditionally return "true" or "false". The same field may be used in multiple comparisons (either in different comparison rules or in different rule conditions of the same comparison rule). There is no conceptual limitation on the complexity of the classification rules, number of classification conditions per single rule and the number of actions executed on a frame matching the given classification rule. There may be some practical limitations, though, especially in terms of the memory space available for the classification engine, effectively limiting the number of classification rules which can be stored on a single device, and their complexity, though such aspects are implementation-dependent and not subject to restriction in the scope of this specification.

All classification rules provisioned on the given classification engine are organized in a data set according to the rule priority. Rules with the highest priority are stored at the head of the data set and tested first, and the rules with the lowest priority are stored at the tail of the data set and tested last.

Each classification engine operates in a sequential manner; i.e., an incoming frame is compared against the classification rules stored in the data set maintained by the classification engine, starting from the classification rules with the highest priority until the first successful match is found. When the first successful match is found, actions associated with the given classification rule are executed and the following classification rules are not tested on the given frame. This means that, each frame is processed only by actions associated with one classification rule, the conditions of which match the given incoming frame. If the primary SF is not enabled on the D-ONU, frames not matching any of the provisioned classification rules are dropped and counted.

In the upstream direction, the D-ONU MUST classify upstream packets to active SFs. If a primary upstream SF is configured and a frame does not match any configured classification rules (and hence is not classified to any SF), the D-ONU MUST assign such a frame to the primary upstream SF and forward it accordingly. If a primary upstream SF is not configured, any frame not meeting any of configured classification rules (and hence not classified to any SF) MUST be dropped by the D-ONU, so that it is not sent on the TU interface.

In the downstream direction, the DPoE System MUST classify downstream packets to active SFs. If a primary downstream SF is configured and a frame does not match any configured classification rules (and hence is not classified to any SF), the DPoE System MUST assign such a frame to the primary downstream SF and forward it accordingly. If a primary downstream SF is not configured, any frame not meeting any of configured classification rules (and hence not classified to any SF) MUST be dropped by the DPoE System.

The process of frame classification operates only on the fields already present in the given frame when it enters the classification engine. The classification engine does not operate on the fields added to the frame in the result of the successful match of the given classification rule and execution of the associated actions. This means that, if the frame matches the given classification rule and, for example, an I-Tag is added to this frame, there is no way to classify this frame on the newly added I-Tag field.

The list of frame fields accessible for the classification engine comparison operators as well as provisioning of the classification engine are described in more detail in the Annex C and [DPoE-OAMv2.0]. The list of classifier types below is for information purposes only:

- 802.3 fields: C-SA, C-DA, Ethertype
- 802.1ad fields: C-VID, S-VID, C-TPID, S-TPID, C-TCI, S-TCI, C-PCP, S-PCP, C-CFI and S-DEI;
- 802.1ah fields: I-SID, I-TPID, I-TCI, I-PCP, I-DEI, I-UCA, B-DA, B-SA, B-TCI, B-TPID, B-PCP, B-DEI, B-VID;
- IPv4 TOS/IPv6 Traffic Class;
- IPv4 TTL/IPv6 Hop Limit;
- IPv4/IPv6 Protocol Type;
- IPv4 Source Address;
- IPv6 Source Address;
- IPv4 Destination Address;
- IPv6 Destination Address;
- IPv6 Next Header;
- IPv6 Flow Label;
- TCP/UDP source port;
- TCP/UDP destination port;
- Custom fields defined using the mechanism specified in [DPoE-OAMv2.0].

The custom field specified in [DPoE-OAMv2.0] allows the operator to identify fields of arbitrary size and at an arbitrary location within the frame header, subject to distance or size limitations set forth in [DPoE-OAMv2.0], providing a mechanism to access, read and optionally modify any field fragment within the incoming frame. Additionally, this mechanism allows access to fields not listed above and not readily accessible to the classification engine.

In the DPoE Network, the classification rules are used for both QoS enforcement and forwarding purposes; i.e., a single classification rule is used to provide QoS specific processing to frame fields (e.g., set PCP field bits, add or remove fields etc.), forward the frame into the appropriate queue for transmission or perform frame filtering(selective drop).

7.3.3 Classifiers

In the DPoE Network, classifiers describe the association of the given frame to a specific SF / ASF through a classification rule and associated actions. In a DPoE Network, all the same classifier behavior as defined in [MULPIv3.0] applies. If no Classifier Rule Priority is specified, the DPoE System MUST use the default Rule Priority of 0. The DPoE System MUST assign classifier IDs to individual classifiers. The classifier ID is an important management identifier as specified in [MULPIv3.0].

Additionally, a classifier references the target SF, to which selected frames are attributed if the specific classification rule(s) are met.

The classification behavior (i.e., classification of frames into SF at the D-ONU and the DPoE System) follows the requirements specified in [MULPIv3.0]. The vCM MUST support the Upstream Drop classifiers (TLV 60). The vCM MUST support the Upstream Drop classifiers Group ID (TLV 62). The vCM MUST configure the D-ONU according to the Upstream Drop classifiers.

The DPoE ONU supports the following combinations of classifiers:

- The DPoE ONU MUST support classification based on one or more fields in an I-Tag.
- The DPoE ONU MUST support classification based on one or more fields in an S-Tag.
- The DPoE ONU MUST support classification based on one or more fields in a C-Tag.
- The DPoE ONU MUST support classification based on one or more fields in an S-Tag and one or more fields in a C-Tag simultaneously.
- The DPoE ONU MUST support classification based on one or more fields in an B-Tag and one or more fields in a I-Tag simultaneously.
- The DPoE ONU SHOULD support classification based on other combinations of classifiers operating on fields of individual tags listed above.

When a TPID classifier is provisioned in a configuration file, it is expected that the corresponding VID classifier is also present in Annex C.

When L2 classifiers are provisioned in the CM configuration file for an individual SF, the DPoE System and DPoE ONU adhere to the following rules:

- If one or more fields from a single tag (e.g., C-TPID or C-VID within a C-Tag) are provisioned in a classifier, the DPoE System MUST configure the D-ONU to match these fields against the outermost tag on a frame.
- If one or more fields from both an S-Tag and C-Tag (e.g., S-VID within S-Tag and C-VID within C-Tag) are provisioned in a classifier, the DPoE System MUST configure the D-ONU to match these parameters with the S-Tag as the outer tag and C-Tag as the inner tag.
- If one or more fields from an I-Tag (e.g., I-SID within I-Tag) are provisioned in a classifier, the DPoE System MUST configure the D-ONU to match these parameters with or without the existence of the B-Tag in the frame.

- If one or more fields from both an B-Tag and I-Tag (e.g., B-VID within B-Tag and I-SID within I-Tag) are provisioned in a classifier, the DPoE System MUST configure the D-ONU to match these parameters with the B-Tag as the outer tag and I-Tag as the inner tag.

The set of classifiers used by the DPoE Network, applicable to both IP(HSD) and MEF SFs, are as defined in [MULPIv3.0] with the following exceptions:

- Individual Classifiers cannot be added to the frame classification table using the DOCSIS MAC sublayer service interface, which is not instantiated within the DPoE Network.
- In DPoE, classifiers do not support deferred activation; i.e., once the classifier is provisioned, the DPoE System MUST automatically activate it.

Figure 13 shows the Layer2 classification fields (Layer3 or Layer4 classification fields are not shown) and corresponding TLVs that are described in Annex C.

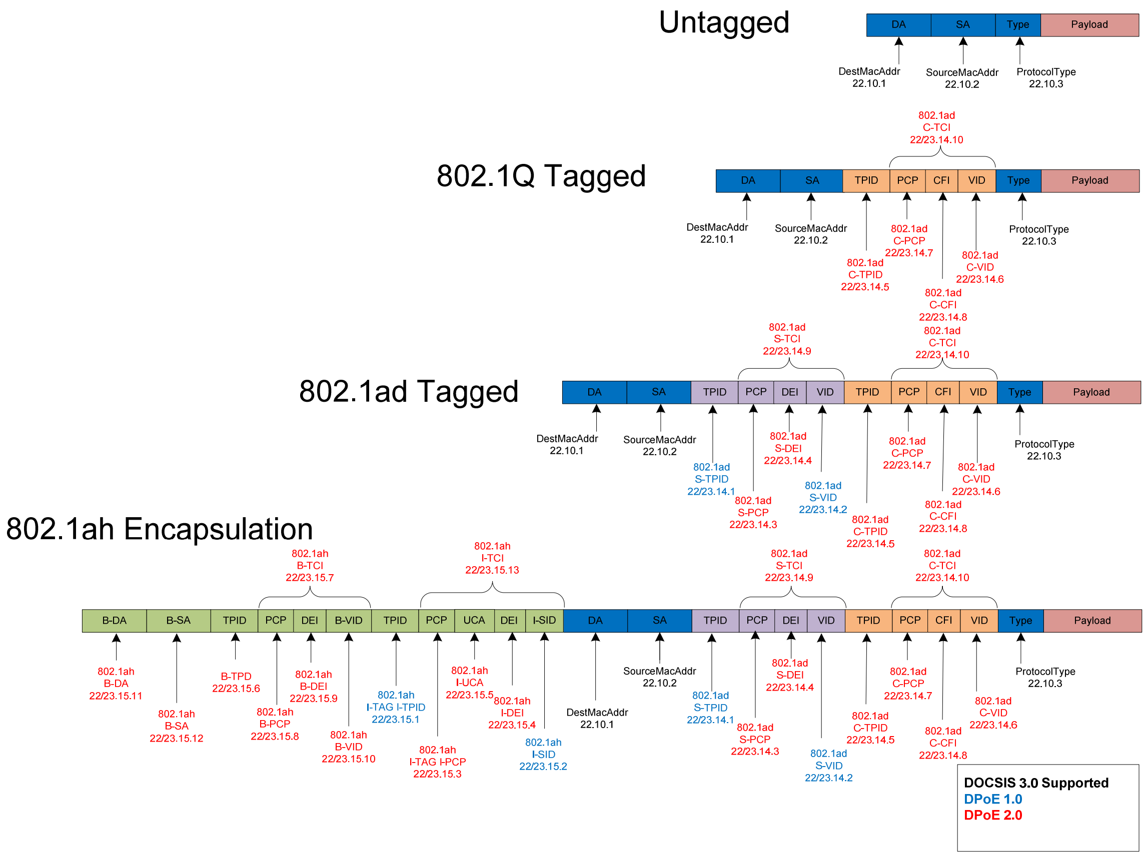

Figure 13 - 802.1ad and 802.1ah Classifiers

7.3.4 Service Classes

The DPoE System MUST support configuration of QoS parameters via Named Service Classes as specified in [MULPIv3.0]. If a Service Flow is configured using both a Named Service Class and a set of MESP parameters, the MESP parameters take precedence over the rate related parameters and those Service Class parameters are ignored. This functionality allows for configuration of a common set of QoS parameters for SFs through the access to the "CMTS MIBs" as opposed to configuring them via CM configuration files. However, the CM configuration file still needs to refer to a specific Service Class Name configured on DPoE System. It is up to the operator to synchronize the definition of Service Class Names in the DPoE System and in the configuration files.

7.3.5 Authorization

In the DPoE Network, the SF authorization process is not required, because the admission control and resource availability decisions are performed on the DPoE System, and the D-ONU does not participate in this process. The D-ONU is controlled by the DPoE System using the master-slave relationship.

7.3.6 SF and Classifiers

The provisioned classification rules associate packets into exactly one SF. The SF or ASF encodings provide the QoS Parameters for treatment of those frames on the EPON. Service Flow encodings are described in Section 7.3.1 and Annex C.

The definition of a primary SF in DPoE specifications is different from that in [MULPIv3.0]. A DPoE System supports CM configuration files, with and without primary SFs. If a primary Service Flow is present in the CM configuration file, it applies to the interface associated with the lowest bit CMIM interface. In a DPoE Network, a primary SF is not identified by its position in the CM configuration file, but rather based on the presence (or lack) of a classifier entry associated with the upstream and downstream SFs in the CM configuration file. In this way, if each SF present in the CM configuration file has an associated classifier entry, then the DPoE System does not create a primary SF and operates without primary SFs for that D-ONU. If there are one or more SFs present in the CM configuration file that do not have an associated classification entry in the CM configuration file, the DPoE System treats the first such SF in the configuration file as the primary SF, while all the other SFs without an associated classifier are ignored. The ignored SFs are not instantiated by the DPoE System.

Support for primary SFs in the DPoE specifications is introduced to maintain partial backward compatibility with the DOCSIS provisioning systems of some operators, who rely on the presence and support of primary SF for the implementation of IP(HSD) services.

If all of the SFs in the CM configuration file have associated classifiers, then the DPoE System MUST operate without creating any primary SFs for both the upstream and downstream directions. The DPoE System MUST NOT require the existence of a primary downstream SF to perform downstream forwarding. The D-ONU MUST NOT require the existence of a primary upstream SF to perform upstream forwarding. When there are no primary downstream SFs configured, the DPoE System MUST drop all unclassified traffic. When there are no primary upstream SFs configured, the D-ONU MUST drop all unclassified traffic. The DPoE System MUST set the docsQoSServiceFlowPrimary value to ‘false’ for all SFs when operating without primary SFs.

If the CM configuration file contains at least one upstream SF without an associated classifier, the DPoE System MUST use the first such SF without an associated classifier entry based on position within the configuration file as the primary upstream SF. If configured by the DPoE System, the D-ONU MUST use the primary upstream SF as the default upstream SF to forward all unclassified traffic.

If the CM configuration file contains at least one downstream SF without an associated classifier, the DPoE System MUST use the first such SF without an associated classifier entry based in the position within the configuration file as the primary downstream SF. The DPoE System MUST use the primary downstream SF as the default downstream SF for all unclassified downstream traffic. The DPoE System MUST set the docsQoSServiceFlowPrimary value to true for both the upstream and downstream primary SFs.

Classification of "DOCSIS MAC Management Messages", via the "Ethertype/DSAP/MacType" TLV, does not apply to DPoE Networks. DPoE Systems do not classify OAM, eOAM, or MPCP frames. D-ONUs do not classify OAM, eOAM, or MPCP frames.

7.3.7 QoS Support for Downstream IP Multicast Traffic

QoS support for dynamically joined sessions (using a multicast management protocol such as IGMP/MLD), as well as statically joined sessions (using Static Multicast Session Encodings), is supported as defined in [MULPIv3.0]. For downstream IP multicast traffic, QoS is supported using the concept of Group Service Flows (GSFs). Just as classifiers match and forward unicast traffic onto SFs, Group Classifier Rules (GCRs) match multicast traffic and forward them on GSFs.

For IP multicast QoS, a cable operator controls the creation of GCRs and GSFs on each downstream channel by configuring entries in Group Configuration (GC) and Group QoS Configuration (GQC) tables per [DPoE-OSSIv2.0]. These tables only configure the QoS for IP multicast sessions; they do not control how a DPoE System replicates IP multicast traffic onto multicast LLIDs. Replication of IP multicast traffic is determined based on joiners to IP multicast sessions. In the DOCSIS Network [MULPIv3.0], the multicast replication is done per downstream channel set within a MAC domain, whereas in the DPoE Network the replication is per downstream channel in the MAC domain.

The operator defines the QoS needed for various IP multicast sessions using entries in the GC and GQC tables. When the first client behind the D-ONU sends up a multicast IGMP/MLD joinrequest, the DPoE System MUST use the information in the GC and GQC tables to dynamically create GCRs and GSFs on the appropriate downstream channel. The DPoE System then starts forwarding the multicast stream on that GSF. The DPoE System follows the steps defined in [MULPIv3.0] for controlling QoS for multicast sessions.

Each GQC entry has a QoS Control parameter as defined in [MULPIv3.0] which determines how the DPoE System instantiates GSFs:

- When the QoS Control parameter has the value of "Single-Session", the DPoE System creates a GSF for each session; i.e., each unique combination of (Source, Group) IP address which matches the GC entry.
- When the QoS Control parameter has the value of "Aggregate-Session", the DPoE System creates only one GSF and associates GCR entries as needed with that GSF.

In a “Single Session” GSF, there is only one multicast session per GSF, whereas in “Aggregate Session” GSFs, multiple multicast sessions use the same GSF. See [MULPIv3.0] for details on this behaviour.

The DPoE System MUST associate each GSF with a unique multicast LLID (mLLID) each time it creates a new GSF. This mLLID is signaled (along with the multicast filtering and forwarding information) to D-ONUs that need to receive and forward the multicast traffic, via the eOAM as defined in [DPoE-OAMv2.0].

The DPoE System MUST establish a default GSF as specified in [MULPIv3.0]. All unclassified multicast traffic is forwarded on the default GSF. The DPoE System MUST associate the default GSF with a unique multicast LLID (mLLID). This mLLID is signaled to the D-ONUs which need to receive and forward multicast traffic being sent on the default GSF, via the eOAM as defined in [DPoE-OAMv2.0].

7.3.7.1 Forwarding multicast control protocols

The DPoE System supports the downstream forwarding of multicast control traffic per Serving Group based on the serving group configuration as described in [DPoE-IPNEv2.0]. Examples of multicast control traffic are downstream local network control packets, link-local multicast packets as well as packets for routing protocols that utilize IP multicast. The DPoE System MUST establish a multicast control traffic GSF for multicast control traffic per IP serving group. The DPoE System MUST create GCRs that support forwarding of multicast control traffic protocols by default as per [MULPIv3.0].The multicast control protocols specified in DOCSIS would be allowed by default. The DPoE System MUST support overrides to the default GCR for the configured multicast control traffic protocols as specified in [DPoE-IPNEv2.0]. The [DPoE-IPNEv2.0] configuration is used to override the default behavior to allow or deny forwarding of multicast traffic or control protocols. The DPoE System MUST associate each multicast control traffic GSF with a unique mLLID. This mLLID is signaled (along with the multicast filtering and forwarding information) to the D-ONUs which need to receive and forward multicast control traffic being sent on the multicast control GSF, via the eOAM as defined in [DPoE-OAMv2.0]. The DPoE System MUST signal the mLLID for each GSF associated with an IP Serving Group to only the D-ONUs associated with the IP Serving Group. The DPoE System MUST signal the mLLID for each GSF associated with a Multicast Serving Group to only the D-ONUs associated with the Multicast Serving Group.

7.3.8 IPv6 Multicast Traffic and Other Multicast

As described in [DPoE-IPNEv2.0], the DPoE System supports multicast for IPv6 protocols as well as for routing protocols that utilize IP multicast. The DPoE System MUST forward all IPv6 multicast provisioning traffic destined to the CPEs behind a D-ONU on the multicast control GSF associated with the Serving Group for this D-ONU. QoS support for layer 2 multicast is not defined in this specification.

7.4 Acquiring D-ONU capabilities and D-ONU provisioning

The DPoE System and connected D-ONUs, operate in a master-slave relationship, where the DPoE System remains in control of individual D-ONUs.

After the initial MPCP discovery and registration process is complete (as defined in [802.3], Clause 64 for 1G-EPON and [802.3av], Clause 77 for 10G-EPON), the D-ONUs are subject to the OAM discovery process, as defined in [802.3], Clause 57. Once these processes are complete, the DPoE System may provision any of the connected D-ONUs as provisioned by the operator using appropriate CM configuration files. The process in the DPoE Network is driven by the given instance of the vCM associated with the given D-ONU and features exchange of the eOAMPDUs, where the exchange may serve the following purposes:

- Obtaining D-ONU configuration parameters and capabilities, including chipset ID, software version, number of supported unicast and multicast LLIDs etc.

- Configuration of D-ONU parameters and capabilities, such as queue thresholds for REPORT MPCPDU generation, DAC-related parameters, etc.
- Establishment of services on D-ONU and configuration of associated classification and modification rules, together with queue and LLID mapping rules, established based on the binary configuration files received by the vCM and translated into a series of configuration eOAMPDUs.

Definitions of individual D-ONU attributes as well as the details on the provisioning, configuration and polling mechanism used to exchange information between the D-ONU and the vCM are included in the [DPoE-OAMv2.0] specification, covering message format, attribute format and additional requirements for the eOAM protocol, extending definitions found in [802.3], Clause 57.

Note that the D-ONU capability request process in the DPoE Network is driven by the DPoE System, and specifically, by the vCM associated with the given D-ONU, and may be executed at any time after the D-ONU registration into the DPoE Network, depending on the current requirements, operator demand (on-demand polling) etc. The DPoE System MUST acquire D-ONU capabilities before provisioning any of the services defined in the CM configuration file. To guarantee interoperability between DPoE System and D-ONU devices from various suppliers, it is necessary to guarantee interoperability at the TUL interface, provided by the definitions and requirements found in the [DPoE-OAMv2.0] specification.

7.5 D-ONU Capabilities

The D-ONU capabilities are queried by the DPoE System as described above. The D-ONU MUST support reporting the following capabilities to the DPoE System. The DPoE System MUST support reporting the following capabilities to the provisioning system using DHCP messages. Also see Annex C for TLV definitions.

- DPoE Version Number
- Number of unicast LLIDs
- Number of multicast LLIDs
- MESP Support
- Color marking
- Color Awareness
- Smart Color Dropping
- Number of CMCI ports on D-ONU
- EPON data rate support
- Service OAM capabilities

7.6 Data Link Encryption Support

The procedures to support data-link encryption are defined in [DPoE-SECv2.0]. The interaction between the MAC layer and the security system is limited to the items defined below.

MAC Messages: Encryption of the OAMPDUs, the MPCPDUs, and the data bearing MAC DATAPDUs is defined in [DPoE-SECv2.0].

Framing: Detailed information on the MAC framing format, when encrypted, is included in the [DPoE-SECv2.0] specification.

8 DATA FORWARDING

The basic architecture for DPoE Networks is described in [DPoE-ARCHv2.0]. In summary, the DPoE Network uses EPON technology to provide P2P Ethernet connections between the DPoE System and a D-ONU. P2P Ethernet connections in the form of Ethernet Virtual Connections (EVCs) are the underlying transport technology for both Metro Ethernet services and all managed IP(HSD) services in the DPoE Network. Since EPON has a P2MP architecture at the physical layer, P2P services are emulated via the Logical Topology Emulation (LTE) function through the use of LLID, as described in Section 6.1.2.4.

8.1 General Forwarding Requirements

In general, the DPoE Network relies on a subset of IEEE 802 forwarding rules. The particular headers and fields within headers used for forwarding rules depend on the service implementation. This version of the DPoE specifications supports two types of services: IP(HSD) services and Metro Ethernet services. Since IP services are implemented using a Metro Ethernet service architecture, both services share a common set of required features, which are identified in this section.

[DPoE-MEFv2.0] provides a detailed explanation and requirements for the Metro Ethernet services.

There are two Ethernet forwarding models in DPoE Networks. These are the Provider Bridge (PB) and Provider Backbone Bridge (PBB). In the DPoE Network, classification rules provisioned by an operator are used to make decisions on which frames are dropped or forwarded to specific LLIDs. The selection of the specific forwarding path, operation on the given frame (addition, replacement, or removal of specific fields, as indicated by the provisioned rules) and resulting forwarding path depend on the provisioned classification and modification rules in Section 7.1.4.

8.1.1 Provider Bridge (PB)

The common data-forwarding mode used in [DPoE-MEFv2.0] for data delivery relies on the Metro Ethernet Forum service model that is implemented with [802.1ad]. The DPoE System MUST support [802.1ad] forwarding, The D-ONU MUST support [802.1ad] forwarding. Data-forwarding for each service is accomplished by establishing, at the time of provisioning, a unique combination (local to the DPoE System) of IEEE 802.1 headers (C-Tag, S-Tag) and D-ONU port information. The port information on the D-ONU is associated with the Cable Modem Interface Mask (CMIM), the CMIM is used locally on the D-ONU.

PB forwarding by the DPoE System MUST use the parameters per the list below. PB forwarding by the D-ONU MUST use the following parameters per the list below.

List of parameters used for PB forwarding:

- C-DA
- C-SA
- S-TPID (default of 0x88a8)
- S-TCI, or any combination of the S-TCI fields: (S-PCP, S-DEI, S-VID)

- C-TPID (default of 0x8100)
- C-TCI, or any combination of the C-TCI fields: (C-PCP, C-CFI, C-VID)

If no S-TPID is specified within the CM configuration file when adding an S-Tag, the DPoE System MUST default to an S-TPID of 0x88a8. If no C-TPID is specified within the CM configuration file when adding a C-Tag, the DPoE System MUST default to an C-TPID of 0x8100. The DPoE System configures the D-ONU, through eOAM messages, to use the appropriate values for S-TPID and C-TPID.

Legacy implementations of PB may use different values of the S-TPID. Examples of such S-TPID values include 0x8100, 0x9100, and 0x9200. [802.1ad] implementations of PB use S-TPID 0x88a8.

A frame is considered to be S-Tagged when the frame TPID is equal to the value of the S-TPID provisioned in the CM configuration file or the default TPID for [802.1ad] if a specific S-TPID value is not provisioned in the CM configuration file. A frame is considered to be C-Tagged when the frame TPID is equal to the value of the C-TPID provisioned in the CM configuration file or the default TPID for [802.1ad] if a specific C-TPID value is not provisioned in the CM configuration file. Such values are known to the operator in advance and can be included in the D-ONU and DPoE System configuration for specific services, where such frames are expected.

[DPoE-MEFv2.0] offers optional methods of operator configurable TPID translation for PB frames on the DPoE System.

The DPoE System MUST support classification and forwarding of individual PB frames into an SF. DPoE System MUST support processing of PB frames (adding, modifying and stripping of individual PB fields), subject to detailed requirements as listed in [DPoE-MEFv2.0].

The D-ONU MUST support classification and forwarding of individual PB frames into an SF. D-ONU MUST support processing of PB frames (adding, modifying and stripping of individual PB fields), subject to detailed requirements as listed in [DPoE-MEFv2.0].

The selection of what operation is to be performed on a specific frame depends on the classification rule and the associated operations. In this way, the operator controls explicitly what PB frames are subject to what transformations and to where (what LLID) they are forwarded.

8.1.2 Provider Backbone Bridge (PBB)

The DPoE System MUST Support [802.1ah] forwarding and classification. The D-ONU MUST Support [802.1ah] forwarding and classification.

Every DPoE System functions as an I-BEB, B-BEB, IB-BEB or BCB as configured by the operator on per-SF basis, as specified in [DPoE-MEFv2.0].The DPoE System forwards PBB traffic based on provisioned [802.1ah] parameters, utilizing at least one of those identified below.

The DPoE System MUST support the [802.1ah] frame fields, per the following list, to classify and forward frames into individual SFs. The D-ONU MUST support the [802.1ah] frame fields, per the following list, to classify (forward) frames into individual SFs.

List of the [802.1ah] frame fields used for Classification and Forwarding:

- B-DA
- B-SA

- B-TPID (default of 0x88a8)
- B-TCI, or any combination of the B-TCI fields: (B-PCP, B-DEI, B-VID)
- I-TPID (default of 0x88e7)
- I-TCI, or any combination of the following I-TCI fields: (I-PCP, I-DEI, I-UCA, I-SID)
- S-TPID (default of 0x88a8)
- S-TCI, or any combination of the S-TCI fields: (S-PCP, S-DEI, S-VID)
- C-TPID (default of 0x8100)
- C-TCI, or any combination of the C-TCI fields: (C-PCP, C-CFI, C-VID)

If no B-TPID is specified within the CM configuration file when adding a B-Tag, the DPoE System MUST default to a B-TPID of 0x88a8. If no I-TPID is specified within the CM configuration file when adding an I-Tag the DPoE System MUST default to a I-TPID of 0x88e7. The DPoE System configures the D-ONU through eOAM messages, to use the appropriate values for B-TPID and I-TPID.

Legacy implementations of PBB may use different values of the I-TPID. Examples of such I-TPID values include 0x8100, 0x9100, 0x9200, or 0x8902. [802.1ah] standard compliant implementations of PBB use I-TPID 0x88e7.

Legacy implementations of PBB may use different values of the B-TPID. Examples of such B-TPID values include 0x8100, 0x9100, or 0x9200. [802.1ah] implementations of PBB use B-TPID 0x88a8.

A frame is considered to be I-Tagged when the frame TPID is equal to the value of I-TPID provisioned in the CM configuration file or the default I-TPID for [802.1ah] if a specific I-TPID value is not provisioned in the CM configuration file. A frame is considered to be B-Tagged when an I-Tag is present and the TPID in the B-Tag is equal to the value of B-TPID provisioned in the CM configuration file or the default B-TPID for [802.1ah] if a specific B-TPID value is not provisioned in the CM configuration file. Such values are known to the operator in advance and can be included in the D-ONU and DPoE System configuration for specific services, where such frames are expected.

[DPoE-MEFv2.0] offers optional methods of operator configurable TPID translation for PBB frames on the DPoE System.

The DPoE System MUST support classification and forwarding of individual PBB frames into an SF. DPoE System MUST support processing of PBB frames (adding, modifying and stripping of individual PBB fields), subject to detailed requirements as listed in [DPoE-MEFv2.0].

The D-ONU MUST support classification and forwarding individual PBB frames into an SF. D-ONU MAY support processing of PBB frames (adding, modifying and stripping of individual PBB fields), subject to detailed requirements as listed in [DPoE-MEFv2.0].

The selection of what operation is to be performed on a specific frame depends on the classification rule and the associated operations. In this way, the operator controls explicitly what PBB frames are subject to what transformations and to where (what LLID) they are forwarded.

8.2 Multicast Forwarding

8.2.1 Introduction

The DPoE Network supports IP multicast for IP(HSD) services by adopting the IP multicast model defined in [MULPIv3.0]. As defined in the [MULPIv3.0] DOCSIS 3.0 model, the D-ONU does not proxy or snoop messages to track Layer-3 IP multicast group membership and has no IP multicast control protocol awareness. The D-ONU transparently forwards IGMP/MLD control messages received from the client CPEs to the DPoE System.

Although this version of the DPoE specification defines a centralized approach to IP multicast, it does not prevent the use of a distributed model. In a distributed model, the D-ONU may support IGMP Proxy functionality.

Support of the IP multicast control protocols and tracking of Layer-3 IP multicast group membership is centralized and performed on the DPoE System. The DPoE System forwards downstream all packets from a set of multicast session on the multicast LLID (mLLID) assigned by the DPoE System to that particular set of multicast sessions. From the DPoE System perspective, an mLLID identifies a set of multicast sessions which may be received by a set of D-ONUs. The mLLID is used by the D-ONU to filter and forward multicast packets. The DPoE System controls the multicast forwarding of downstream multicast packets to specific interfaces through configuration of the D-ONU. The DPoE System configures the D-ONU with the mLLID and associated group forwarding attributes to specify the forwarding of IP multicast packets.

8.2.2 Downstream Multicast Forwarding

This section outlines the DPoE System and D-ONU requirements for downstream multicast forwarding. In the DOCSIS Network [MULPIv3.0], the DSID is used to restrict forwarding of multicast sessions through CMs with multicast clients that have joined the session, in a DPoE Network, the DSID is replaced by the mLLID.

The DPoE System MUST assign mLLIDs to GSFs. The DPoE System MUST assign mLLIDs uniquely per MAC Domain.

In order to reach all of the members of an IP multicast session, the DPoE System MUST replicate the packet on the downstream channels of a MAC Domain. The DPoE System MUST forward IP multicast packets if the session has active group membership. Active group membership is determined by the presence of a multicast listener (client) behind a D-ONU.

8.2.2.1 Labeling Multicast Packets with mLLIDs

The DPoE System MUST tag all downstream multicast packets with an mLLID. The DPoE System signals the needed mLLIDs to the appropriate D-ONU. Packets with a known mLLID are received by the D-ONU and further filtered and forwarded to the set of CMIM-Interfaces (S interfaces) associated with this multicast session. Packets with an unknown mLLID are discarded by the D-ONU.

In [MULPIv3.0], each replication of an (S,G) IP multicast session to a particular Downstream Channel Set is assigned a unique DSID label within a MAC Domain. In DPoE Networks each GSF is assigned a unique mLLID within a MAC Domain. This is an important difference. In DOCSIS Networks, the DSID is unique to an individual (S,G) IP multicast session and in DPoE

Networks, the mLLID is unique to the GSF. A GSF and the assigned mLLID can be used to forward one or many IP multicast sessions downstream.

8.2.2.2 Communicating mLLIDs, filtering and forwarding attributes to a D-ONU

The DPoE System is responsible for signaling to the D-ONU the required parameters for filtering and forwarding multicast traffic. This includes the mLLID and all of the associated filtering and forwarding parameters associated with each GSF. The process for communicating the required parameters is the same for dynamic multicast sessions, using multicast management protocols, as well as statically joined sessions using Static Multicast Session Encodings.

When the DPoE System successfully authorizes an IP multicast session, the DPoE System MUST communicate the mLLID and required filtering and forwarding parameters to the D-ONU. The DPoE System MUST configure the D-ONU as defined in [DPoE-OAMv2.0] with the following filtering and forwarding parameters: mLLID, Source Address, IP multicast group address and CMIM-Interface map. The CMIM-Interface map is the set of D-ONU interfaces to which multicast packets for this multicast session must be forwarded.

When the DPoE System determines that an IP multicast session is no longer active on a particular interface, the DPoE System MUST communicate the mLLID and an updated CMIM to the D-ONU. The updated CMIM will no longer have the bit set for the particular interface on which the IP multicast session is no longer active. If the IP multicast session is no longer active on any of the D-ONU's CMIM-Interfaces the DPoE System MUST communicate to the D-ONU to remove the mLLID and all associated filtering and forwarding parameters.

8.2.2.3 mLLID based Filtering and Forwarding by a D-ONU

The D-ONU filters the downstream IP multicast packets based on the mLLID, Source Address and IP Multicast Group Address that it has been configured to process. The D-ONU MUST discard all multicast packets that do not match a configured mLLID, source address and IP multicast group address.

The D-ONU MUST replicate filtered IP multicast packets only once on each of the interfaces specified in the CMIM. The D-ONU MUST forward IP multicast packets only to the interfaces that are configured in the CMIM. If no interface is configured in the CMIM, the D-ONU MUST discard the received multicast packets.

8.2.2.4 Forwarding Local Network Control and Link-Local Multicast Addresses

The DPoE System determines which GSF to transmit downstream local network control [DPoE-IPNEv2.0] and link-local multicast packets based on the destination multicast address.

Downstream local network control packets addressed to the all hosts multicast address and link-local multicast packets addressed to the all nodes address MUST be transmitted by the DPoE System on the multicast control traffic GSF and the associated mLLID for each IP(HSD) and multicast serving group. The DPoE System MUST support the downstream forwarding of the local network control and link-local multicast control protocols on the multicast control GSF based on the serving group configuration as specified in [DPoE-IPNEv2.0].

For packets that are destined to a multicast group specific address, the DPoE System MUST signal the appropriate mLLID to only the D-ONUs with active membership in the associated multicast group. The DPoE System MUST forward all downstream local network control and link-local multicast packets addressed to specific multicast group addresses on the same mLLID assigned by the DPoE System for the multicast data for this group address. Downstream local network control and link-local multicast packets addressed to a specific multicast group address are not transmitted on the multicast control traffic GSF or the associated mLLID.

8.2.3 Downstream Multicast Encryption

When a DPoE System encrypts downstream IP multicast traffic that is intended to be forwarded by a group of D-ONUs, it signals the security association to each of those D-ONUs. The procedures to support IP multicast encryption and the necessary signaling associations are defined in [DPoE-SECv2.0].

The encryption for IP multicast sessions can be configured in the Group Encryption Configuration object which is referenced from the 'Group Configuration' (GC) object [DPoE-OSSIv2.0]. If a multicast session is configured to be encrypted, then the GC entry for the multicast session points to an entry in the Group Encryption Table. The DPoE System MUST encrypt the multicast session as configured by the Group Encryption Table. If there is no configuration for encryption, The DPoE System MUST forward the particular multicast session unencrypted. This encryption is be applied to dynamically joined sessions using multicast management protocols as well as statically joined sessions using Static Multicast Session Encoding TLV.

8.2.4 Upstream Multicast Forwarding and Encryption

In this version of DPoE specifications, there are no special requirements around forwarding of upstream multicast packets. When a D-ONU receives multicast packets on its CMCI interface, it forwards upstream data packets to the DPoE System on the unicast LLID using the S-VID and C-VID associated with that CMCI. In this version of DPoE specifications, there are no requirements for encrypting of upstream multicast packets.

8.2.5 Static Multicast Session Encodings

The cable operator can configure the D-ONU to join static multicast sessions using CMTS Static Multicast Session Encodings (TLV64) as defined in [MULPIv3.0]. The DPoE System MUST configure the forwarding of a static session on the D-ONU as part of the vCM registration process. During the vCM registration process, the DPoE System configures the D-ONU as defined in [DPoE-OAMv2.0] with the following parameters: mLLID, Source Address, IP multicast group address and CMIM-Interface map. The CMIM-Interface map is the set of D-ONU interfaces to which multicast packets for this multicast session must be forwarded. If the static IP multicast session is encrypted, the DPoE System MUST enable encryption for this mLLID as part of the vCM registration process. The procedures to enable multicast encryption for static multicast sessions are the same as defined in Section 8.2.3.

8.2.6 IGMP and MLD Support

In the DPoE Network, the DPoE System is the single point of control for IP multicast operations. This alleviates the need for any IGMP or MLD support in the D-ONU. From a multicast client's perspective, the DPoE System operates as an IGMP/MLD querier, and as an IPv4/IPv6 multicast router. The DPoE System MUST provide IP multicast querier and router support for IPv4 using IGMPv3. The DPoE System MUST provide IP multicast querier and router support for IPv6 using MLDv2.

A DPoE System support for IGMP/MLD backwards compatibility is configured per serving group as specified in [DPoE-IPNEv2.0]. If the configuration specifies that the DPoE Network must limit IGMP/MLD support to IGMPv3/MLDv2, then the DPoE System MUST NOT support backwards compatibility with IGMPv1/v2 and MLDv1. If the DPoE System is not operating in IGMP version backwards compatibility mode, the DPoE System MUST discard all IGMP packets other than IGMPv3 packets. If the DPoE System is not operating in MLD version backwards compatibility mode, the DPoE System MUST discard all MLD packets other than MLDv2 packets. If the configuration specifies that the DPoE Network supports IGMP/MLD backwards compatibility, the DPoE System MUST support IGMPv1/v2 clients and MLDv1 clients as specified in IGMPv3 [RFC 3376] and MLDv2 [RFC 3810].

Multicast clients send IGMP/MLD membership reports when they want to start or stop receiving an IP multicast session. A D-ONU MUST transparently pass these membership reports on the TU interface to the DPoE System.

The forwarding of IGMPv3 / MLDv2 packets is based on the following requirements:

The DPoE System MUST forward all downstream IGMP / MLD messages sent to the all hosts address and all nodes address on the TU using the mLLID for multicast control traffic for each IP serving group.

The DPoE System MUST forward all downstream IGMP / MLD messages sent to a group specific address on the TU interface using the mLLID for that session. This is the mLLID assigned by the DPoE System for the forwarding of multicast data for that group specific address.

The D-ONU MUST bridge all upstream IGMP /MLD message on the TU using the upstream unicast LLID corresponding to the CMIM interface that the D-ONU received the packet on. The S-Tag and C-Tag used for forwarding upstream IGMP / MLD MUST be as configured in the multicast IP-SG configuration specified in [DPoE-IPNEv2.0].

8.2.6.1 IGMP/MLD Join Processing

When the DPoE System receives an IGMP/MLD join request(membership report) from the first multicast client behind a CMIM-Interface, the DPoE System MUST verify if clients on this CMIM-Interface are authorized to receive this IP multicast session, as described in Section 8.2.9. If this CMIM-Interface is authorized for the session and has not already been configured on the D-ONU, the DPoE System MUST configure the addition of the mLLID and associated filtering and forwarding parameters on the D-ONU as defined in [DPoE-OAMv2.0]. If the CMIM-Interface on the D-ONU is not authorized, the DPoE System MUST NOT configure the addition of the mLLID and associated filtering and forwarding parameters on the D-ONU as defined in [DPoE-OAMv2.0].

8.2.6.2 IGMP/MLD Leave Processing

When the DPoE System determines that there are no multicast clients for an IP multicast session behind a CMIM-Interface, the DPoE System MUST perform the deletion of the mLLID and associated filtering and forwarding parameters as defined in [DPoE-OAMv2.0] for this CMIM-Interface. When the DPoE System determines that there are no multicast clients for an IP multicast session on a D-ONU, the DPoE System MUST perform the deletion of the mLLID and associated filtering and forwarding parameters as defined in [DPoE-OAMv2.0] for this D-ONU.

When the DPoE System determines that there are no multicast clients for an IP multicast session on any D-ONU, the DPoE System may choose to release the mLLID and reuse it as needed.

8.2.7 Explicit tracking of CPEs joined to a multicast group

The centralized model described here enables the DPoE System to perform tracking of multicast sessions on a per user basis, see the multicast MIB objects defined in [DPoE-OSSIv2.0].

8.2.8 IPv6 multicast traffic: Neighbor Discovery, Router Solicitation, etc.

Some of the IPv6 traffic used for DHCPv6, Neighbor Solicitation (DAD), and IPv6 Router Advertisements (RAs), are sent using IP multicast. The DPoE System MUST send all such multicast IPv6 Provisioning Traffic using a separate mLLID chosen for this purpose. The DPoE System signals such an mLLID to D-ONUs to support forwarding of traffic related to IPv6 provisioning. The mLLID and the set of D-ONUs which receive the mLLID are chosen in a vendor-specific manner.

8.2.9 IP Multicast Join Authorization

The DPoE System MUST support the IP Multicast Join Authorization Encodings (TLV 43.10) as specified in [MULPIv3.0] with modifications specified in Annex C. Several new attributes have been added to TLV 43.10, as highlighted in *italics* below. The changes are discussed in detail in the following subsections.

43.10 IP Multicast Join Authorization

1. IP Multicast Profile Name

2. IP Multicast Join Authorization Static Session Rule

    a. RulePriority

    b. Authorization Action

    c. Source Prefix Address

    d. Source Prefix Length

    e. Group Prefix Address

    f. Group Prefix Length

    g. Static Session Rule CMIM

1. Maximum Multicast Sessions

2. IP Multicast Profile Extension

    a. Profile Name

    b. Profile CMIM

3. Maximum Multicast Sessions CMIM

    a. CMIM

    b. Maximum Sessions

8.2.9.1 Authorization per D-ONU CMIM-Interface

In DOCSIS [MULPIv3.0], the IP Multicast Join Authorization Encodings are used to authorize IP multicast session joins for a CM. In a DPoE Network, the operator requires the ability to authorize which IP multicast sessions may be joined by multicast clients per D-ONU CMIM-Interface. The ability to associate IP Multicast Profiles and IP Multicast Join Authorization Static Session Rules with CMIM-Interfaces is required.

8.2.9.1.1 Static Session Rule CMIM (TLV 43.10.2.7)

The existing IP Multicast Join Authorization Static Session Rule TLV encoding has been modified to support the Static Session Rule CMIM TLV subtype. This TLV defines the set of interfaces to which the Static Session Rule applies. The DPoE System MUST support IP Multicast Join Authorization per D-ONU CMIM-Interface as configured by the Static Session Rule CMIM subtype encoding (TLV 43.10.2.7). The DPoE System MUST enforce a Static Session Rule match by signaling or not signaling the mLLID and associated filtering and forwarding information to the D-ONU.

The Static Session Rule CMIM is an optional subtype of TLV 43.10.2. If TLV 43.10.2 is provided to identify a Static Session Rule without a CMIM value, the Static Session Rule can be matched with multicast join requests received on any D-ONU CMIM-Interface. When TLV 43.10.2.7 is present, the DPoE System MUST include the CMIM-Interface of the join request in the match criteria when performing a comparison with the specified Static Session Rule. When TLV 43.10.2.7 is not present, the DPoE System MUST NOT include the CMIM-Interface of the join request in the match criteria when performing a comparison with the specified Static Session Rule.

8.2.9.1.2 IP Multicast Profile Extension (TLV 43.10.4)

The existing IP Multicast Join Authorization encoding TLV has been modified to support the IP Multicast Profile Extension subtype, consisting of a Profile Name and CMIM TLV pair. This subtype defines the set of interfaces to which the IP Multicast Profile TLV applies. The DPoE System MUST authorize IP multicast joins per D-ONU CMIM-Interface as configured by the IP Multicast Profile Extension subtype encoding (TLV 43.10.4). The DPoE System MUST enforce an IP Multicast Profile Rule by signaling or not signaling the mLLID and associated filtering and forwarding information to the D-ONU.

The IP Multicast Profile Extension TLV is an optional subtype of TLV 43.10. If a Profile Name TLV (43.10.1) is provided, the multicast session rules can be matched with multicast join requests received on any D-ONU CMIM-Interface, as already specified in DOCSIS [MULPIv3.0]. When the Profile Name TLV is present, the DPoE System MUST include the CMIM-Interface of the join request in the match criteria when performing a comparison with the specified IP Multicast Profile session rule set.

8.2.9.2 Maximum Multicast Sessions Limits

In DOCSIS [MULPIv3.0], the Maximum Multicast Sessions TLV and ‘Default Maximum Multicast Sessions’ management object [OSSIv3.0] are used to limit the number of multicast sessions joined by clients through a particular CM. In a DPoE Network the operator requires the ability to limit the number of multicast sessions joined per CMIM-Interface.

There are three levels of control over the maximum session limit:

‘Default Maximum Multicast Sessions’ management object defined in [MULPIv3.0].

Maximum Multicast Sessions encoding (sub-TLV 43.10.3) defined in [MULPIv3.0].

Maximum Multicast Sessions CMIM encoding (sub-TLV 43.10.5) defined in this specification.

Since all three levels can be used simultaneously, the DPoE System MUST enforce the priority as follows:

- The third level always takes precedence over the first two levels for specified CMIM-Interfaces.
- In the absence of the third level, or for CMIM-Interfaces not identified by the third level, the second level takes precedence over the first.

8.2.9.2.1 Default Maximum Multicast Sessions Management Object

The ‘Default Maximum Multicast Sessions’ management object is a CMTS global attribute specified in [MULPIv3.0]. The DPoE System MUST implement the ‘Default Maximum Multicast Sessions’ management object as defined in [MULPIv3.0]. If the Maximum Multicast Sessions Encoding TLV is not present in a CM configuration file, the DPoE System MUST enforce the value of the ‘Default Maximum Multicast Sessions’ management object for CMIM-Interfaces not identified by a Maximum Multicast Sessions CMIM encoding TLV

8.2.9.2.2 Maximum Multicast Sessions

The Maximum Multicast Sessions (sub-TLV 43.10.3) is specified in [MULPIv3.0] at the per-CM level. The DPoE System MUST enforce the Maximum Multicast Sessions encoding TLV as specified in [MULPIv3.0].

If both the Maximum Multicast Sessions encoding TLV and Maximum Multicast Sessions CMIM encoding TLV are present in a CM configuration file, the DPoE System MUST enforce the Maximum Multicast Sessions limit for the remaining CMIM-Interfaces not identified within any Maximum Multicast Sessions CMIM encoding TLV.

8.2.9.2.3 Maximum Multicast Sessions CMIM

The Maximum Multicast Sessions CMIM (sub-TLV 43.10.5) provides a maximum session value and associated set of CMIM-Interfaces to which it applies. The maximum value applies to each individual CMIM-Interface separately. For example, if a five-session limit is defined, a maximum of five dynamically joined sessions would be allowed on each CMIM-Interface specified. The Maximum Multicast Sessions CMIM encoding does not restrict the number of statically joined IP multicast sessions. The DPoE System MUST enforce the Maximum Multicast Sessions CMIM encoding TLV. The DPoE System MUST NOT authorize dynamic multicast session join requests that exceed the per CMIM-Interface limit specified in the Maximum Multicast Sessions CMIM encoding TLV. A value of 0 indicates that no dynamic joins are permitted on the specified CMIM-Interfaces and a value of 65535 (the largest valid value) indicates that the DPoE System permits any number of sessions on the specified CMIM-Interfaces.

If both the Maximum Multicast Sessions encoding TLV and Maximum Multicast Sessions CMIM encoding TLV are present in a CM configuration file, the DPoE System MUST enforce the Maximum Multicast Sessions CMIM limit for the specified CMIM-Interfaces.

8.3 Requirements for IP(HSD) Forwarding

IP(HSD) service in the DPoE Network is implemented using the service model as described in [DPoE-ARCHv2.0]. The IP(HSD) implementation does not rely on and is not related to [DPoE-MEFv2.0].

The IP(HSD) forwarding model uses EVCs rooted at the default router (DR) in the DPoE System to a Virtual-UNI[3] (V-UNI) interface. A V-UNI is a UNI interface that may or may not be physically accessible. The EVC from the DR can be terminated at a CMCI or LCI attached to an embedded Service/Application Functional Entity (eSAFE) device, such as an eRouter. This is further described in [DPoE-ARCHv2.0].

8.3.1 IP Serving Group (IP-SG)

IP(HSD) EVCs are implemented using the PB model, where each S_1 interface (configured as an LCI or CMCI interfaces) is assigned a unique S-VLAN and C-VLAN (combination). The S-VLAN provides a method of operationally and administratively organizing C-VLANs into manageable Service groups. Each S-VLAN carries a set of C-VLANs with their respective EVCs that are terminated into a forwarding interface (or sub-interface) containing at least one IP router interface. This is called an IP Serving Group (IP-SG). Each IP-SG consists of at least one such S-VLAN

The DPoE System supports [DOCSIS] requirements to be compatible with standard [DOCSIS] CM configuration file without the use of [L2VPN] or [DPoE-MEFv2.0]. EVC forwarding for IP(HSD) is implemented on the DPoE System using configurable parameters identified in [DPoE-IPNEv2.0]. The vCM MUST support configuration of IP(HSD) service without the use of [DPoE-MEFv2.0] and [L2VPN] TLVs. The standard CM configuration file TLVs defined in DOCSIS are both necessary and sufficient for the vCM to configure IP(HSD) services to clients behind the D-ONU.

8.3.2 IP Network Element (IP NE)

In order to locally provision S-VLANs for IP(HSD) forwarding that are compatible with S-VID used for [DPoE-MEFv2.0], the DPoE System MUST be configured to reserve specific S-VIDs for IP(HSD). The method of provisioning the IP(HSD) S-VIDs is specified in [DPoE-IPNEv2.0]. The DPoE System MUST reject a CM configuration file, if the S-VID provisioned for any MEF service overlaps with the S-VIDs reserved for any of the other Serving Groups.

The C-VIDs are dynamically assigned by the DPoE System for each EVC. The C-VIDs assignment is local (and therefore arbitrary and can be assigned in a vendor-specific way). The DPoE System MUST preserve the C-VIDs assignment for EVCs across the lifetime of a vCM registration. The DPoE System MAY preserve the C-VIDs assignment when a vCM re-registers.

[3] The Metro Ethernet Forum created the Virtual UNI (V-UNI) to specify and describe UNI interface handling with ENNI. As described by the MEF, such an interface is not physically accessible but shares all the attributes of the standard MEF UNI. The use of this term here is for a logical UNI interface that shares the attributes of the MEF UNI but is not physically accessible. However, the use of the term is not related to ENNI.

9 DPOE SYSTEM AND D-ONU INTERACTION

9.1 D-ONU and vCM Initialization and Reinitialization

9.1.1 Scan for Downstream Channel

A D-ONU, upon initialization, MUST NOT transmit any CPE traffic until it is discovered by the DPoE System, ranged, registered, and granted access to the DPoE Network. When powered on, it starts receiving the downstream channel data stream to (1) acquire and align the receive path clock to the data clock retrieved from the continuous transmission, and (2) synchronize its data path to the incoming frames.

Detailed description of the D-ONU synchronization process in 10G-EPON is included in 76.3.3.2 in [802.3av].

D-ONU synchronization process for 1G-EPON is based on the legacy 1000BASE-X synchronization procedure, as described in 36.2.5.2.6 in [802.3]. Relationship between the legacy 1000BASE-X Physical Coding Sublayer (PCS) functions and EPON stack is depicted in Figure 65–4 in [802.3].

Since multi-channel operation is not supported in the DPoE System, there is also no need to store any last operational parameters in a non-volatile storage. Every time it is initialized, the D-ONU MUST go through a complete initialization, discovery, ranging, registration, and granting process until it is fully operational. There may be exceptions to this rule in case of support of advanced power-saving modes, where the D-ONU may have selectively disabled receive or transmit paths. In this case, it may be required to go only through the process of synchronization to the downstream channel and clock re-acquisition, while completely skipping the initialization, discovery, ranging, and registration steps. Specific details are outside of the scope of the DPoE specifications and are considered vendor-specific.

9.1.2 Continue Downstream Scanning

A D-ONU MUST NOT select any other transmission channel apart from the channel meeting the requirements of the [DPoE-PHYv2.0] specification. That means that any functions related to channel scanning are not supported in the DPoE Network.

9.1.3 Service Group Discovery and Initial Ranging

The DPoE System MUST NOT determine the service group of a DOCSIS CM for channel bonding and load balancing, since such functions are not supported by the underlying EPON transport layer.

Ranging in the DPoE System is performed first during the Discovery phase of the D-ONU registration, and then is carried out continuously during the regular operation, guaranteeing that the D-ONU RTT always remains correct and up-to-date. The ranging process for 10G-EPON is defined in 77.2.1.1 in [802.3av], and for 1G-EPON is defined in 64.2.1.1 in [802.3].

DOCSIS-specific service group discovery and initial ranging functions are not supported in the DPoE System.

See 77.3.3 in [802.3av] for 10G-EPON and 64.3.3 in [802.3] for 1G-EPON, for detailed information on the Discovery phase and related processes taking place on the DPoE System and

D-ONU side. D-ONU Discovery represents the time when the D-ONU has successfully completed DPoE Network registration (see 64.3.3 in [802.3ah] and 77.3.3 in [802.3av]) for some number of LLIDs (EPON logical links).

9.1.4 Authentication

See [DPoE-SECv2.0] for details.

9.1.5 Establishing IP Connectivity

The D-ONU does not contain an IP stack and is not directly addressable using IP. The vCM MUST obtain an IP address (management) on behalf of the D-ONU. The vCM performs IP provisioning for each D-ONU as they are discovered across the TUL interface. The vCM MUST maintain the IP address and associated parameters for the D-ONU.

Upon successful completion of IP address assignment, the DPoE System obtains the vCM configuration file via TFTP, followed by the processing of the DOCSIS CM configuration file. The diagram in Figure 14 shows an overview of the DPoE System establishing IP connectivity, which takes place for each discovered D-ONU.

The DPoE provisioning is almost identical to what is specified in previous versions of [MULPIv3.0]. This section specifies only requirements that are new or different from [MULPIv3.0] with respect to a DPoE Network. The DPoE System performing IP provisioning on behalf of a D-ONU MUST follow the operational flow of Figure 14.

The DPoE System MUST perform on behalf of the vCM, IP provisioning in one of three modes: IPv4 only, IPv6 only, and Dual-stack Provisioning Mode (DPM). Alternate Provisioning Mode as defined in [MULPIv3.0] is not supported by the vCM. The DPoE System MUST determine the IP provisioning mode via the ‘MdCfg’ management object defined in [DPoE-OSSIv2.0]. The vCM exists virtually on the DPoE System and therefore no DOCSIS MDD messaging, as defined in [MULPIv3.0], is required to inform the vCM of which IP Provisioning Mode to use during registration.

The vCM performing IP provisioning MUST follow the operational flow of Figure 14 through Figure 18 to arrive at an ‘IP Connectivity Successful’ or ‘IP Connectivity Failed’ state. Figure 14 shows the selection of the provisioning modes. Figure 15 through Figure 18 show the steps the vCM takes in each of the provisioning modes. Figure 16 and Figure 17 show the process the vCM follows for acquiring an IPv6 address. The acquisition of an IPv4 address, done through DHCPv4, is shown as part of Figure 15 and Figure 17.

Once the vCM is registered, any applications and services running on the vCM, such as SNMP, use either or both of IPv4 or IPv6. The vCM uses IPv4 or IPv6 to obtain the CM configuration file if the vCM has provisioned in IPv4 only or IPv6 only modes. When the vCM uses Dual-stack provisioning mode, the applications and services running on the vCM can use either IP version. The behaviour for specific management and service applications will depend on how the applications are configured on the DPoE System [DPoE-IPNEv2.0].

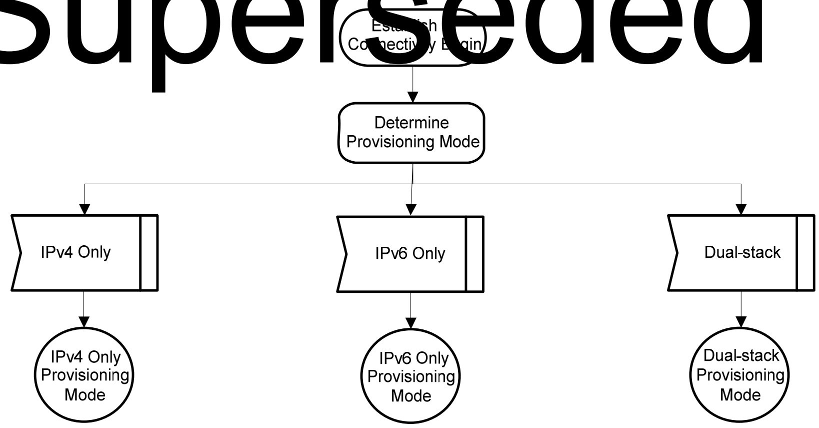

Figure 14 - Establish IP Connectivity

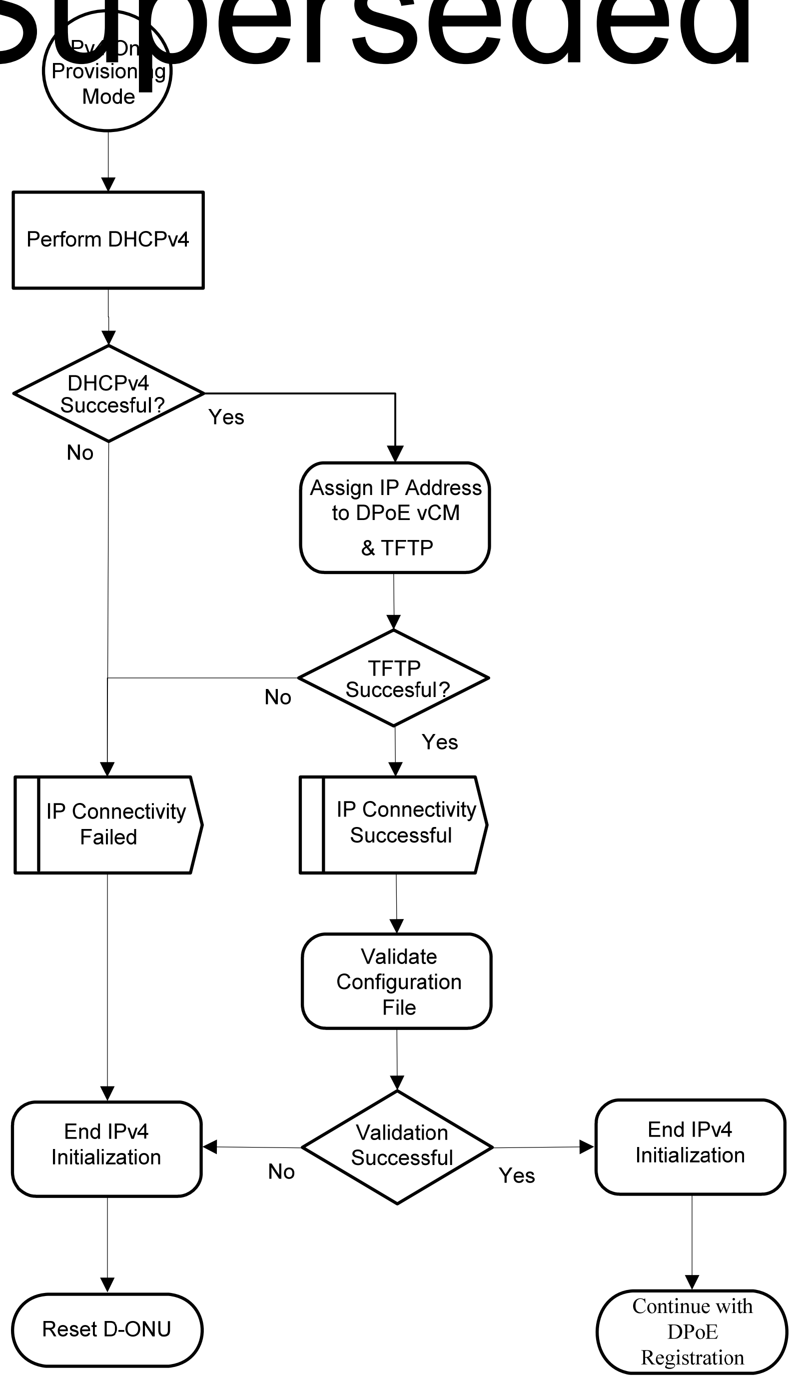

Figure 15 - IPv4-only Provisioning Mode

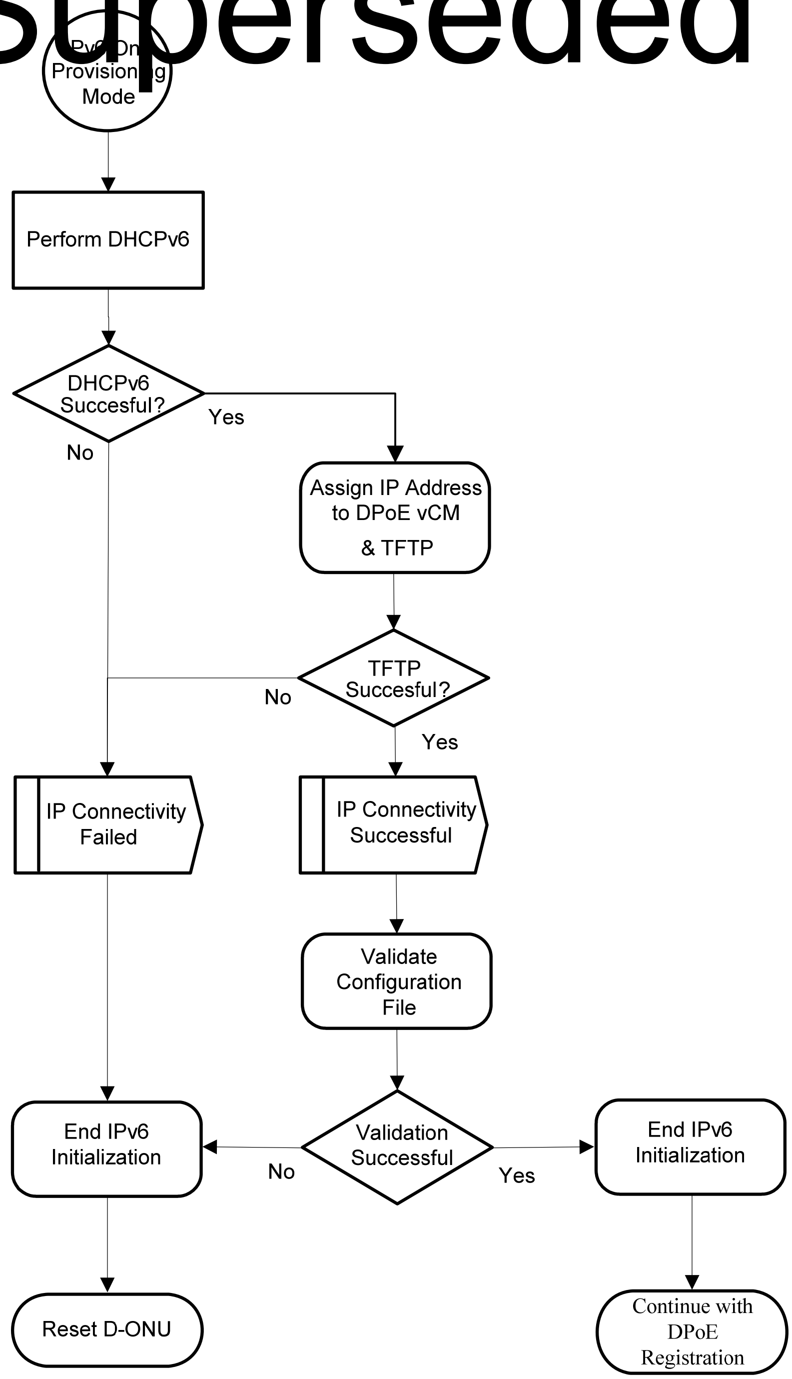

Figure 16 - IPv6-only Provisioning Mode

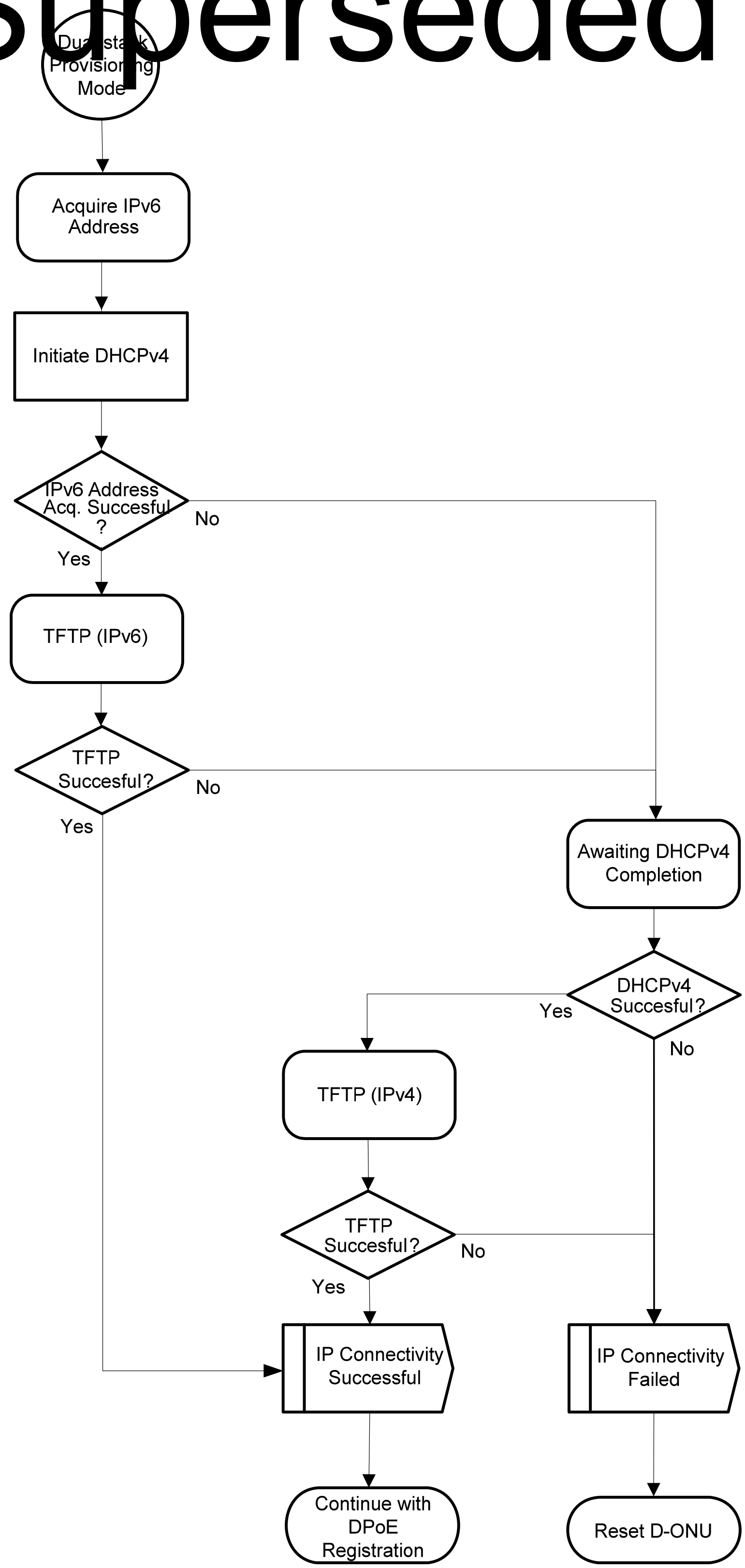

Figure 17 - Dual-stack Provisioning Mode

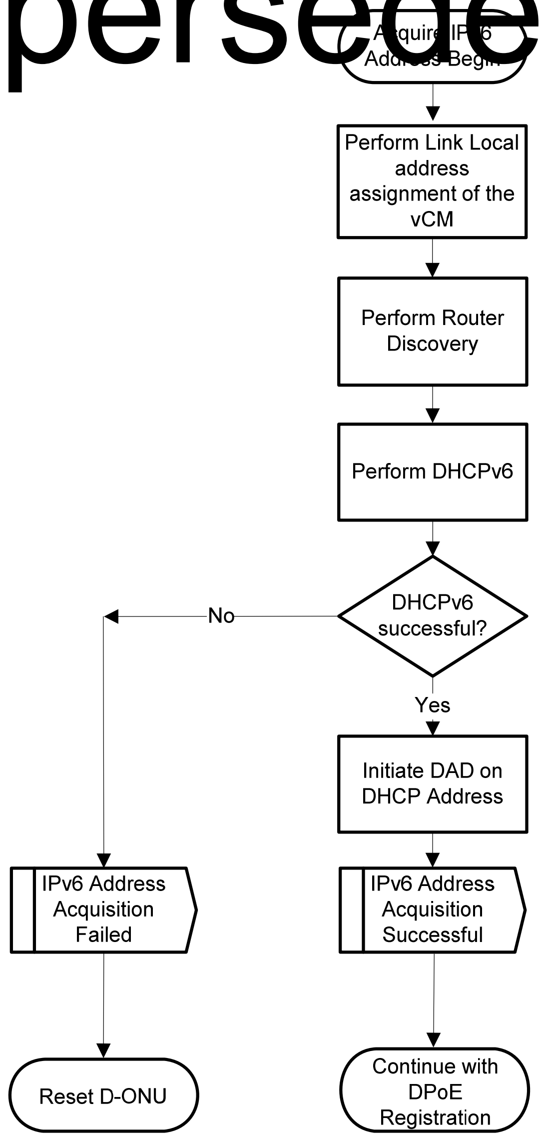

Figure 18 - IPv6 Address Acquisition

9.1.5.1 Establish IPv4 Network Connectivity

This section describes how the DPoE System provisions an IPv4 address and associated parameters on behalf of a D-ONU, as the vCM. Since the vCM and the DHCPv4 Relay Agent exist on the DPoE System; the Broadcast and Request phases of DHCPv4 address assignment are generated by the vCM and directed to the DHCPv4 Server as unicast packets by the Relay Agent. Refer to Figure 19 for DHCPv4 message flow detail. The vCM MUST support the requirements as specified in [MULPIv3.0]. Figure 19 shows the DHCPv4 message sequence for a DPoE System. The vCM MUST establish IPv4 Network Connectivity only after the discovery of the D-ONU.

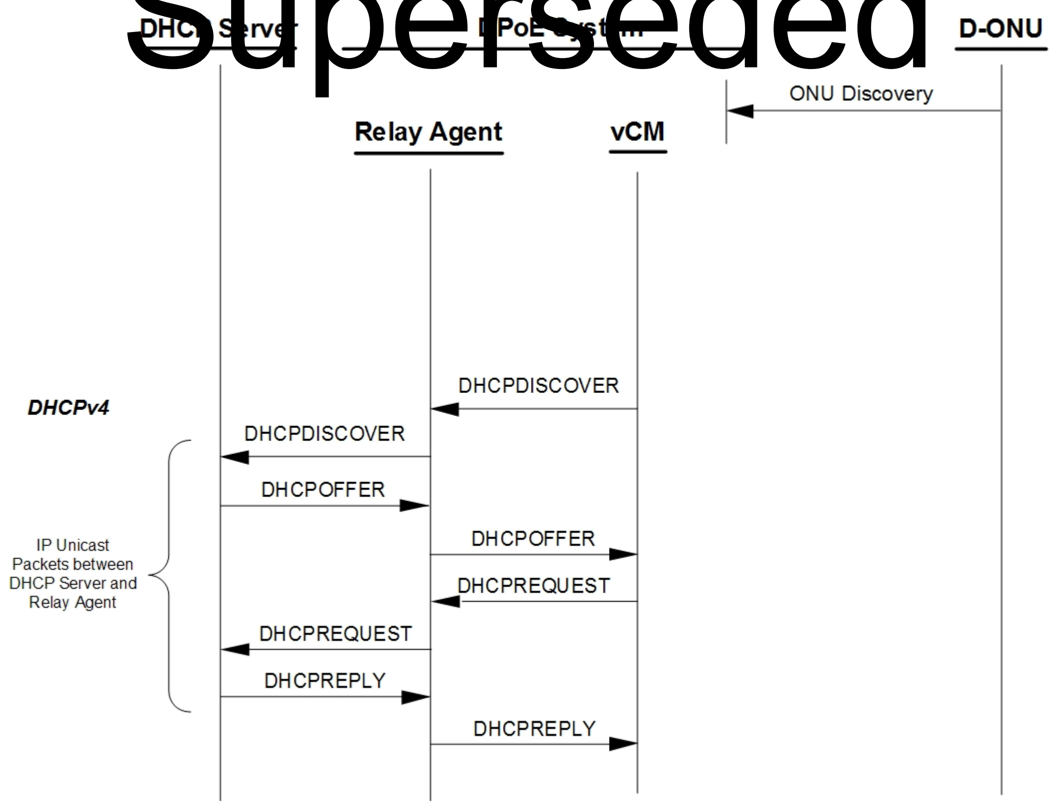

Figure 19 - Establishment of IPv4 Network Connectivity

As specified in [DPoE-OSSIv2.0], the DPoE System MUST maintain the appropriate DOCSIS state for each vCM known to the DPoE System. This is the DPoE System view of the vCM (‘docsIf3CmtsCmRegStatusValue’ object of the DOCS-IF3-MIB).

- When the DPoE System generates a DHCPv4 Discover message to obtain an IP address for the vCM, the DPoE System MUST transition the vCM to the "startDhcpv4" state.
- Upon successful completion of IPv4 address assignment for the vCM, the DPoE System MUST transition the vCM to the "dhcpv4Complete" state.
- If the DHCPv4 address assignment fails, the DPoE System MUST reset the vCM (and D-ONU) and transition the vCM to the "other" state.

As specified in [DPoE-OSSIv2.0], the DPoE System MUST maintain the appropriate DOCSIS state on behalf of the vCM. This is the vCM view of its own internal state (docsIf3CmStatusValue of the DOCS-IF3-MIB).

- When the DPoE System generates a DHCPv4 Discover message to obtain an IP address for the vCM, the DPoE System MUST transition the vCM to the "dhcpv4inProgress" state.
- Upon successful completion of IPv4 address assignment for the vCM, the DPoE System MUST transition the vCM to the "dhcpv4Complete" state.
- If DHCPv4 address assignment fails, the DPoE System MUST reset vCM (and D-ONU) and transition the vCM to the "other" state.

A vCM with an unexpired IPv4 address MUST send a DHCPRELEASE message as described in [RFC 2131] immediately prior to a reset caused by a set to the docsDevResetNow attribute.

9.1.5.1.1 DHCPv4 Fields Used by the vCM

The vCM MUST support the DHCP requirements for this section as specified in [MULPIv3.0], with the following exceptions.

The vCM MUST include the following fields in the DHCPDISCOVER and DHCPREQUEST messages from the vCM:

- The client hardware address (chaddr) is set to the 48 bit EPON MAC address of the D-ONU.
- The parameter request list option is included. The option codes are defined in [RFC 2132] and [RFC 4361]. The vCM MAY include the following option codes in the parameter request list: Option code 1 (Subnet Mask), Option code 2 (Time Offset), Option code 3 (Router Option), Option code 4 (Time Server Option). The vCM MUST include the following option codes in the parameter request list: Option code 7 (Log Server Option) and Option code 125 (DHCPv4 Vendor-Identifying Vendor-specific Information Option).
- Option code 125 (DHCPv4 Vendor-Identifying Vendor-specific Information Options) for DOCSIS 3.0 defined in [CANN-DHCP-Reg], with the following sub-options: Sub-option code 1, the DHCPv4 Option Request option. The vCM MUST include the following option codes in the DHCPv4 Option Request option: Sub-option code 2, DHCPv4 TFTP Servers Option, and Sub-option code 5, Modem Capabilities Encoding for DHCPv4.
- Option code 60 (Vendor Class Identifier) — the following ASCII-encoded string is the value to be present in Option code 60: docsis3.0.

9.1.5.1.2 Use of T1 and T2 Timers

The vCM MUST comply with the DHCP T1/T2 requirements as defined in [MULPIv3.0] for DHCPv4.

9.1.5.1.3 DHCPv4 Renew Fields Used by the vCM

During the DHCPv4 renew operation, it is possible that the vCM receives updated fields in the DHCPACK message.

If any of the IP address (yiaddr), the Subnet Mask, or the Next Hop Router (router option) are different in the DHCPACK than the current values used by the DPoE System for the vCM, the DPoE System MUST do one of the following:

- Reinitialize the associated D-ONU.
- Change the vCM's addressing to use the new values without reinitializing the associated D-ONU.

During the DHCP renew process, if the Configuration File Name or the SYSLOG server address is different in the DHCPACK than the current values used by the DPoE System for the vCM, the vCM MUST ignore the new fields.

If the Time Offset or Time server address values are different in the DHCPACK than the current values used by the DPoE System for the vCM, the DPoE System MUST ignore these fields as they do not apply for the DPoE System.

9.1.5.1.4 DPoE System Requirements

The DPoE System MUST support DHCPv4 Option 43 sub-options identified in [CANN-DHCP-Reg] and [eDOCSIS]. The DPoE System MUST support the DHCPv4 Relay Agent requirements as specified in [MULPIv3.0], with the following exceptions.

In order to assist the DHCPv4 server in differentiating between a DHCPDISCOVER sent from a vCM and a DHCPDISCOVER sent from a CPE.

- The DPoE System Relay Agent MUST include the DHCP Relay Agent Information Option (RAIO) according to [RFC 3046]. Specifically, the DPoE System DHCPv4 Relay Agent MUST add an RAIO to the DHCPDISCOVER message before relaying the message to a DHCP server.
    - The DPoE System MUST include in the RAIO, the 48-bit MAC address of the D-ONU for the DHCPDISCOVER in the agent remote ID sub-option field [RFC 3046].
- The DPoE System MUST support "DHCPv4 Relay Agent CMTS capabilities option" as specified in [CANN-DHCP-Reg] including the following sub-options : ‘CMTS DOCSIS Version Number’, ‘DPoE System Version Number’, ‘DPoE System DHCPv4 PBB service option’, ‘CMTS CM Service Class’, and ‘CMTS MSO Defined Text’.
    - The DPoE System MUST set the ‘CMTS DOCSIS Version Number’ sub-option to “3.0”
    - The DPoE System MUST set the ‘DPoE System Version Number’ sub-option to “2.0”

The DPoE System MUST support the D-ONU eOAM Version Number option, [CANN-DHCP-Reg] and include it in messages to the DHCP server. The D-ONU eOAM Version Number option contains the combined value which forms the eOAM version Number Identifier. The value of the 'major vers' field is retrieved from the 4 upper bits of the 'DPoE OAM Version' field in the 'DPoE OAM Support' TLV, defined in [DPoE-OAMv2.0], placed in the 4 lower bits of the field and padded with zeros. The value of the 'minor vers' field is retrieved from the 4 lower bits of the 'DPoE OAM Version' field in the 'DPoE OAM Support' TLV, defined in [DPoE-OAMv2.0], placed in the 4 lower bits of the field and padded with zeros.

The DPoE System MUST support DHCPv4 Option 43 sub-options as identified in [eDOCSIS] per [CANN-DHCP-Reg]. The DPoE System SHOULD support DHCPv4 Option 43 sub-Option 55-60 as defined in [CANN-DHCP-Reg].

S-ONUs that are (optionally) eDOCSIS devices MUST support DHCPv4 Option 43 sub-options as identified in [eDOCSIS] per [CANN-DHCP-Reg]. S-ONUs that are (optionally) eDOCSIS devices SHOULD support DHCPv4 Option 43 sub-Option 55-60 as per [CANN-DHCP-Reg].

9.1.5.1.5 DPoE DHCPv4 Fields and Options

9.1.5.1.5.1 DHCP Discover/ Request messages

Table 4 - DHCPv4 Discover/Request Fields

Field Name	Option	Sub-Option	Value(s)	Size
htype (hardware type)	n/a	-	“01” = Ethernet	8 bits
hlen (hardware address)	n/a	-	“06” = MAC Address Length	8 bits
chaddr (client hardware address)	n/a	-	D-ONU MAC Address	48 bits
client-identifier	61	-	htype +chaddr per [RFC 4361]	56 bits
parameter request list * may be present	55	-	Subnet Mask (1)* Time Offset (2)* Router (3)* Time Server (4)* Log Server (7) DHCPv4 Vendor-Identifying Vendor Specific Information (125)	48 bits
vendor class identifier	60	-	“docsis3.0:”	80 bits
vendor specific information	125	4491.1	ORO “02” – Request TFTP Server IP Address	8 bits
	125	4491.5	D-ONU Capabilities (see Annex C.9)	variable
agent information option	82	1	Agent Circuit ID (vendor specific string)	String

	82	2	Agent Remote ID (D-ONU MAC)	48 bits
	82	4491.9.1	“30” – DOCSIS 3.0 (major, minor version #)	16 bits
	82	4491.9.2	“20” – DPoE (major, minor version #)	16 bits

9.1.5.1.5.2 DHCP Offer/Ack Messages

Table 5 - DHCPv4 Response

Field Name	Option	Sub-Option	Value(s)	Size
yiaddr (ip address)	n/a	-	Client IP Address	32 bits
siaddr (next server)	n/a	-	TFTP Server IP Address	32 bits
file (boot file name)	n/a	-	vCM (D-ONU) Boot File Name	variable
sub-net mask	1	-	Client Sub-Net Mask	32 bits
time-offset	2	-	Unsigned 32 bit (2^32 – seconds)	32 bits
router	3	-	Next Hop Router IP Address	32 bits
time-server	4		Time Server IP Address	32 bits
log-server	7		Syslog Server IP Address	32 bits
vendor specific information	125	4491.1	Option Request Option “02”	8 bits
	125	4491.2	TFTP Server IP Address	32 bits
agent information option	82	1	Agent Circuit ID (vendor specific string)	string
	82	2	Agent Remote ID (D-ONU MAC)	48 bits
	82	4491.9.1	“30” – DOCSIS 3.0 (major, minor version #)	16 bits
	82	4491.9.2	“20” – DPoE 2.0 (major, minor version #)	16 bits

9.1.5.2 Establish IPv6 Network Connectivity

This section describes how the DPoE System provisions an IPv6 address and associated configuration parameters on behalf of a D-ONU. The requirements in this section apply only to vCMs instructed to use IPv6 provisioning. A vCM uses IPv6 provisioning when the DPoE System indicates 'IPv6 Only' provisioning or ’Dual stack Provisioning Mode’. The vCM MUST support the IPv6 provisioning requirements as specified in [MULPIv3.0]. Figure 20 shows the DHCPv6 message sequence for a DPoE System. The vCM MUST establish IPv6 Network Connectivity only after discovery of the D-ONU.

Since the vCM and the Relay Agent exist on the DPoE System, the Link-Local and Router Discovery phases of DHCPv6 address assignment are contained within the DPoE System. The DHCPv6 SOLICIT, ADVERTISE, REQUEST, and REPLY messages are generated by the vCM and relayed to the DHCP Server by means of the Relay Agent on the DPoE System. Refer to Figure 20 for DHCPv6 message flow detail.

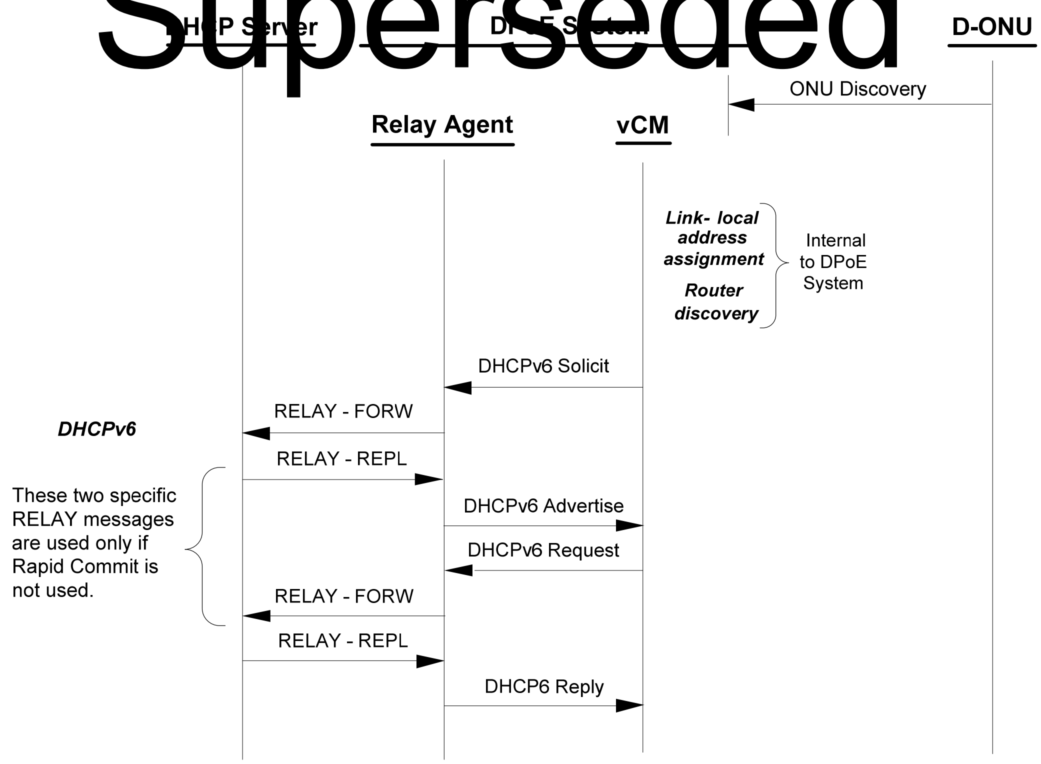

Figure 20 - Establishment of IPv6 Network Connectivity

The DPoE System MUST establish IPv6 connectivity including the assignment of:

- Link-local address
- Default router
- IPv6 management address and other IPv6 configuration

These steps are described in the following subsections.

The vCM and its default router are internal to the DPoE System; therefore, router discovery and forwarding for the vCM are not specified.

9.1.5.2.1 Obtain Link-Local Address

The process of obtaining a link-local address occurs within the DPoE System. Within the DPoE System, the vCM MUST construct a link-local address for its management interface according to the procedure in [RFC 4862]. The vCM MUST use the EUI-64 (64-bit Extended Unique Identifier) derived from the D-ONU MAC address as a link-local address for its management interface as described in [RFC 3513].

9.1.5.2.2 Obtain Default Routes

The process of obtaining default routers occurs within the DPoE System. The process works as defined in [MULPIv3.0].

9.1.5.2.3 Obtain IPv6 Management Address and Other Configuration Parameters

As specified in [DPoE-OSSIv2.0], the DPoE System MUST maintain the appropriate DOCSIS state for each vCM known to the DPoE System. This is the DPoE System view of the vCM (docsIf3CmtsCmRegStatusValue of the DOCS-IF3-MIB).

- When the DPoE System generates a DHCPv6 Solicit message to obtain an IP address for the vCM, the DPoE System MUST transition the vCM to the "startDhcpv6" state.
- Upon successful completion of IPv6 address assignment for the vCM, the DPoE System MUST transition the vCM to the "dhcpv6Complete" state.
- If the DHCPv6 address assignment fails, the DPoE System MUST reset the vCM(and D-ONU) and transition the vCM to the "other" state.

As specified in [DPoE-OSSIv2.0], the DPoE System MUST maintain the appropriate DOCSIS state on behalf of the vCM. This is the vCM view of its own internal state (docsIf3CmStatusValue of the DOCS-IF3-MIB).

- When the DPoE System generates a DHCPv6 Solicit message to obtain an IP Address for the vCM, the DPoE System MUST transition the vCM to the "dhcpv6inProgress" state.
- Upon successful completion of IPv6 address assignment for the vCM, the DPoE System MUST transition the vCM to the "dhcpv6Complete" state.
- If DHCPv6 address assignment fails, the DPoE System MUST reset the vCM (and D-ONU) and transition the vCM to the "other" state.

9.1.5.2.4 DHCPv6 Fields Used by the vCM

The vCM MUST support DHCPv6 requirements as specified in [MULPIv3.0], with the following exceptions.

The vCM MUST include the following fields in the DHCPv6 Solicit and Request messages from the vCM.

- A Client Identifier option containing the DUID (DHCP Unique Identifier) for this vCM as specified by [RFC 3315]. The vCM can choose any one of the rules to construct the DUID according to section 9.1 of [RFC 3315];
- An IA_NA (Identity Association for Non-temporary Addresses) option to obtain its IPv6 management address;
- The client hardware address (chaddr) is set to the EPON MAC address of the D-ONU;
- A Vendor Class option containing 32-bit number 4491 (the Cable Television Laboratories, Inc. enterprise number) and the string "docsis3.0";
- A Vendor-specific option containing:

1. TLV5 Encoding [CANN-DHCP-Reg] containing the encoded TLV5s describing the capabilities of vCM information option in Annex C.1.3.1; (Optional)
2. Device ID option (Sub-option 36) containing the MAC address of the D-ONU;
3. ORO option requesting the following vendor-specific options:
    1. Sub Option 37 - Time Protocol Servers (Optional)
    2. Sub Option 38 - Time Offset (Optional)
    3. Sub Option 32 - TFTP Server Addresses
    4. Sub Option 33- Configuration File Name
    5. Sub Option 34 - SYSLOG Server Addresses

- A Rapid Commit option indicating that the vCM is willing to perform a 2-message DHCPv6 message exchange with the server.

The vCM MUST use the following values for retransmission of the Solicit message (see [RFC 3315] for details):

- IRT (Initial Retransmission Time) = SOL_TIMEOUT
- MRT (Maximum Retransmission Time) = SOL_MAX_RT
- MRC (Maximum Retransmission Count) = 4
- MRD (Maximum Retransmission Duration) = 0

9.1.5.2.5 DHCPv6 Renew Fields Used by the vCM

During the DHCPv6 renew operation, it is possible that the vCM will receive updated fields in the DHCPv6 Reply message.

If the vCM IPv6 Management Address (IA_NA option) is different in the DHCP Reply than the current value used by the vCM, the vCM MUST do one of the following :

- Change to using the new IPv6 Management Address without reinitializing the vCM, or
- Reinitialize vCM

During the DHCP renew process, if the following values, TFTP configuration file name (Vendor-Specific Option), the Syslog servers (Vendor-Specific Option) or the Reconfigure Accept option are different in the DHCP Reply than the current values used by the vCM, the vCM MUST ignore the new fields.

The vCM SHOULD NOT support the Time Protocol Servers option in the DHCP Reply since ToD is maintained by the DPoE System.

9.1.5.2.6 IP Provisioning Mode Override

The DPoE System does not require support for the IP Provisioning Mode Override feature since the vCM exists virtually on the DPoE System and the IP Provisioning Mode is part of the DPoE Server configuration.

9.1.5.2.7 Use of T1 and T2 Timers

The vCM MUST comply with the DHCP T1/T2 requirements defined in [MULPIv3.0], for DHCPv6.

9.1.5.2.8 DPoE System DHCPv6 Relay Agent Requirements

The DPoE System MUST send the following DHCPv6 Options when acting as a DHCPv6 Relay Agent, in any Relay- Forward messages used to forward messages from the vCM (DPoE System) to the DHCPv6 Server:

- Interface-ID option [RFC 3315];
- CMTS DOCSIS Version Number option, containing the value "3.0", [CANN-DHCP-Reg];
- DPoE System version number option containing the value "2.0" [CANN-DHCP-Reg];
- CM MAC address option, [CANN-DHCP-Reg]. The DPoE System MUST set value of this option to be the ONU MAC address.
- Remote-ID option, [RFC 4649].

The DPoE System MUST set the Remote-ID option to the EPON MAC address of the D-ONU for the vCM generating the DHCPDISCOVER sent in the CL_Option_Device_ID sub-option field, as defined in [CANN-DHCP-Reg].

9.1.5.2.9 Prefix Stability at the DPoE System

The DPoE System MUST support the prefix stability requirements as specified for a CMTS in [MULPIv3.0].

9.1.5.2.10 DPoE DHCPv6 Fields and Options

9.1.5.2.10.1 DHCP Solicit/Request messages

Table 6 - DHCPv6 Solicit/Request Options

Field Name	Option	Sub-Option	Value(s)	Size
rapid_commit	14	-	no associated value, length zero	-
reconfigure_accept	20	-	no associated value, length zero	-
vendor_class	16	4491	"docsis3.0"	string
option_request	6	-	vendor-specific information (17)	variable
vendor-specific information	17	4491.35	tlv5 (d-onu capabilities)	variable
	17	4491.36	device_id (d-onu mac address)	48 bits
	17	4491.1	- option_request option 32 (tftp_server_addresses) - option 33 (config_file_name) - option 37 (syslog_server_addresses)	variable
client-id	1	-	- duid_type ("00 03" link layer address) - htype ("00 01" ethernet) - link_layer_address (48 bit D-ONU MAC)	16 bits 16 bits 48 bits
ia_na	3	-	- iaid – least significant 32 bits of D-ONU MAC address. - t1 – in seconds, time before contacting server where IA_NA addresses were obtained to extend lifetime. - t2 – in seconds, time before contacting any server to extend lifetime of IA_NA addresses.	32 bits 32 bits 32 bits

9.1.5.2.10.2 DHCP Advertise/Confirm messages

Table 7 - DHCPv6 Advertise/Confirm Fields

Field Name	Option	Sub-Option	Value(s)	Size
ia_na	3	-	- iaid - least significant 32 bits of D-ONU MAC address. - t1 – in seconds, time before contacting server where IA_NA addresses were obtained to extend lifetime. - t2 – in seconds, time before contacting any server to extend lifetime of IA_NA addresses.	32 bits 32 bits 32 bits

Field Name	Option	Sub-Option	Value(s)	Size
	3	5	ia_address (IPv6 address, preferred lifetime, valid lifetime)	192 bits
client-id	1	-	- duid_type (“00 03” link layer address) - htype (“00 01” ethernet) - link_layer_address (D-ONU MAC)	16 bits 16 bits 48 bits
server_identifier	2	-	- duid_type (“00 01” link layer address plus time) - htype (“00 01” ethernet) - time (date, time and zone) - link_layer_address (D-ONU MAC)	16 bits 16 bits 32 bits 48 bits
vendor-specific information	17	4491.32	tftp_server_addresses	variable
	17	4491.33	config_file_name	variable
	17	4491.37	syslog_server_addresses	variable

9.1.5.3 Dual-stack Provisioning Mode (DPM)

In Dual-stack Provisioning Mode, the vCM attempts to acquire both IPv6 and IPv4 addresses and parameters through DHCPv6 and DHCPv4. For the acquisition of the CM configuration file, the vCM prioritizes the use of the IPv6 address over the IPv4 address. If the vCM cannot obtain an IPv6 address, or if it cannot download a configuration file using IPv6, it tries downloading it using IPv4. In this mode, the vCM makes both the IPv4 and the IPv6 addresses, if successfully acquired, available for management. Figure 17 shows the process flow for DPM.

When the vCM is configured for DPM, it's DHCPv4 and DHCPv6 clients operate independently. For example, the lease times for the IPv4 and IPv6 addresses may be different, and the DHCP clients need not attempt to extend the leases on the IP addresses simultaneously.

If the vCM is configured to operate in Dual-stack mode, the vCM MUST establish IPv6 network connectivity as specified in Section 0. The vCM MUST also establish IPv4 network connectivity as specified in Section 9.1.5.1. The vCM MAY establish IPv4 network connectivity in parallel or after it has successfully obtained an IPv6 address.

The vCM MUST attempt to download a CM configuration file with IPv6 first. If the vCM fails to acquire an IPv6 address, the vCM MUST use IPv4 TFTP for the download of the CM configuration file and log the event. If the vCM successfully acquires an IPv6 address but fails to download the CM configuration file using IPv6 TFTP, the vCM MUST log the event and attempt downloading the CM configuration file using IPv4 TFTP. If the vCM fails to download the CM configuration file using IPv4 TFTP, the vCM MUST log the event and reboot the D-ONU.”

9.1.5.4 Establish Time of Day

The DPoE System MUST supply a time reference for each vCM based on the already established DPoE System time. Each vCM in the DPoE System MUST use this time reference. for a number of purposes, including time stamping in the collected log files. The use cases for time references are vendor-specific and outside the scope of this specification.

9.1.5.5 Transfer of Operational Parameters

The vCM MUST obtain the CM configuration file for the applicable D-ONU as specified in [MULPIv3.0].

As specified in [DPoE-OSSIv2.0], the DPoE System MUST maintain appropriate DOCSIS state for each vCM known to the DPoE System. This is the CMTS view of the CM (docsIf3CmtsCmRegStatusValue of the DOCS-IF3-MIB).

- When the DPoE System generates a TFTP request to obtain the CM configuration file, the DPoE System MUST transition the vCM to the "startConfigFileDownload" state.
- Upon successful TFTP of the CM configuration file for the vCM, the DPoE System MUST transition the vCM to the "configFileDownloadComplete" state.
- If the TFTP of the CM configuration file fails, the DPoE System MUST reset the D-ONU and transition the vCM to the "other" state.

As specified in [DPoE-OSSIv2.0], the DPoE System MUST maintain appropriate DOCSIS state on behalf of the vCM. This is the CM view of its own, internal state (docsIf3CmStatusValue of the DOCS-IF3-MIB).

- Upon successful TFTP of the CM configuration file for the vCM, the DPoE System MUST transition the vCM to the "configFileDownloadComplete" state.
- If TFTP of the CM configuration file fails, the DPoE System MUST reset the D-ONU and transition the vCM to the "other" state.

9.1.5.6 CM Configuration File Processing

After downloading the CM configuration file, and prior to commencing the OAM provisioning process, the DPoE System (or vCM) performs several processing steps with the CM configuration file on behalf of D-ONU. The DPoE System MUST support parsing and processing of CM configuration files.

The DPoE System MUST support the TLV encodings specified in Annex C of this document. The DPoE System MUST perform TLV validation according to Annex C in [MULPIv3.0]. Examples of such validation include TLV range checking, parameter applicability, and parameter interdependency checks.

The DPoE System performs operations to verify the validity of a CM configuration file and MUST reject a CM configuration file that is invalid. An invalid CM configuration file has any of the following characteristics:

- Lacks one or more mandatory items, as defined in this specification and [MULPIv3.0].
- Has one or more SNMP MIB Object encodings (TLV 11) that cannot be processed and cause rejection of the file.
- Contains a SNMPv3 Access View Configuration encoding (TLV 54) that causes rejection of the file as defined in [MULPIv3.0].
- Contains specifications for a number of SFs or ASFs that cannot be supported due to the number of EPON Links (LLIDs) registered by the D-ONU.

The DPoE System MAY reject a CM configuration file that has an invalid CM MIC, CMTS MIC or Extended CMTS MIC as defined in [MULPIv3.0].

If the CM configuration file validation fails, the DPoE System MUST reset the D-ONU.

9.1.5.7 Post-Registration Failures to Renew IP Address

The vCM MUST support the Post-Registration Failures and IP Address Renew requirements for either IPv4 or IPv6 as specified in [MULPIv3.0] with no exceptions.

9.1.6 Registration with the DPoE System

Once the CM configuration file is validated, the DPoE System performs registration. The DOCSIS-specified Registration process of Registration Request, Response, and Acknowledgement between the DPoE System and vCM is internal processing by the DPoE System, and thus is not mandated or specified by this document. Figure 21 shows the DPoE System Registration process.

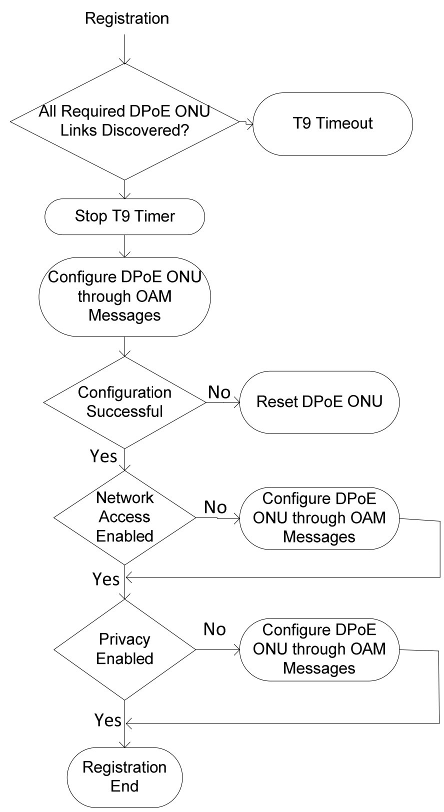

Figure 21 - Registration of D-ONU in DPoE System

9.1.6.1 DPoE System vCM Requirements

The DPoE System MUST transition the state of the vCM (docsIf3CmStatusValue) to "operational" state upon completion of successful registration. Successful registration is defined as successful completion of processing of the CM configuration file by the DPoE System and the successful configuration of the D-ONU using the DPoE OAM messages.

The DPoE System MUST NOT transition the state of the vCM (docsIf3CmStatusValue) to the "operational" state upon completion of unsuccessful registration. Unsuccessful registration is defined as the failed processing of the CM configuration file by the DPoE System, or the unsuccessful configuration of the D-ONU using the DPoE OAM messages.

If the CM configuration file specifies that network access is disabled, the DPoE System MUST configure the D-ONU with the parameters specified in the CM configuration file, and block all frame forwarding from S interfaces on the D-ONU. The DPoE System MUST also transition the vCM state (docsIf3CmStatusValue) to "forwardingDisabled".

9.1.6.2 DPoE System Requirements

Upon the successful validation of the CM configuration file, the DPoE System MUST transition the vCM state (docsIf3CmtsCmRegStatusValue) to "startRegistration". During registration, the vCM MUST configure both itself and the D-ONU based on the TLVs specified in the CM configuration file. The DPoE System MUST configure the D-ONU using the appropriate OAM messages.

If there are any failures during the configuration of the vCM or D-ONU, the DPoE System MUST reset the D-ONU and transition the DPoE System view of the vCM (docsIf3CmtsCmRegStatusValue) to the "other" state.

During the configuration of the D-ONU, the DPoE System MUST discover all of the required D-ONU Links (LLIDs) during the T9 timeout period. If the T9 timer expires, the DPoE System MUST reset the D-ONU and transition the vCM (docsIf3CmtsCmRegStatusValue) to the "other" state.

If the CM configuration file specifies that network access is disabled, the DPoE System MUST configure both the DPoE System and D-ONU with the parameters specified in the CM configuration file, and block all frame forwarding from S interfaces on the D-ONU. The DPoE System MUST also transition the vCM state (docsIf3CmtsCmRegStatusValue) to "forwardingDisabled".

If the CM configuration file specifies that privacy is disabled, the DPoE System MUST disable encryption on all of the LLIDs of the D-ONU being used to carry ASFs/SFs.

Upon successful completion of registration processing, the DPoE System MUST transition the vCM state (docsIf3CmtsCmRegStatusValue) to "operational" for the D-ONU. The DPoE System MUST NOT allow the transmission of data until successful registration is complete.

9.1.7 Service IDs During vCM Initialization

The DPoE System MUST reserve a primary SID and assign it to a vCM when the vCM is instantiated by the DPoE System. The DPoE System MUST reserve additional SIDs (for secondary upstream SFs and upstream ASFs) and assigned after TFTP, prior to OAM provisioning.

9.2 Periodic Maintenance

In the DPoE Network, periodic maintenance of the underlying EPON transport layer is limited to continuous ranging (see 77.2.1.1 in [802.3av] for 10G-EPON and 64.2.1.1 in [802.3] for 1G-EPON definitions), based on the GATE and REPORT MPCPDUs, exchanged between the DPoE System and connected D-ONUs, and carrying a timestamp field. This particular field is used to calculate the RTT for the given D-ONU in a continuous manner, allowing the DPoE System to dynamically adjust to any changes in the path delay without the need to bring the D-ONU down for re-registration. In this way, the EPON system guarantees timely and accurate information on the D-ONU specific path delay without affecting the carried services.

Additionally, each DPoE System has the ability to monitor continuously the status of the LLIDs associated with the given D-ONU, keeping track of the responses generated by each LLID. A keep-alive mechanism is implemented in EPON, where the DPoE System maintains a watchdog for each registered LLID. Upon expiration of the said watchdog, the associated LLID is considered inactive (for whatever reason) and the given logical link is deregistered. The DPoE System MUST consider as inactive a D-ONU with at least one LLID that was flagged as inactive, affecting in this way all the LLIDs associated with the given D-ONU.

In the EPON specific keep-alive mechanism, each LLID periodically announces its activity to the DPoE System by sending a REPORT MPCPDU in the granted bandwidth slot. The REPORT MPCPDU may be solicited by the DPoE System (hence every time D-ONU is requested to send the REPORT MPCPDU with the current snapshot of its queues, this is considered by the DPoE System as confirmation that the given LLID is active) or unsolicited (in which case the D-ONU automatically includes the REPORT MPCPDU in the assigned transmission slot). Effectively, the DPoE System is notified on the LLID status and may track LLID activity for all registered D-ONUs in the system. Decision on whether to deregister a complete D-ONU (or not) if one of the logical links associated with this ONU is found to be inactive, is implementation-specific and out of the scope of the DPoE specifications.

Keep-alive mechanism is referred to in 77.3.6.2 in [802.3av] for 10G-EPON and in 64.3.6.2 in [802.3] for 1G-EPON, but was never formally specified in the EPON standards.

Other DOCSIS-specific maintenance functions, including periodic ranging, are not supported in the DPoE System.

9.3 Fault Detection and Recovery

9.3.1 MAC Layer Error-Handling

This section describes the procedures that are required when an error occurs at the MAC framing level.

The most obvious type of error occurs when the FCS in the MAC frame fails. In such a case, Ethernet-specific handling is performed, per [802.3] definitions. Specifically, a corrupted Ethernet frame (where calculated FCS does not match the received FCS) is always dropped at the MAC layer to prevent error propagation across Ethernet links.

In the upstream channel, there are two possible type of errors, namely:

- Loss of burst synchronization, where the DPoE System receiver fails to synchronize to the incoming data burst synchronization fields, losing the ability to properly delineate individual frames carried in the burst. This type of error is less common in 1 Gbps links, where data rate is lower and the framing structure does not feature a mandatory stream-based FEC. In 10 Gbps links, inability to synchronize to the incoming burst headers, and specifically inability to acquire the Burst Delimiter (see 76.3.2.5.1 in [802.3av], applicable to 10G-EPON only), will cause the DPoE System to lose a complete data burst. Luckily, 10G-EPON system is designed to operate correctly at BER as low as 10^{-3}, which guarantees proper system operation under very unfavorable transmission conditions.
- Burst overlap, which occurs when at least two D-ONUs transmit in such a way that their data bursts overlap (either partially or completely) at the DPoE System receiver. In such a case, the DPoE System may be able to recover part of the initial burst only. The rest of the overlapped data bursts are lost. EPON does not have a

> mechanism to signal burst collision to individual D-ONUs and request their retransmission. EPON relies for retransmission on higher layer protocols (e.g., TCP/IP). Under normal operating conditions, burst overlap is not expected to occur. Burst overlap is expected to occur only during the Discovery Window (see 77.3.3 in [802.3av] for 10G-EPON and 64.3.3 in [802.3] for 1G-EPON).

Additionally, EPON features FEC functionality, as described in [DPoE-PHYv2.0].

9.4 vCM and D-ONU Operational Relationship

Once D-ONU and vCM initialization and registration is complete, it's possible for the state of a vCM on the DPoE System to change as the result of an operator request. Similarly, the D-ONU could experience a change in state as the result of an operator request or loss of power. When one of these related elements, a vCM or D-ONU, experiences a transition from a fully operational state, the DPoE System is responsible for ensuring the associated element experiences a similar transition.

When a vCM is reset, the DPoE System MUST do the following:

- trigger a reset of the associated D-ONU,
- transition docsIf3CmtsCmRegStatusValue to the "other" state for the affected vCM,
- drop packets destined to the vCM IP address,
- NOT generate packets sourced from the vCM IP address.

The D-ONU reboots and restarts the initialization and registration process. The DPoE System MUST allow packets destined to or sourced from the vCM IP addresses when IP connectivity is reestablished during the initialization and registration process.

When a DPoE System detects that all logical links to a D-ONU have timed out, the DPoE System MUST do the following:

- transition docsIf3CmtsCmRegStatusValue to the "other" state for the affected vCM,
- drop packets destined to the associated vCM IP address,
- NOT generate packets sourced from the associated vCM IP address.

9.5 Dynamic D-ONU Configuration Update Mechanism

Operators have the need to change the services already configured and operating on a D-ONU. These changes could include (among others), modification of bandwidth parameters assigned to a single customer, adding a new service to a customer, enabling services on multiple ports where the given service was not available before, deleting an EVPL instance, etc.

The goal of the dynamic configuration update feature is to configure changes or add services without affecting the other existing services which are not being modified. In other words, any changes to the vCM and D-ONU configuration SHOULD NOT cause reboot of these devices or changes in QoS parameters or operational state for any existing and configured services on the DPoE Network.

If the new configuration file has TLVs which are service impacting (i.e., cause a reboot), then the D-ONU will reboot and affect all services. The specification aims to describe the general behaviour around dynamic configuration updates, but does not specify the behaviour for each type of change possible.

9.5.1 High Level Operation

The DPoE System configures the initial set of services on the D-ONU based on the configuration file downloaded by the vCM after the D-ONU goes through the registration process.

Once the operator identifies the need to add/modify/delete services on a D-ONU, they first edit the CM (D-ONU) configuration file on the provisioning system. Using the network management system the operator triggers the vCM, using the 'dpoeVcmDynCfgNow' MIB object, to download the new DOCSIS CM configuration file.

The vCM obtains TFTP server and file name values via a DHCPREQUEST mechanism. The vCM downloads the new configuration file and then compares the running configuration with the newly downloaded configuration file and identifies the differences to the services provisioned on the D-ONU. The vCM first validates the configuration file integrity then verifies the resources available, checking that the requested changes can be applied to the D-ONU under the current conditions. Once the configuration feasibility for the delta configuration is confirmed, the vCM updates the D-ONU configuration, modifying the necessary parameters.

Examples of service-related changes that need to be executed without causing ONU reboot include among others:

Changes in the bandwidth profile parameters associated with the given service, e.g., increasing or decreasing allocated bandwidth;

Removing a service instance, e.g., removal of an EP-LINE instance on the given D-ONU, or in more generic terms, removing or adding an EVC on the given D-ONU;

Modification, adding or removing specific frame-related operations associated with the given SF or ASF, e.g., changing CoS assignment for the given SF, changing TPID translation value, changing encapsulation parameters for the given SF;

Modification of other SF and non-SF related parameters, including among the others – NACO, EVC names, designation of service names;

Please note that the above list is by no means intended to be exhaustive and presents only examples of changes considered to be critical from the operational point of view.

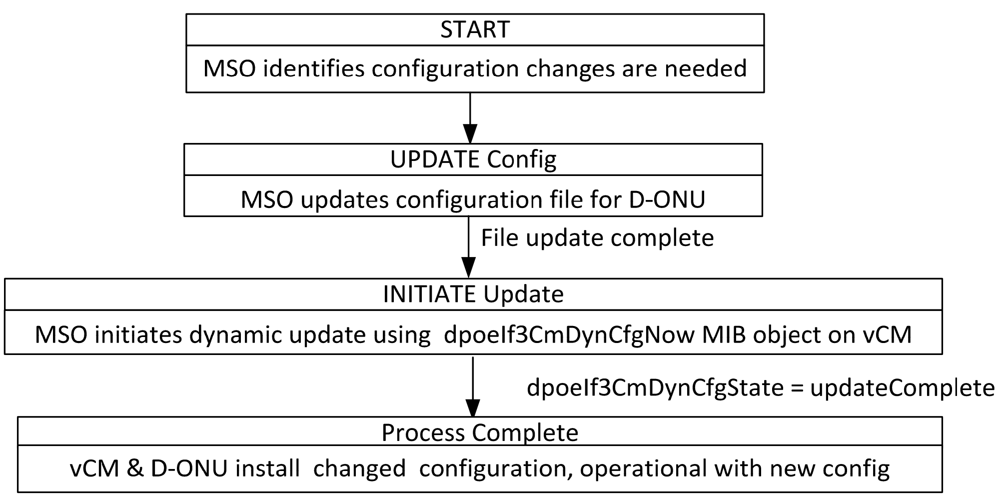

Figure 22 - BackOffice System Operation

9.5.2 Dynamic Config Update Steps

The dynamic configuration update process is divided into the following steps.

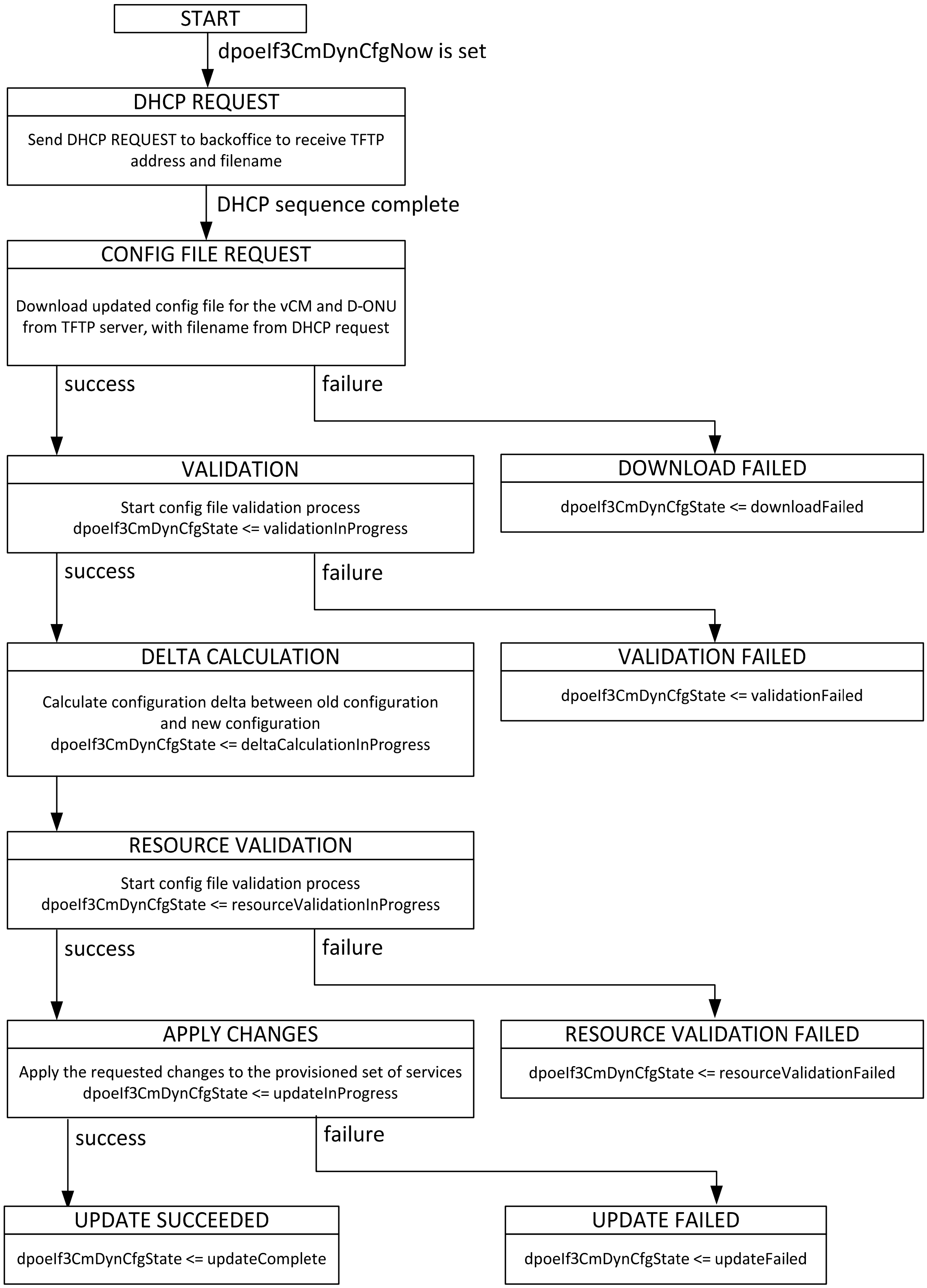

Figure 23 - Operation of the vCM

9.5.2.1 Configuration file download

The vCM MUST initiate the configuration file download process when triggered by the 'dpoeVcmDynCfgNow' object, defined in [DPoE-OSSIv2.0]. The vCM MUST change the 'dpoeVcmDynCfgState' object, defined in [DPoE-OSSIv2.0], to "downloadInProgress". The TFTP file download process followed here by the vCM MUST be the same as during initial boot up as described in Section 9.1.5.5, Transfer of Operational Parameters.

The vCM MUST maintain the current active configuration while processing the new configuration file. At any time, the vCM MUST maintain two configuration storage locations, one for the current active configuration, which is currently loaded and provisioned on the D-ONU and another one for the newly downloaded configuration, which MUST be downloaded when the 'dpoeVcmDynCfgNow' object is triggered. The vCM MUST carry out the comparison operations between the current active configuration and the newly downloaded configuration files. This guarantees that the vCM can continue to operate with the current active configuration file if errors are detected in the newly downloaded configuration file.

If the configuration file download process fails, the vCM MUST do the following:

- abort the dynamic configuration update process and keep the current active configuration; i.e., the vCM and the D-ONU stays with existing configuration.
- report the "Dyn Config Failed – Download" event as specified in [DPoE-OSSIv2.0].
- change the value of dpoeVcmDynCfgState to "downloadFailed".

9.5.2.2 Configuration file validation

After the configuration file download completes successfully, the vCM MUST validate the correctness of the configuration file, as described in Section 9.1.5.6, CM Configuration File Processing. The vCM MUST change the 'dpoeVcmDynCfgState' object value to "validationInProgress" at the start of the validation process.

If there are any errors in the DOCSIS CM configuration file, the vCM MUST do the following:

- abort the dynamic configuration update process and keep the current active configuration; i.e., the vCM and the D-ONU stays with existing configuration,
- report event "Dyn Config Failed – Validation" as specified in [DPoE-OSSIv2.0],
- change the value of 'dpoeVcmDynCfgState' object to "validationFailed".

9.5.2.3 Configuration Delta and Resource Validation

The vCM MUST calculate the differences between the currently active and newly downloaded configuration files, identifying the necessary changes to the D-ONU configuration in order to support the new / modified services as detailed in the new received configuration file. This difference in configuration is used to drive the D-ONU update process.

Prior to introducing any changes to the D-ONU configuration based on the new configuration file, the DPoE System SHOULD validate the availability of the requested resources. The DPoE system makes sure that the DPoE System and the D-ONU have the needed resources to provision and support those services; it checks the DPoE System resources and capabilities and also ensures that the D-ONU capabilities can support the new/modified services. The vCM MUST change the ‘dpoeVcmDynCfgState’ object value to "resourceValidationInProgress" at the start of the configuration delta calculation and resource validation process.

If the process of the resource validation returns any errors, the vCM MUST do the following:

- abort the dynamic configuration update process and keep the current active configuration, without performing any configuration changes on the D-ONU.
- report the "Dyn Config Failed – Resource Validation" event as specified in [DPoE-OSSIv2.0].
- change the value of ‘dpoeVcmDynCfgState’ to "resourceValidationFailed".

If the resource validation is successful, the DPoE System MUST start the process of applying changes to the D-ONU.

9.5.2.4 Applying needed changes

Once the DPoE System has completed validating the configuration file and the resources needed, it uses the new validated configuration file to setup the services for the D-ONU. The vCM MUST change the ‘dpoeVcmDynCfgState’ object value to "updateInProgress" before changes are applied to the D-ONU and DPoE System. The set of configuration changes needed MUST be converted into a sequence of eOAM control message as defined in [DPoE-OAMv2.0], sent to the D-ONU to add/modify/delete specific service instances.

The D-ONU SHOULD apply the requested changes to the provisioned set of services without disrupting or affecting any other existing and operating services. Once the provisioning is successful, the vCM discards the initial configuration file and stores the new configuration file in its place.

If the services are unable to be provisioned during the eOAM process, the vCM MUST NOT revert to the old configuration. The D-ONU MUST stay with the new configuration, even if the resulting service configuration is invalid or not fully functional.

During the sequence of service provisioning messages, if there are any errors the DPoE SYSTEM SHOULD stop making any more changes to the vCM/D-ONU after the first failed provisioned command.

If an error is encountered during the application of configuration changes, the vCM MUST report the "Dyn Config Failed – Update" event as specified in [DPoE-OSSIv2.0] and change the state of dpoeVcmDynCfgState to "updateFailed".

If the update operation is successful, the vCM MUST report the "Dyn Config Complete" event as specified in [DPoE-OSSIv2.0] and change the state of dpoeVcmDynCfgState to "updateComplete".

9.5.3 Operational State

The vCM MUST initiate dynamic changes only when in the "Operational" or "Forwarding Disabled" States and the dpoeVcmDynCfgState is notStarted or updateComplete.

The DPoE System MUST maintain appropriate state (docsIf3CmtsCmRegStatusValue of the DOCS-IF3-MIB) for each vCM known to the DPoE System, through the dynamic configuration update process. The dynamic configuration process does not affect the registration state of vCMs. If the dynamic configuration process fails, the DPoE System SHOULD NOT change the registration status of the vCM due to the failure.

If the vCM registration status changes during the dynamic configuration process to a value other than "Operational" or "Forwarding Disabled", the vCM MUST do the following:

- abort the dynamic configuration update process,
- change the state of dpoeVcmDynCfgState to "notStarted".

During the process of dynamic configuration updates, the vCM/D-ONU SHOULD preserve the packet counters and statistics of various services, and related management identifiers which are being changed.

10 DOWNLOADING CABLE MODEM OPERATING SOFTWARE

The protocol requirements are included in [DPoE-OAMv2.0], with further requirements included in [MULPIv3.0], [DPoE-OSSIv2.0], and [DPoE-SECv2.0].

The internal structure of the software package, delineation process, etc., are vendor-specific and outside the scope of the DPoE specifications.

Annex A Well-Known Addresses (Normative)

In the DPoE specifications, the list of well-known addresses is composed of definitions included in section A.1.1 of [MULPIv3.0], with the additional extensions as mentioned below.

- Multicast MAC Control address in EPON, used as MAC DA for MPCPDUs: 0x01-80-C2-00-00-01
- Slow Protocols Multicast address, used as MAC DA in DPoE OAMPDUs: 0x01-80-C2-00-00-02
- Specific ranges of LLIDs are also well-known, namely:
  - Broadcast LLID in 1G-EPON: 0x7FFF
  - Broadcast LLID in 10G-EPON: 0x7FFE
  - Reserved range of LLIDs in 10G-EPON: 0x7F00 – 0x7FFD

NOTE: There is no corresponding range of LLIDs reserved in 1G-EPON

Annex B Parameters and Constants (Normative)

All EPON-specific parameters and constants are defined in the respective EPON standards; i.e., [802.3ah] Clause 64 and Clause 65 for 1G-EPON, and [802.3av] Clause 77 for 10G-EPON. Their reproduction in this document is considered unnecessary.

The DPoE System SHOULD support the following parameters from Table B-1 of DOCSIS [MULPIv3.0], Annex B, as appropriate:

- TFTP Backoff Start
- TFTP Backoff End
- TFTP Request Retries
- TFTP Download Retries
- TFTP Wait
- T9 Timer

Annex C Common TLV Encodings (Normative)

The DPoE System MUST parse and apply the provisioning parameters defined by the TLVs contained within the CM provisioning files as part of the CM registration process and the dynamic configuration update process. Note that other TLVs are defined in Annex C of [MULPIv3.0], but those are not intended to be present in the configuration file and, therefore, are not covered in this section.

Sections C.1 through C.9 introduce new TLVs defined in the context of DPoE Specifications which are required to be supported by the DPoE System.

Sections C.11 through C.20 contain tables which enumerate whether support is required for a particular TLV by the DPoE System in this version of the DPoE specifications. Four columns are provided in the table; they are:

- TLV – TLV Number as defined in Annex C of [MULPIv3.0],
- Name – Descriptive Name associated with the TLV,
- Support Needed – indicating whether the support for the given TLV is required or not,
- Comments – Additional information regarding limitations for the support of the TLV or an explanation as to why the TLV need not be supported.

When the DPoE System encounters a TLV that is not supported, then the DPoE System MUST ignore the TLV and allow the D-ONU to register normally, following the DPoE Network registration process.

C.1 [802.1ad] S-Tag and C-Tag Frame Classification Encodings

This field defines the parameters associated with [802.1ad] S-Tag and C-Tag frame classification.

Type	Length	Value
[22/23/60].14	n	

C.1.1 [802.1ad] S-TPID

The values of the field specify the matching parameters for the [802.1ad] S-TPID field.

If this parameter is not specified for an entry, then the DPoE System MUST use a default value of 0x88a8 for the [802.1ad] S-TPID field. If this parameter is not specified for a Classifier entry, then the DPoE System MUST configure via eOAMPDU the D-ONU to use a default value of 0x88a8 for the [802.1ad] S-TPID field. Other values of [802.1ad] S-TPID may be provisioned, as required.

The DPoE System MUST NOT match Ethernet frames without the [802.1ad] S-TPID to this entry. The D-ONU MUST NOT match Ethernet frames without the [802.1ad] S-TPID to this entry.

The S-VLAN TPID classifier is not intended to be used by itself. The DPoE System MUST reject a CM configuration file in which a S-VLAN TPID classifier is provisioned without a corresponding S-VLAN VID classifier.

Type	Length	Value
[22/23/60].14.1	2	stpid (16 bits)

C.1.2 [802.1ad] S-VID

The values of the field specify the matching parameters for the [802.1ad] S-VID field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] S-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] S-Tag to this entry.

Type	Length	Value
[22/23/60].14.2	2	This TLV comprises an encoded bit map, featuring one field: svid, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	4 bits
svid	Encodes the S-VID field	12 bits

C.1.3 [802.1ad] S-PCP

The values of the field specify the matching parameters for the [802.1ad] S-PCP field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] S-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] S-Tag to this entry.

Type	Length	Value
[22/23/60].14.3	1	This TLV comprises an encoded bit map, featuring one field: spcp, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	5 bits
spcp	Encodes the S-PCP field	3 bits

C.1.4 [802.1ad] S-DEI

The values of the field specify the matching parameters for the [802.1ad]S-DEI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] S-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] S-Tag to this entry.

Type	Length	Value
[22/23/60].14.4	1	This TLV comprises an encoded bit map, featuring one field: sdei, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	7 bits
sdei	Encodes the S-DEI field	1 bit

C.1.5 [802.1ad] C-TPID

The values of the field specify the matching parameters for the [802.1ad] C-TPID field.

If this parameter is not specified for an entry, then the DPoE System MUST use a default value of 0x8100 for the [802.1ad] C-TPID field. If this parameter is not specified for an entry, then the D-ONU MUST use a default value of 0x8100 for the [802.1ad] C-TPID field. Other values of [802.1ad] C-TPID may be provisioned, as required.

The DPoE System MUST NOT match Ethernet frames without the [802.1ad] C-TPID to this entry. The D-ONU MUST NOT match Ethernet frames without the [802.1ad] C-TPID to this entry.

The C-VLAN TPID classifier is not intended to be used by itself. The DPoE System MUST reject a CM configuration file in which a C-VLAN TPID classifier is provisioned without a corresponding C-VLAN VID classifier.

Type	Length	Value
[22/23/60].14.5	2	ctpid (16 bits)

C.1.6 [802.1ad] C-VID

The values of the field specify the matching parameters for the [802.1ad] C-VID field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] C-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] C-Tag to this entry.

Note that for DPoEv1.0 compliant devices the [22/23].11.2 TLV is used for configuration of the C-VID. For DPoEv2.0 compliant devices the [22/23].11.2 TLV is not used for configuration of the C-VID, instead [22/23/60].14.6 as defined in this subsection is used.

Type	Length	Value
[22/23/60].14.6	2	This TLV comprises an encoded bit map, featuring one field: cvid, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	4 bits
cvid	Encodes the C-VID field	12 bits

C.1.7 [802.1ad] C-PCP

The values of the field specify the matching parameters for the [802.1ad] C-PCP field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] C-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] C-Tag to this entry.

Note that for DPoEv1.0 compliant devices the [22/23].11.1 TLV is used for configuration of the C-PCP. For DPoEv2.0 compliant devices the [22/23].11.1 TLV is not used for configuration of the C-PCP, instead [22/23/60].14.7 as defined in this subsection is used.

Type	Length	Value
[22/23/60].14.7	1	This TLV comprises an encoded bit map, featuring one field: cpcp, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	5 bits
cpcp	Encodes the C-PCP field	3 bits

C.1.8 [802.1ad] C-CFI

The values of the field specify the matching parameters for the [802.1ah] C-CFI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] C-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] C-Tag to this entry.

Type	Length	Value
[22/23/60].14.8	1	This TLV comprises an encoded bit map, featuring one field: ccfi, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	7 bits
ccfi	Encodes the CFI field in the C-Tag TCI field.	1 bit

C.1.9 [802.1ad] S-TCI

The values of the field specify the matching parameters for the [802.1ad] S-TCI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] S-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] S-Tag to this entry.

The DPoE System MUST reject any CM configuration file with S-TCI TLV present when S-PCP TLV, S-DEI TLV or S-VID TLV is present within the same classifier.

Type	Length	Value
[22/23/60].14.9	2	stci (16 bits)

C.1.10 [802.1ad] C-TCI

The values of the field specify the matching parameters for the [802.1ad] C-TCI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] C-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] C-Tag to this entry.

The DPoE System MUST reject any CM configuration file with C-TCI TLV present when C-PCP TLV, C-CFI TLV or C-VID TLV is present within the same classifier.

Type	Length	Value
[22/23/60].14.10	2	ctci (16 bits)

C.2 [802.1ah] Packet Classification Encodings

This field defines the parameters associated with [802.1ah] packet classification, including the I-TAG, B-TAG. and B-DA/B-SA.

Type	Length	Value
[22/23/60].15	n	

C.2.1 [802.1ah] I-TPID

The values of the field specify the matching parameters for the [802.1ah] I-TPID field.

If this parameter is not specified for an entry, then the DPoE System MUST use a default value of 0x88e7 for the [802.1ah] I-TPID field. If this parameter is not specified for an entry, then the D-ONU MUST use a default value of 0x88e7 for the [802.1ah] I-TPID field. Other values of [802.1ah] I-TPID may be provisioned, as required.

The DPoE System MUST NOT match Ethernet frames without the [802.1ah] I-TAG tag to this entry. The D-ONU MUST NOT match Ethernet frames without the [802.1ah] I-TAG tag to this entry.

The I-TAG I-TPID classifier is not intended to be used by itself. The DPoE System MUST reject a CM configuration file in which a I-TAG I-TPID classifier is provisioned without a corresponding I-TAG I-SID classifier.

Type	Length	Value
[22/23/60].15.1	2	itpid (16 bits)

C.2.2 [802.1ah] I-SID

The values of the field specify the matching parameters for the [802.1ah] I-SID field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet packets without the [802.1ah] I-Tag tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet packets without the [802.1ah] I-Tag tag to this entry.

Type	Length	Value
[22/23/60].15.2	3	isid (24 bits)

C.2.3 [802.1ah] I-TCI

The values of the field specify the matching parameters for the [802.1ah] I-TCI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry.

The DPoE System MUST reject any CM configuration file with I-TCI TLV present when I-SID TLV, or I-PCP TLV or I-DEI TLV or I-UCA TLV is present within the same classifier.

Type	Length	Value
[22/23/60].15.3	4	itci (32 bits)

C.2.4 [802.1ah] I-PCP

The values of the field specify the matching parameters for the [802.1ah] I-PCP field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry.

Type	Length	Value
[22/23/60].15.4	1	This TLV comprises an encoded bit map, featuring one field: ipcp, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	5 bits
ipcp	Encodes the I-PCP field	3 bits

C.2.5 [802.1ah] I-DEI

The values of the field specify the matching parameters for the [802.1ah] I-DEI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry.

Type	Length	Value
[22/23/60].15.5	1	This TLV comprises an encoded bit map, featuring one field: idei, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	7 bits
idei	Encodes the I-DEI field	1 bit

C.2.6 [802.1ah] I-UCA

The values of the field specify the matching parameters for the [802.1ah] I-UCA field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet packets without the [802.1ah] I-Tag to this entry.

Type	Length	Value
[22/23/60].15.6	1	This TLV comprises an encoded bit map, featuring one field: iuca, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	7 bits
iuca	Encodes the I-UCA field	1 bit

C.2.7 [802.1ah] B-TPID

The values of the field specify the matching parameters for the [802.1ah] B-TPID field.

If this parameter is not specified for an entry, then the DPoE System MUST use a default value of 0x88a8 for the [802.1ah] B-TPID field. If this parameter is not specified for an entry, then the

D-ONU MUST use a default value of 0x88a8 for the [802.1ah] B-TPID field. Other values of [802.1ah] B-TPID may be provisioned, as required.

The DPoE System MUST NOT match Ethernet frames without the [802.1ah] B-Tag to this entry. The D-ONU MUST NOT match Ethernet frames without the [802.1ah] B-Tag to this entry.

Type	Length	Value
[22/23/60].15.7	2	btpid (16 bits)

C.2.8 [802.1ah] B-TCI

The values of the field specify the matching parameters for the [802.1ah] B-TCI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet packets without the [802.1ah] B-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet packets without the [802.1ah] B-Tag to this entry.

The DPoE System MUST reject any CM configuration file with B-TCI TLV present when B-PCP TLV, B-DEI TLV or B-VID TLV is present within the same classifier.

Type	Length	Value
[22/23/60].15.8	2	btci (32 bits)

C.2.9 [802.1ah] B-PCP

The values of the field specify the matching parameters for the [802.1ah] B-PCP field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] B-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] B-Tag to this entry.

Type	Length	Value
[22/23/60].15.9	1	This TLV comprises an encoded bit map, featuring one field: bpcp, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	5 bits
bpcp	Encodes the B-PCP field.	3 bits

C.2.10 [802.1ah] B-DEI

The values of the field specify the matching parameters for the [802.1ah] B-DEI field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] B-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] B-Tag to this entry.

Type	Length	Value
[22/23/60].15.10	1	This TLV comprises an encoded bit map, featuring one field: bdei, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	7 bits
bdei	Encodes the B-DEI field	1 bit

C.2.11 [802.1ah] B-VID

The values of the field specify the matching parameters for the [802.1ah] Backbone VLAN ID (B-VID) field.

If this parameter is specified for an entry, the DPoE System MUST NOT match Ethernet frames without [802.1ad] B-Tag to this entry. If this parameter is specified for an entry, the D-ONU MUST NOT match Ethernet frames without the [802.1ad] B-Tag to this entry.

Type	Length	Value
[22/23/60].15.11	2	This TLV comprises an encoded bit map, featuring one field: B-VID, as shown in the table below

Field name	Description	Size
Reserved	Reserved, ignored on reception	4 bits
bvid	Encodes the B-VID field	12 bits

C.2.12 [802.1ah] B-DA

The value of the field specifies the matching value for the Backbone MAC Destination Address (B-DA). If this parameter is omitted, then comparison of the B-DA for this entry is irrelevant.

Type	Length	Value
[22/23/60].15.12	6	bda (48 bits)

C.2.13 [802.1ah] B-SA

The value of the field specifies the matching value for the Backbone MAC Source Address (B-SA). If this parameter is omitted, then comparison of the B-SA for this entry is irrelevant.

Type	Length	Value
[22/23/60].15.13	6	bsa (48 bits)

C.3 MPLS Classification Encodings

This field defines the parameters associated with MPLS packet classification. This field matches the outermost MPLS label [RFC 3032] on the incoming packets.

Type	Length	Value
[22/23/60].17	n	

C.3.1 MPLS TC bits

The value of this field specifies the matching parameters for the MPLS Traffic Class field [RFC 5462].

Type	Length	Value
[22/23/60].17.1	1	MPLS Traffic Class (3 least significant bits)

Field name	Description	Size
Reserved	Reserved, ignored on reception	5 bits
mpls_tc	Encodes the MPLS Traffic Class field	3 bits

C.3.2 MPLS Label

The value of this field specifies the matching parameters for the MPLS Label field.

Type	Length	Value
[22/23/60].17.2	3	MPLS Label (20 least significant bits)

Field name	Description	Size
Reserved	Reserved, ignored on reception	4 bits
mpls_label	Encodes the MPLS Label field	20 bits

C.4 Ethernet LLC Packet Classification Encodings

This field defines the parameters associated with Ethernet LLC packet classification. [MULPIv3.0].

Type	Length	Value
[22/23/60].10	n	

C.4.1 Slow Protocol Subtype

The value of this field specifies the matching Slow Protocol subtype [802.3]. This classifier is intended to be used together with the Ethertype Classifier ([22/23/60].10.3) [MULPIv3.0]. Refer to [DPoE-MEFv2.0] for further details on the Slow Protocol Subtype.

Type	Length	Value
[22/23/60].10.4	2	SlowProtocol subtype (16 bits)

C.5 Metro Ethernet Service Profile (MESP)

This TLV defines the structure and format of individual parameters comprising the Metro Ethernet Service Profile (MESP), as defined in [DPoE-MEFv2.0]. [DPoE-MEFv2.0] also defines MESP requirements for SF and ASF on D-ONU and DPoE System. The Bandwidth Profile configuration rules and limitations are defined in [MEF 10.2], 7.11.1 Standard Bandwidth Profile Parameters and Algorithm

Type	Length	Value
72	n	

The DPoE System MUST reject any CM configuration file without exactly one instance of sub-TLVs 72.1 and 72.2 within the MESP TLV (72).

C.5.1 MESP Reference

This TLV contains the MESP Reference, as defined in Section C.5.2.7.

Type	Length	Value
72.1	1	1-255

The supported range is 1 – 255 and the value 0 is reserved.

C.5.2 MESP Bandwidth Profile (MESP-BP)

This TLV defines the bandwidth profile for the given instance of MESP. For the detailed description and device behavior when implementing the following sub-TLVs, please refer to [MEF 10.2].

Type	Length	Value
72.2	n	

C.5.2.1 *MESP-BP Committed Information Rate*

The field is used to carry the value of the Committed Information Rate (CIR) [MEF 10.2] associated with the given MESP.

The CIR is expressed in the units of kbps. If not specified, the default value is zero, meaning no CIR.

Type	Length	Value
72.2.1	4	CIR

C.5.2.2 *MESP-BP Committed Burst Size*

The field is used to carry the value of the Committed Burst Size (CBS) [MEF 10.2] associated with the given MESP.

The CBS is expressed in the units of Kbytes. If not specified, the default value is zero, meaning there is no CBS for that MESP.

Type	Length	Value
72.2.2	4	CBS

C.5.2.3 *MESP-BP Excess Information Rate*

The field is used to carry the value of the Excess Information Rate (EIR) [MEF 10.2] associated with the given MESP.

The EIR is expressed in the units of kbps. If not specified, the default value is zero, meaning no there is no EIR for that MESP.

Type	Length	Value
72.2.3	4	EIR

C.5.2.4 *MESP-BP Excess Burst Size*

The field is used to carry the value of the Excess Burst Size (EBS) [MEF 10.2] associated with the given MESP.

The EBS is expressed in the units of Kbytes. If not specified, the default value is zero, meaning there is no EBS for that MESP.

Type	Length	Value
72.2.4	4	EBS

C.5.2.5 *MESP-BP Coupling Flag*

The field is used to carry the value of the Coupling Flag (CF) [MEF 10.2] associated with the given MESP.

Two values are supported; i.e., 0 when the coupling flag is disabled (default) and 1 when the coupling flag is enabled.

Type	Length	Value
72.2.5	1	0: coupling flag disabled (default) 1: coupling flag enabled 2 – 255: reserved

C.5.2.6 *MESP-BP Color Mode*

The TLV is used to define the Color Mode (CM) [MEF 10.2] associated with the given MESP, indicating whether it is configured or not and what fields are used to extract the color information if the color aware mode is enabled.

Type	Length	Value
72.2.6	n	

The DPoE System MUST reject any CM configuration file without exactly one instance of sub-TLVs 72.2.6.1 and 72.2.6.2 within 72.2.6 and at least one instance of TLV 72.2.6.

C.5.2.6.1 MESP-BP-CM Color Identification Field

This TLV is used to indicate which of the field within the incoming frames is used to retrieve color information.

The supported values are indicated in the following table. There is no default value defined for this TLV.

Type	Length	Value
72.2.6.1	1	0: IPv4 ToS field 1: IPv6 DSCP field 2: PCP in S-Tag 3: PCP in C-Tag 4: PCP in I-Tag 5: PCP in B-Tag 6: DEI in S-Tag 7: CFI in C-Tag 8: DEI in I-Tag 9: DEI in B-Tag 10 - 255: reserved

C.5.2.6.2 MESP-BP-CM Color Identification Field Value

This TLV is used to relay a specific value of the color identification field selected by TLV 72.2.6.1.

Type	Length	Value
72.2.6.2	1	This TLV comprises an encoded bit map, featuring two distinct fields: color, value, reserved, as shown in the table below.

Field name	Description	Size
Value	Encodes the target value of the color identification field identified by TLV 72.2.6.1. The value is stored in the LSB positions of this 6 bit field. The size of this field is equal to: 6 when TLV 72.2.6.1 = 0. In this case, the 'Value' field encodes the Precedence, D, T and R fields from the IPv4 TOS field. The ECN field is not encoded 6 when TLV 72.2.6.1 = 1. In this case, the 'Value' field encodes the IPv6 DSCP field value. The ECN field is not encoded. 3 when TLV 72.2.6.1 = 2. In this case, the 'Value' field encodes the S-PCP field value. 3 when TLV 72.2.6.1 = 3. In this case, the 'Value' field encodes the C-PCP field value. 3 when TLV 72.2.6.1 = 4. In this case, the 'Value' field encodes the I-PCP field value. 3 when TLV 72.2.6.1 = 5. In this case, the 'Value' field encodes the B-PCP field value. 1 when TLV 72.2.6.1 = 6. In this case, the 'Value' field encodes the S-DEI field value. 1 when TLV 72.2.6.1 = 7. In this case, the 'Value' field encodes the C-CFI field value. 1 when TLV 72.2.6.1 = 8. In this case, the 'Value' field encodes the I-DEI field value. 1 when TLV 72.2.6.1 = 9. In this case, the 'Value' field encodes the B-DEI field value.	6 bits
Color	Encodes the color associated with the given color identification field value. The following values are supported: 0b00: green 0b01: yellow 0b10: red 0b11: reserved	2 bits

For example, the TLV value of 0b00001101 identifies that the IPv4 TOS field value of 0b0000110 corresponds to color yellow (0b01).

C.5.2.7 ***MESP-BP Color Marking***

The TLV is used to define the Color Marking (CR) associated with the given MESP, indicating whether it is configured or not and what fields are used to mark the color information if the color marking mode is enabled. The Color Marking can be applied to MEF service in either transport mode or encapsulation mode. For the MEF service in transport mode, the Color Marking will be applied to field in the Provider tag, including S-Tag, I-Tag and B-Tag. For MEF service in encapsulation service, the Color Marking will be applied to the field in Provider tags added during the encapsulation, including S-Tag, I-Tag and B-Tag; i.e., the provisioned Color Marking field in this TLV MUST be part of the provisioned encapsulation Provider tag in the L2VPN TLV of the MEF service.

Type	Length	Value
72.2.7	n	

The DPoE System MUST reject any CM configuration file without exactly one instance of sub-TLVs 72.2.7.1 and 72.2.7.2 within 72.2.7.

C.5.2.7.1 MESP-BP-CR Color Marking Field

This TLV is used to indicate which of the field within the incoming frames is used to save color information to.

The supported values are indicated in the following table. There is no default value defined for this TLV.

Type	Length	Value
72.2.7.1	1	0: PCP in S-Tag 1: PCP in I-Tag 2: PCP in B-Tag 3: DEI in S-Tag 4: DEI in I-Tag 5: DEI in B-Tag 6 - 255: reserved

C.5.2.7.2 MESP-BP-CR Color Marking Field Value

This TLV is used to relay a specific value of the color marking field selected by TLV 72.2.7.1.

Type	Length	Value
72.2.7.2	1	This TLV comprises an encoded bit map, featuring two distinct fields: color, value, reserved, as shown in the table below. In the cases that the field size is 1 bit, the available Value will be 0 and 1. As the result, it is required to overload single Value for multiple Color Markings.

Field name	Description	Size
Value	Encodes the target value of the color marking field identified by TLV 72.2.7.1. The value is stored in the LSB positions of this 6 bit field. The size of this field is equal to: 3 when TLV 72.2.7.1 = 0. In this case, the 'Value' field encodes the S-PCP field value. 3 when TLV 72.2.7.1 = 1. In this case, the 'Value' field encodes the I-PCP field value. 3 when TLV 72.2.7.1 = 2. In this case, the 'Value' field encodes the B-PCP field value. 1 when TLV 72.2.7.1 = 3. In this case, the 'Value' field encodes the S-DEI field value. 1 when TLV 72.2.7.1 = 4. In this case, the 'Value' field encodes the I-DEI field value. 1 when TLV 72.2.7.1 = 5. In this case, the 'Value' field encodes the B-DEI field value.	N bits
Color	Encodes the color associated with the given color marking field value. The following values are supported: 0b00: green 0b01: yellow 0b10: red 0b11: reserved	2 bits

If the color marking is included, the green color marking and yellow color marking are required, while the red color marking is optional. The DPoE System MUST reject any CM configuration file that has color marking configuration in MESP but does not include both green color marking and yellow color marking in that specific MESP.

C.5.3 MESP Name

The value of the field refers to a predefined DPoE System service configuration to be used for this MESP. This is similar in concept to the Service Class name (TLV 24/25.4).

Type	Length	Value
72.3	2 to n	Zero-terminated string of ASCII characters.

(max size 254)

NOTE The length includes the terminating zero.

When the MESP Name is used in a Service Flow or Aggregate Service Flow encoding, it indicates that all the unspecified MESP Parameters of the Service Flow need to be provided by the DPoE System. It is up to the operator to synchronize the definition of MESP Names in the DPoE System and in the configuration file.

C.6 Aggregate Service Flow (ASF)

C.6.1 Upstream Aggregate Service Flow Encodings

This field defines the parameters associated with the Upstream Aggregate Service Flow.

Type	Length	Value
70	N	

C.6.2 Downstream Aggregate Service Flow Encodings

This field defines the parameters associated with the Downstream Aggregate Service Flow.

Type	Length	Value
71	N	

C.6.3 ASF Reference

This TLV contains the ASF Reference.

Type	Length	Value
[70/71].1	2	1 – 65535

The supported range is 1 – 65535 and the value 0 is reserved.

The DPoE System MUST reject any CM configuration file without exactly one instance of TLV 70/71.1 within an instance of the ASF TLV 70/71.

C.6.4 MESP Reference

This TLV contains the MESP Reference.

Type	Length	Value
[70/71].37	2	1 - 65535

The supported range is 1 – 65535 and the value 0 is reserved.

The DPoE System MUST reject any CM configuration file without exactly one instance of TLV 70/71.37 TLV within an instance of the ASF TLV 70/71.

C.7 Changes to DOCSIS TLV 24/25

C.7.1 Aggregate Service Flow Reference

The Aggregate Service Flow Reference is used by the operator to provide Aggregate Service Flow configuration in a DPoE Network; the use of this encoding is defined in Section 7.3.

Type	Length	Value
[24/25/70/71].36	2	Aggregate Service Flow Reference

C.7.2 Metro Ethernet Service Profile (MESP) Reference

The Metro Ethernet Service Profile (MESP) Reference is used to provide a reference to a set of MESP Parameters as defined by a particular MESP parameter set.

Type	Length	Value
[24/25/70/71].37	2	MESP Reference

C.7.3 Serving Group Name

The Serving Group Name contains an ASCII string that identifies the Serving Group [DPoE-IPNEv2.0] configured on the DPoE System that the Service Flow belongs to.

Type	Length	Value
[24/25/70/71].38	2 to 16	Zero-terminated string of ASCII characters.

C.8 Changes to DOCSIS IP Multicast Join Authorization Encoding (TLV 43.10)

The following subsections specify additions to the IP Multicast Join Authorization encoding, extending the definition in [MULPIv3.0]. A valid CM configuration file contains zero or one instances of the IP Multicast Join Authorization Encoding, as specified in [MULPIv3.0]. Additional restrictions on the subtypes of a valid IP Multicast Join Authorization Encoding are described below.

C.8.1 IP Multicast Join Authorization Static Session Rule Subtype (TLV 43.10.2)

This subtype statically configures a single IP multicast "session rule" that controls the authorization of a range of IP multicast sessions. A session rule identifies a DPoE System join authorization action of "permit" or "deny" for the combination of a range of source addresses (an "S prefix") and destination group addresses (a "G prefix") of a multicast session.

The IP Multicast Join Authorization Static Session Rule subtype is defined in [MULPIv3.0]. The following subsections define extensions to the existing subtype. A valid IP Multicast Join Authorization Encoding contains zero or more instances of this subtype.

C.8.1.1 *Static Session Rule CMIM*

This attribute specifies the set of CMIM-Interfaces associated with a static session rule. A valid IP Multicast Join Authorization Static Session Rule Encoding contains zero or one instances of this subtype.

The CMIM value contains a set of CMIM-Interfaces included in the match criteria for the static session rule. A join request must be received on one of the specified CMIM-Interfaces to successfully match the static session rule, assuming all other match criteria were met. If the CMIM subtype is not present in a particular Static Session Rule Encoding instance, the rule can be matched against a join request received on any CMIM-Interface.

Type	Length	Value
43.10.2.7	N	BITS Encoded bit map with bit position K representing logical interface index value K. The Embedded DOCSIS specification [eDOCSIS] defines the interface index assignments.

The DPoE System MUST reject any CM configuration file with an IP Multicast Join Authorization Static Session Rule encoding (43.10.2) containing multiple instances of the Static Session Rule CMIM encoding (43.10.2.7).

C.8.2 Maximum Multicast Sessions Subtype (TLV 43.10.3)

This attribute is defined in [MULPIv3.0] to limit the maximum number of multicast sessions authorized to be dynamically joined by clients reached through the CM. A DPoE System MUST implement the Maximum Multicast Sessions subtype encoding (43.10.3) as the default maximum number of multicast sessions authorized to be dynamically joined by clients reached through each CMIM-Interface. The DPoE System MUST override this value with the value provided by subtype (43.10.5) for specified CMIM-Interfaces.

C.8.3 IP Multicast Profile Extension Subtype (TLV 43.10.4)

This subtype specifies an IP Multicast Profile configured in the DPoE System and additional match criteria to associate with the profile for a particular D-ONU.

A valid IP Multicast Join Authorization Encoding contains zero, one, or more instances of this subtype. An IP Multicast Join Authorization Encoding is invalid if it contains both an IP Multicast Profile Name subtype encoding (43.10.1) and an IP Multicast Profile Extension subtype encoding (43.10.4) that reference the same profile name.

Type	Length	Value
43.10.4	N	IP Multicast Join Authorization Profile Entry subtype encodings.

The DPoE System MUST reject any CM configuration file with an IP Multicast Join Authorization encoding (43.10) containing two or more subtypes, IP Multicast Profile Name subtype encoding (43.10.1) or IP Multicast Profile Extension subtype encoding (43.10.4), referencing the same profile name.

C.8.3.1 *Profile Name*

This subtype contains an ASCII string that identifies an IP Multicast Profile Name configured in the DPoE System. A valid IP Multicast Profile Extension subtype encoding contains one instance of this subtype.

Type	Length	Value
[43].10.4.1	1..15	Name of an IP Multicast Profile configured in the DPoE System.

The DPoE System MUST reject any CM configuration file with an IP Multicast Profile Extension subtype encoding (43.10.4) containing zero or multiple instances of the Profile Name encoding (43.10.4.1).

C.8.3.2 *Profile CMIM*

This attribute specifies the set of CMIM-Interfaces associated with an IP Multicast Profile for a particular D-ONU. A valid IP Multicast Profile Extension subtype encoding contains one instance of this subtype.

The CMIM value contains a set of CMIM-Interfaces included in the match criteria for an IP Multicast Profile when considered for a particular D-ONU. A join request must be received on one of the specified CMIM-Interfaces to successfully match the IP Multicast Profile, assuming all other match criteria were met.

Type	Length	Value
[43].10.4.2	N	BITS Encoded bit map with bit position K representing logical interface index value K. The Embedded DOCSIS specification [eDOCSIS] defines the interface index assignments.

The DPoE System MUST reject any CM configuration file with an IP Multicast Profile Extension subtype encoding (43.10.4) containing zero or multiple instances of the Profile CMIM encoding (43.10.4.2).

C.8.4 Maximum Multicast Sessions CMIM Subtype (TLV 43.10.5)

This subtype specifies a maximum number of multicast sessions authorized to be dynamically joined through each D-ONU CMIM-Interface. This is a per-interface maximum that takes precedence over the Maximum Multicast Sessions subtype (43.10.3). A valid IP Multicast Join Authorization Encoding contains zero, one, or more instances of this subtype. An IP Multicast Join Authorization Encoding is invalid if it contains multiple Maximum Multicast Sessions CMIM encodings (43.10.5) that reference the same CMIM-Interface.

Type	Length	Value
[43].10.5	N	Maximum Multicast Sessions CMIM Encoding.

The DPoE System MUST reject any CM configuration file with an IP Multicast Join Authorization encoding (43.10) containing multiple Maximum Multicast Sessions CMIM encodings (43.10.5) referencing the same CMIM-Interface.

C.8.4.1 *CMIM*

This attribute specifies the set of CMIM-Interfaces on which to enforce the specified maximum multicast session value. A valid Maximum Multicast Session CMIM subtype encoding (43.10.5) contains one instance of this subtype.

Type	Length	Value
[43].10.5.1	N	BITS Encoded bit map with bit position K representing logical interface index value K. The Embedded DOCSIS specification [eDOCSIS] defines the interface index assignments.

The DPoE System MUST reject any CM configuration file with a Maximum Multicast Session CMIM subtype encoding (43.10.5) containing zero or multiple instances of the CMIM encoding (43.10.5.1).

C.8.4.2 *Maximum Multicast Sessions*

This attribute specifies maximum number of multicast sessions authorized to be dynamically joined through each D-ONU CMIM-Interface. A valid Maximum Multicast Session CMIM subtype encoding contains one instance of this subtype.

Type	Length	Value
[43].10.5.2	2 (unsigned 16 bit integer)	0 - 65534: the maximum number of sessions permitted to be dynamically joined. A value of 0 indicates that no dynamic multicast joins are permitted. 65535: no limit to the number of multicast sessions to be joined.

The DPoE System MUST reject any CM configuration file with a Maximum Multicast Session CMIM subtype encoding (43.10.5) containing zero or multiple instances of the Maximum Multicast Session encoding (43.10.5.2).

C.9 D-ONU Capabilities Encoding

The D-ONU Capabilities Encoding describes the capabilities of a particular D-ONU; i.e., implementation dependent limits on the particular features or number of features, which the D-ONU can support. It consists of a number of encapsulated type/length/value fields; these sub-types define the specific capabilities for the D-ONU in question.

These capabilities are reported by the D-ONU to the DPoE System via OAM messages; the DPoE System uses that information to populate the following TLVs when sending up these capabilities in the vCM's DHCP messages

Type	Length	Value
5.41	n	Capability sub-TLVs

NOTE: DOCSIS CM Capabilities are included under TLV 5. All the DPoE D-ONU Capabilities Encodings are included under TLV 5.41. The sub-type fields defined are only valid within the encapsulated capabilities configuration setting string

The set of possible D-ONU Capability sub-TLVs are described below.

The vCM MUST include all of these capabilities within the Modem Capabilities option, within option 125 (for DHCPv4) and or option 17 (for DHCPv6) unless the description of the capability explicitly prohibits this. DPoE Networks does not support DOCSIS Registration Response messaging and therefore D-ONU capabilities are communicated only via DHCP messaging.

C.9.1 DPoE Version Number

The DPoE Version number is a one byte value representing the DPoE version in a major / minor format. The DPoE version number is embedded in the OAM INFOPDU. This attribute is actually the DPoE OAM Version supported by the D-ONU and this value should directly reflect the DPoE Version number.

Type	Length	Value
5.41.1	1	major version: minor version

Field name	Description	Size
majver	Major version	4 bits
minver	Minor version	4 bits

The DPoE Version Number is obtained through the 802.3 OAM INFOPDU during the D-ONU registration process. In 802.3 OAM INFOPDU, TLV type 0xEF refers to Info TLV extension, and OUI of 0x000100 in Info TLV extension indicates it is a DPoE extended Info PDU. For DPoE extended Info PDU, DPoE Info TLV Type 0x00 refers to a DPoE OAM Support TLV, and the Bits[7:4] of the value in the TLV represents the major version number, while the Bits[3:0] of the value in the TLV represents the minor version number. For detailed description on 802.3 OAM INFO PDU and DPoE extended Info PDU, please refer to [DPoE-OAMv2.0], section 5.2 "[802.3] Clause 57 OAM PDUs" and section 7.1 "Info PDU".

C.9.2 Number of Unicast LLIDs Supported (Bidirectional)

This field shows the maximum number of Unicast LLID's that the D-ONU supports.

Type	Length	Value
5.41.2	2	

NOTE: Minimum number of LLID's that a D-ONU must support is 8 [DPoE-ARCHv2.0].

The Number of Unicast LLIDs supported is obtained through the DPoE OAM TLVs.

C.9.3 Number of Multicast LLIDs Supported (Downstream Only)

This field shows the maximum number of Multicast LLID's that the D-ONU supports.

Type	Length	Value
5.41.3	2	

The Number of Multicast LLIDs supported is obtained through the DPoE OAM TLVs.

C.9.4 MESP Support (Metro Ethernet Service Profile)

Value is a bitmask which defines feature support for Color Marking, Color Awareness (for both SF and ASF) and Smart Color Dropping capabilities, for the D-ONU [DPoE-MEFv2.0].

Type	Length	Value
5.41.4	1	Bit #0 = Color Marking (at SF level) Bit #1 = Color Awareness (at SF level) Bit #2 = Smart Color Dropping (at SF and ASF Levels) Bit #3 to #7 reserved

A value of 1 means the feature is supported, a value of 0 means the D-ONU does not support this MESP feature.

The MESP features supported is obtained through the DPoE OAM TLVs.

C.9.5 Number of D-ONU Ports

This value specifies the number of S1 interfaces supported on the D-ONU.

Type	Length	Value
5.41.5	1	1 to 255

The Number of D-ONU ports supported is obtained through DPoE OAM TLVs

C.9.6 EPON Data Rate Support

This value specifies the data rates supported by the D-ONU on the EPON network.

Type	Length	Value
5.41.6	0	0 = 1G up /1G down 1 = 1G up /10G down 2 = 10G up /10G down

The EPON data rate supported by the D-ONU is obtained by the DPoE System during D-ONU registration.

C.9.7 Service OAM

This field indicates the Service OAM capabilities supported by the D-ONU, the details are specified in [DPoE-SOAMv2.0].

Type	Length	Value
5.41.7	n	SOAM Capabilities (e.g., Sub TLVs denoting the supported number of MEP's and SOAM frame types)

The Service OAM features supported is obtained through DPoE OAM TLVs.

C.10 Network Timing Profile

This subtype specifies a Network Timing Profile configured on the DPoE System which provides a match criteria for the Timing Profile Name. EToD [1588v2] provisioning parameters [DPoE-IPNEv2.0] are configured via a Network Timing Profile. The Network Timing Profile TLV is referenced from the L2VPN encoding via the Network Timing Profile Reference. The CPE interfaces (CMIM) to which the Network Timing Profile applies are the interfaces CMIM) to which the L2VPN encoding applies. The DPoE System MUST configure the appropriate CMIM ports with the configuration profile settings of Network Timing Profile. If the DPoE System has a CM configuration file that includes different Network Timing Profile subtypes configured within different L2VPN encodings that are associated to the same CMIM, the DPoE System MUST select the Network Timing Profile under the L2VPN encoding with the smallest VPNID.

Type	Length	Value
73	N	

C.10.1 Network Timing Profile Reference

The Network Timing Profile Reference is used to associate an L2VPN Service Flow to a Network Timing Profile Name in the CM configuration file. A valid Network Timing Profile subtype encoding contains one instance of this subtype.

Type	Length	Value
73.1	2	Network Timing Profile Reference

C.10.2 Network Timing Profile Name

This subtype contains an ASCII string that identifies a Network Timing Profile Name configured on the DPoE System. A valid Network Timing Profile subtype encoding contains one instance of this subtype.

Type	Length	Value
73.2	2 to 16	Zero-terminated string of ASCII characters.

The DPoE System MUST reject any CM configuration file with a Network Timing Profile subtype encoding containing zero or multiple instances of the Profile Name encoding.

C.11 Top Level TLVs

Table 8 - Top Level TLVs

TLV	Name	Support Needed	Comments
0	Pad	MUST	
1	Downstream Frequency	MUST NOT	Not applicable, as there is only one downstream channel, the EPON itself.
2	Upstream Channel ID	MUST NOT	Not applicable, as there is only one upstream channel, the EPON itself.
3	Network Access Control Object	MUST	
4	DOCSIS 1.0 Class of Service	MUST NOT	No reason to carry this support forward to DPoE specification.

TLV	Name	Support Needed	Comments
5	Modem Capabilities	MUST	Added to DPoEv2.0 to support TLV 5.41 D-ONU Capabilities Encoding, was not supported in v1.0. Note that these are NOT in the CM Config file, but in the DHCP from vCM to DHCP Server.
6	CM Message Integrity Check	MAY	The operator network is considered to be secure and this TLV is not needed as the DPoE System is getting the file directly from the TFTP Server. This TLV may be supported for backwards compatibility.
7	CMTS Message Integrity Check	MAY	The operator network is considered to be secure and this TLV is not needed as the DPoE System is getting the file directly from the TFTP Server. This TLV may be supported for backwards compatibility.
9	SW Upgrade Filename	MUST	
10	SNMP Write Access Control	SHOULD NOT	Operator feedback was that this TLV is not needed for this version of the specifications.
11	SNMP MIB Object	MUST	See the TLV 11 section for more details.
14	CPE Ethernet MAC Address	SHOULD NOT	Operator feedback was that this TLV is not needed for this version of the specifications.
17	Baseline Privacy	MUST NOT	Encryption is different on EPON, and configurable controls are limited. You can configure Traffic Key lifetime per-PON and per-Link. This doesn't correspond directly to TLV 17 items, however. See Annex C.13 for more details.
18	Max Number of CPEs	MUST	
19	TFTP Server Timestamp	MUST NOT	ONUs do not access the configuration file server, so there's no middle man or hackable entity like a CM to worry about.
20	TFTP Server Provisioned Modem IPv4 Address	MUST NOT	ONUs have IP addresses proxied by the DPoE System. Thus this TLV has little value.
21	SW Upgrade IPv4 TFTP Server	MUST	
22	Upstream Packet Classification	MUST	See Annex C.14 for more details.
23	Downstream Packet Classification	MUST	See Annex C.14 for more details.
24	Upstream SF	MUST	See Annex C.15 for more details.
25	Downstream SF	MUST	See Annex C.15 for more details.
26	Payload Header Suppression	MUST NOT	EPON doesn't define header suppression.
28	Maximum Number of Classifiers	SHOULD NOT	This is admission control for classification resources.
29	Privacy Enable	MUST	
32	Manufacturer Code Verification Certificate	MUST	
33	Co-Signer Code Verification Certificate	MUST	
34	SNMPv3 Kickstart Value	SHOULD	SNMPv3 support is not mandatory
35	Subscriber Mgmt Control	MUST	
36	Subscriber Mgmt CPE IPv4 List	MUST	
37	Subscriber Mgmt Filter Groups	MUST	
38	SNMPv3 Notification Receiver	MUST	
39	Enable 2.0 Mode	MUST NOT	EPON PHY is not DOCSIS PHY.
40	Enable Test Modes	MUST NOT	Not applicable to EPON ONUs.
41	Downstream Channel List	MUST NOT	ONUs lock to a single DS PON channel.
42	Static Multicast MAC Address	SHOULD	
43	DOCSIS Extension Field	MUST	See Annex C.17, Annex C.18, and Annex C.19 for more detail.

TLV	Name	Support Needed	Comments
45	Downstream Unencrypted Traffic (DUT) Filtering	SHOULD NOT	Not needed for this version of the specifications.
53	SNMPv1v2c Coexistence	MUST	
54	SNMPv3 Access View	MUST	
55	SNMP CPE Access Control	SHOULD NOT	There are currently no requirements to support this in this version of the specifications.
56	Channel Assignment	MUST NOT	There is no channel bonding in EPON.
58	SW Upgrade IPv6 TFTP Server	MUST	
59	TFTP Server Provisioned Modem IPv6 Address	MUST	
60	Upstream Drop Packet Classification	MUST	See Annex C.20 for more details
61	Subscriber Mgmt CPE IPv6 Prefix List	MUST	
62	Upstream Drop Classifier Group ID	MUST	
63	Subscriber Mgmt Control Max CPE IPv6 Prefix	MUST	
64	CMTS Static Multicast Session Encoding	MUST	
65	L2VPN MAC Aging Encoding	MUST NOT	Not supported by current DPoE OAM
66	Management Event Control Encoding	SHOULD NOT	Not needed for this version of the specifications.
67	Subscriber Mgmt CPE IPv6 List	MUST	
70	US Aggregate Service Flow	MUST	
71	DS Aggregate Service Flow	MUST	
72	Metro Ethernet Service Profile	MUST	
73	Network Timing Profile	MUST	
255	End-of-Data	MUST	

C.12 TLV 11

The following table specifies those TLV-11 CM SNMP MIB objects that MUST be supported by the DPoE System. Please refer to [DPoE-OSSIv2.0] for detailed requirements. The intent is to list only those objects that are currently in use (or planned to be in use) in CM provisioning files.

Table 9 - TLV 11

TLV-11 OID Name	Support Needed	Comments
docsDevFilterIpDefault	MUST	A value of 'accept' MUST be supported. A value of 'discard' MAY be supported.
docsDevFilterIpStatus	MUST	
docsDevFilterIpControl	MUST	'Policy' control is not required. Only 'accept' and 'discard' MUST be supported in this version of the specifications.
docsDevFilterIpIfIndex	MUST	
docsDevFilterIpDirection	MUST	
docsDevFilterIpBroadcast	MUST	A value of 'false' MUST be supported. A value of 'true' MAY be supported.
docsDevFilterIpSaddr	MUST	

TLV-11 OID Name	Support Needed	Comments
docsDevFilterIpSmask	MUST	
docsDevFilterIpDaddr	MUST	
docsDevFilterIpDmask	MUST	
docsDevFilterIpProtocol	MUST	
docsDevFilterIpSourcePortLow	MUST	
docsDevFilterIpSourcePortHigh	MUST	
docsDevFilterIpDestPortLow	MUST	
docsDevFilterIpDestPortHigh	MUST	
docsDevFilterIpTos	MUST	
docsDevFilterIpTosMask	MUST	
docsDevFilterIpContinue	SHOULD NOT	No "policy" treatment
docsDevFilterIpPolicyId	SHOULD NOT	No "policy" treatment
docsDevFilterLLCUnmatchedAction	MUST	
docsDevFilterLLCIfIndex	MUST	
docsDevFilterLLCProtocol	MUST	
docsDevFilterLLCProtocolType	MUST	
docsDevFilterLLCStatus	MUST	
docsDevNmAccessCommunity	MUST	
docsDevNmAccessControl	MUST	
docsDevNmAccessInterfaces	MUST	
docsDevNmAccessIp	MUST	
docsDevNmAccessIpMask	MUST	
docsDevNmAccessStatus	MUST	

C.13 Security (TLV 17)

Table 10 - TLV 17

TLV	Name	Support Needed	Comments
17.1	Authorize Wait Timeout	MUST NOT	None of these apply for EPON.
17.2	Reauthorize Wait Timeout	MUST NOT	None of these apply for EPON.
17.3	Authorization Grace Time	MUST NOT	None of these apply for EPON.
17.4	Operational Wait Timeout	MUST NOT	None of these apply for EPON.
17.5	Rekey Wait Timeout	MUST NOT	None of these apply for EPON.
17.6	TEK Grace Time	MUST NOT	None of these apply for EPON.
17.7	Authorize Reject Wait Timeout	MUST NOT	None of these apply for EPON.
17.8	SA Map Wait Timeout	MUST NOT	None of these apply for EPON.
17.9	SA Map Max Retries	MUST NOT	None of these apply for EPON.

C.14 Classification (TLVs 22 and 23)

Table 11 - TLV 22 and 23

TLV	Name	Support Needed	Comments
22.1 23.1	Classifier Reference	MUST	
22.3 23.3	SF Reference	MUST	
22.5 23.5	Rule Priority	MUST	
22.6 23.6	ClassifierActivationState	SHOULD NOT	Without deferred activation, there's no reason to support this TLV in this version of the specifications.
22.9.1 23.9.1	IPv4 TOSRange and Mask	MUST	
22.9.2 23.9.2	IP Protocol	MUST	
22.9.3 23.9.3	IPv4 Source Address	MUST	
22.9.4 23.9.4	IPv4 Source Mask	MUST	Mask can't be arbitrary bits. Must be used to define "most significant bits" a la IPv6 Prefix.
22.9.5 23.9.5	IPv4 Destination Address	MUST	
22.9.6 23.9.6	IPv4 Destination Mask	MUST	Mask can't be arbitrary bits. Must be used to define "most significant bits" a la IPv6 Prefix.
22.9.7 23.9.7	TCP/UDP Source Port Start	MUST	
22.9.8 23.9.8	TCP/UDP Source Port End	MUST	
22.9.9 23.9.9	TCP/UDP Destination Port Start	MUST	
22.9.10 23.9.10	TCP/UDP Destination Port End	MUST	
22.10.1 23.10.1	Ethernet DMAC	MUST	Mask can't be arbitrary bits. Must be used to define "most significant bits" a la IPv6 Prefix.
22.10.2 23.10.2	Ethernet SMAC	MUST	
22.10.3 23.10.3	Ethertype/DSAP/MacType	MUST	type=1 MUST be supported. type=3 does not apply to EPON. Other values MAY be supported.
22.11.1 23.11.1	[802.1p] User Priority	MUST	
22.11.2 23.11.2	[802.1Q] VLAN ID	MUST	
22.12.1 23.12.1	IPv6 TrafficClassRange and Mask	MUST	
22.12.2 23.12.2	IPv6 Flow Label	MUST	
22.12.3 23.12.3	IPv6 Next Header Type	MUST	
22.12.4 23.12.4	IPv6 Source Address	MUST	
22.12.5 23.12.5	IPv6 Source Prefix Length	MUST	
22.12.6 23.12.6	IPv6 Destination Address	MUST	
22.12.7 23.12.7	IPv6 Destination Prefix Length	MUST	

TLV	Name	Support Needed	Comments
22.13 23.13	CM Interface Mask (CMIM) Encoding	MUST	
22.14 23.14	[802.1ad] S-VLAN Packet Classification Encodings	MUST	TLV for [802.1ad] S-VLAN classifier.
22.14.1 23.14.1	[802.1ad] S- TPID	MUST	
22.14.2 23.14.2	[802.1ad] S-VID	MUST	
22.14.3 23.14.3	[802.1ad] S-PCP	MUST	
22.14.4 23.14.4	[802.1ad] S-DEI	MUST	
22.14.5 23.14.5	[802.1ad] C-TPID	MUST	
22.14.6 23.14.6	[802.1ad] C-VID	MUST	
22.14.7 23.14.7	[802.1ad] C-PCP	MUST	
22.14.8 23.14.8	[802.1ad] C-CFI	MUST	
22.14.9 23.14.9	[802.1ad] S-TCI	MUST	
22.14.10 23.14.10	[802.1ad] C-TCI	MUST	
22.15 23.15	[802.1ah] I-TAG Packet Classification Encodings	MUST	TLV for [802.1ah] I-TAG classifier.
22.15.1 23.15.1	[802.1ah] I-TPID	MUST	
22.15.2 23.15.2	[802.1ah] I-SID	MUST	
22.15.3 23.15.3	[802.1ah] I-TCI	MUST	
22.15.4 23.15.4	[802.1ah] I-PCP	MUST	
22.15.5 23.15.5	[802.1ah] I-DEI	MUST	
22.15.6 23.15.6	[802.1ah] I-UCA	MUST	
22.15.7 23.15.7	[802.1ah] B-TPID	MUST	
22.15.8 23.15.8	[802.1ah] B-TCI	MUST	
22.15.9 23.15.9	[802.1ah] B-PCP	MUST	
22.15.10 23.15.10	[802.1ah] B-DEI	MUST	
22.15.11 23.15.11	[802.1ah] B-VID	MUST	
22.15.12 23.15.12	[802.1ah] B-DA	MUST	
22.15.13 23.15.13	[802.1ah] B-SA	MUST	
22.16 23.16	ICMPv6	MUST	

TLV	Name	Support Needed	Comments
22.17.1 23.17.1	MPLS TC bits	MUST	
22.17.2 23.17.2	MPLS Label	MUST	
22.43 23.43	Vendor-specific Classifier Parameters	MUST	Type 43 TLVs within classifiers MUST be supported for purposes of MEF configuration. See [DPoE-MEFv2.0].
23.43.5.1	VPN Identifier	MUST	
23.43.8	General Extension Information	MUST	Vendor ID of 0xFFFFFF

C.15 Service Flows and Aggregate (TLVs 24, 25 and 70, 71)

Table 12 - TLV 24, 25 and 70, 71

TLV	Name	Support Needed	Comments
24.1 25.1 70.1 71.1	SF Reference ASF Reference	MUST	
24.4 25.4	Service Class Name	MUST	
24.6 25.6	Quality of Service Parameter Set Type	MUST	A value of 0x7 MUST be supported. Registration MUST be denied if a value other than 0x7 is received.
24.7 25.7	Traffic Priority	MUST	
24.8	Upstream Max Sustained Traffic Rate	MUST	
25.8	Downstream Max Sustained Traffic Rate	MUST	
24.9 25.9	Maximum Traffic Burst	MUST	
24.10 25.10	Minimum Reserved Traffic Rate	MUST	
24.11 25.11	Assumed Min Rate Packet Size	SHOULD NOT	
24.12 25.12	Timeout for Active QoS Parameters	SHOULD NOT	There is no deferred admission/activation behavior in this version of the specifications.
24.13 25.13	Timeout for Admitted QoS Parameters	SHOULD NOT	There is no deferred admission/activation behavior in this version of the specifications.
24.14	Maximum Concatenated Burst	MUST NOT	This is a DOCSIS network specific parameter which does not translate to a DPoE network.
25.14	Maximum Downstream Latency	SHOULD NOT	
24.15	SF Scheduling Type	MUST	Two values MUST be supported for this version of the specifications: 2 for Best Effort 4 for Real Time Polling Service Other values MAY be supported.
24.16	Request/Transmission Policy	MUST	The only bit that MUST be supported is Bit 4 (must not piggyback requests).
24.17	Nominal Polling Interval	MUST	This TLV is used with the Real Time Polling Scheduling Type.
25.17	Downstream Resequencing	MUST NOT	Not applicable to EPON.
24.18	Tolerated Poll Jitter	SHOULD NOT	
24.19	Unsolicited Grant Size	SHOULD NOT	This TLV is not needed to support the BE and RTP scheduling types.

TLV	Name	Support Needed	Comments
24.20	Nominal Grant Interval	SHOULD NOT	This TLV is not needed to support the BE and RTP scheduling types.
24.21	Tolerated Grant Jitter	SHOULD NOT	This TLV is not needed to support the BE and RTP scheduling types.
24.22	Grants Per Interval	SHOULD NOT	This TLV is not needed to support the BE and RTP scheduling types.
24.23 25.23	IP ToS Overwrite	MUST	A "tos-and-mask" value of 0x00 MUST be supported. Other values MAY be supported.
24.26	Multiplier to Number of Bytes Requested	MUST NOT	Not applicable to EPON.
24.27	Upstream Peak Traffic Rate	SHOULD NOT	
25.27	Downstream Peak Traffic Rate	SHOULD NOT	
24.31 25.31	SF Required Attribute Mask	MUST NOT	Not applicable to EPON.
24.32 25.32	SF Forbidden Attribute Mask	MUST NOT	Not applicable to EPON.
24.33 25.33	SF Attribute Aggregation Rule Mask	MUST NOT	Not applicable to EPON.
24.34 25.34	Application Identifier	SHOULD NOT	This TLV is used to extend admission control decisions based on PCMM policies. There is no PCMM support in this version of the specifications.
24.36 25.36	Aggregate Service Flow Reference	MUST	
24.37 25.37 70.37 71.37	MESP Reference	MUST	
24.43	Vendor-specific QoS Parameters	MUST	Type 43 TLVs within service flows MUST be supported for purposes of MEF configuration. See [DPoE-MEFv2.0].
24.43.5.1	VPN Identifier	MUST	
24.43.8	General Extension Information	MUST	Vendor ID of 0xFFFFFF

C.16 Device Management (TLVs 38, 53 and 54)

Table 13 - TLV 38, 53 and 54

TLV	Name	Support Needed	Comments
34.1	SNMPv3 Kickstart Security Name	SHOULD	SNMPv3 support is not mandatory
34.2	SNMPv3 Kickstart Manager Public Number	SHOULD	SNMPv3 support is not mandatory
38.1	SNMPv3 Notification Receiver IPv4 Address	MUST	
38.2	SNMPv3 NotificationReceiverUDPPort Number	MUST	
38.3	SNMPv3 Notification Receiver Trap Type	MUST	
38.4	SNMPv3 Notification Receiver Timeout	MUST	
38.5	SNMPv3 Notification Receiver Retries	MUST	

TLV	Name	Support Needed	Comments
38.6	SNMPv3 Notification Receiver Filtering Parameters	MUST	
38.7	SNMPv3 Notification Receiver Security Name	MUST	
38.8	SNMPv3 Notification Receiver IPv6 Address	MUST	
53.1	SNMPv1v2c Community Name	MUST	
53.2	SNMPv1v2c Transport Address Access	MUST	
53.2.1	SNMPv1v2c Transport Address	MUST	
53.2.2	SNMPv1v2c Transport Address Mask	MUST	
53.3	SNMPv1v2c Access View Type	MUST	
53.4	SNMPv1v2c Access View Name	MUST	
54.1	SNMPv3 Access View Name	MUST	
54.2	SNMPv3 Access View Subtree	MUST	
54.3	SNMPv3 Access View Mask	MUST	
54.4	SNMPv3 Access View Type	MUST	

C.17 TLV 43

Table 14 - TLV 43

TLV	Name	Support Needed	Comments
43.1	CM Load Balancing Policy ID	MUST NOT	Not applicable to EPON.
43.2	CM Load Balancing Priority	MUST NOT	Not applicable to EPON.
43.3	CM Load Balancing Group ID	MUST NOT	Not applicable to EPON.
43.4	CM Ranging Class ID Extension	MUST NOT	Not applicable to EPON.
43.5	L2VPN Encoding	MUST	
43.6	Extended CMTS MIC Configuration Setting	MAY	ONUs do not request configuration files, thus tampering is not a concern. This TLV may be supported for backwards compatibility.
43.7	Source Address Verification Authorization Encoding	MUST	
43.8	General Extension Information	MUST	
43.9	Cable Modem Attribute Masks	MUST NOT	This is really bonding-specific, thus not applicable to EPON.
43.10	IP Multicast Join Authorization Encoding	MUST	
43.11	Service Type Identifier	SHOULD NOT	This TLV is not applicable to DPoE Networks in this version of the specifications.

C.18 [DPoE-MEFv2.0] and [L2VPN] TLVs 43.5, 45, and 65)

See [DPoE-MEFv2.0] for more information on the expected use and support for these TLVs in this version of DPoE specifications.

Table 15 - TLV 43.5, 45, and 65

TLV	Name	Support Needed	Comments
43.5.1	VPN Identifier	MUST	
43.5.2	NSI Encapsulation Subtype	MUST	
43.5.2.1	Other	SHOULD NOT	
43.5.2.2	[802.1Q]	MUST	
43.5.2.3	[802.1ad]	MUST	
43.5.2.4	MPLS Peer	MUST	
43.5.2.5	L2TPv3 Peer	SHOULD NOT	
43.5.2.6	[802.1ah]	MUST	
43.5.2.6.1	[802.1ah] I-Tag TCI	MUST	
43.5.2.6.2	[802.1ah] B-DA	MUST	
43.5.2.6.3	[802.1ah] B-TCI	MUST	
43.5.2.6.4	[802.1ah] I-TPID	MUST	
43.5.2.6.5	[802.1ah] I-PCP	MUST	
43.5.2.6.6	[802.1ah] I-DEI	MUST	
43.5.2.6.7	[802.1ah] I-UCA	MUST	
43.5.2.6.8	[802.1ah] I-SID	MUST	
43.5.2.6.9	[802.1ah] B-TPID	MUST	
43.5.2.6.10	[802.1ah] B-PCP	MUST	
43.5.2.6.11	[802.1ah] B-DEI	MUST	
43.5.2.6.12	[802.1ah] B-VID	MUST	
43.5.2.8	[802.1ad] S-TPID	MUST	
43.5.3	Enable eSAFE DHCP Snooping	MUST	
43.5.4	CM Interface Mask	MUST	
43.5.5	Attachment Group ID	SHOULD NOT	
43.5.6	Source Attachment Individual ID	SHOULD NOT	
43.5.7	Target Attachment Individual ID	SHOULD NOT	
43.5.8	Ingress User Priority	SHOULD NOT	
43.5.9	UserPriorityRange	SHOULD NOT	
43.5.10	L2VPN SA-Descriptor	MUST NOT	BPI does not apply here.
43.5.13	L2VPN Mode	MUST	
43.5.14	DPoE TPID Translation	MUST	
43.5.14.1	Upstream outmost TPID Translation	MUST	
43.5.14.2	Downstream outmost TPID Translation	MUST	
43.5.14.3	Upstream S-TPID Translation	MUST	
43.5.14.4	Downstream S-TPID Translation	MUST	
43.5.14.5	Upstream B-TPID Translation	MUST	
43.5.14.6	Downstream B-TPID Translation	MUST	
43.5.14.7	Upstream I-TPID Translation	MUST	
43.5.14.8	Downstream I-TPID Translation	MUST	
43.5.15.1	L2CP Tunnel Mode	MUST	
43.5.15.2	L2CP DA MAC	MUST	

TLV	Name	Support Needed	Comments
43.5.15.3	L2CP Replacing DA MAC	MUST	
43.5.16	DAC Disable/Enable	MUST	
43.5.18	Pseudowire-Class	MUST	
43.5.19	Service Delimiter	MUST	
43.5.19.1	C-VID	MUST	
43.5.19.2	S-VID	MUST	
43.5.19.3	I-SID	MUST	
43.5.19.4	B-VID	MUST	
43.5.20.1	VPLS Class	MUST	
43.5.20.2	E-Tree Role	MUST	
43.5.20.3	E-Tree Root VID	MUST	
43.5.20.4	E-Tree Leaf VID	MUST	
43.5.21.1	Route Distinguisher	MUST	
43.5.21.2	Route Target(import)	MUST	
43.5.21.3	Route Target(export)	MUST	
45.1	Downstream Unencrypted Traffic (DUT) Control	SHOULD NOT	
45.2	Downstream Unencrypted Traffic (DUT) CMIM	SHOULD NOT	
65.1	L2VPN MAC Aging Mode	MUST	

C.19 Customer (Subscriber) Management (TLV 43.7)

Table 16 - TLV 43.7

TLV	Name	Support Needed	Discussion
43.7.1	SAV Group Name Subtype	MUST	
43.7.2	SAV Static Prefix Rule Subtype	MUST	
43.7.2.1	SAV Static Prefix Address Subtype	MUST	IPv4 and IPv6.
43.7.2.2	SAV Static Prefix Length Subtype	MUST	IPv4 and IPv6.

C.20 Upstream Drop Classification (TLV 60)

Table 17 - TLV 60

TLV	Name	Support Needed	Comments
60.1	Classifier Reference	MUST	
60.5	Rule Priority	MUST	
60.9.1	IPv4 TOSRange and Mask	MUST	
60.9.2	IP Protocol	MUST	
60.9.3	IPv4 Source Address	MUST	
60.9.4	IPv4 Source Mask	MUST	Mask can't be arbitrary bits. Must be used to define "most significant bits" a la IPv6 Prefix.
60.9.5	IPv4 Destination Address	MUST	
60.9.6	IPv4 Destination Mask	MUST	Mask can't be arbitrary bits. Must be used to define "most significant bits" a la IPv6 Prefix.
60.9.7	TCP/UDP Source Port Start	MUST	
60.9.8	TCP/UDP Source Port End	MUST	
60.9.9	TCP/UDP Destination Port Start	MUST	

TLV	Name	Support Needed	Comments
60.9.10	TCP/UDP Destination Port End	MUST	
60.10.1	Ethernet DMAC	MUST	Mask can't be arbitrary bits. Must be used to define "most significant bits" a la IPv6 Prefix.
60.10.2	Ethernet SMAC	MUST	
60.10.3	Ethertype/DSAP/MacType	MUST	type=1 MUST be supported. type=3 does not apply to EPON. Other type values MAY be supported.
60.11.1	[802.1p] User Priority	MUST	
60.11.2	[802.1Q] VLAN ID	MUST	
60.12.1	IPv6 TrafficClassRange and Mask	MUST	
60.12.2	IPv6 Flow Label	MUST	
60.12.3	IPv6 Next Header Type	MUST	
60.12.4	IPv6 Source Address	MUST	.
60.12.5	IPv6 Source Prefix Length	MUST	
60.12.6	IPv6 Destination Address	MUST	
60.12.7	IPv6 Destination Prefix Length	MUST	
60.13	CM Interface Mask (CMIM) Encoding	MUST	
60.14	[802.1ad] S-VLAN Packet Classification Encodings	MUST	TLV for [802.1ad] S-VLAN classifier.
60.14.1	[802.1ad] S- TPID	MUST	
60.14.2	[802.1ad] S-VID	MUST	
60.14.3	[802.1ad] S-PCP	MUST	
60.14.4	[802.1ad] S-DEI	MUST	
60.14.5	[802.1ad] C-TPID	MUST	
60.14.6	[802.1ad] C-VID	MUST	
60.14.7	[802.1ad] C-PCP	MUST	
60.14.8	[802.1ad] C-CFI	MUST	
60.14.9	[802.1ad] S-TCI	MUST	
60.14.10	[802.1ad] C-TCI	MUST	
60.15.	[802.1ah] I-TAG Packet Classification Encodings	MUST	TLV for [802.1ah] I-TAG classifier.
60.15.1	[802.1ah] I-TPID	MUST	
60.15.2	[802.1ah] I-SID	MUST	
60.15.3	[802.1ah] I-TCI	MUST	
60.15.4	[802.1ah] I-PCP	MUST	
60.15.5	[802.1ah] I-DEI	MUST	
60.15.6	[802.1ah] I-UCA	MUST	
60.15.7	[802.1ah] B-TPID	MUST	
60.15.8	[802.1ah] B-TCI	MUST	
60.15.9	[802.1ah] B-PCP	MUST	
60.15.10	[802.1ah] B-DEI	MUST	
60.15.11	[802.1ah] B-VID	MUST	
60.15.12	[802.1ah] B-DA	MUST	
60.15.13	[802.1ah] B-SA	MUST	
60.16	ICMPv6	MUST	
60.17.1	MPLS TC bits	MUST	
60.17.2	MPLS Label	MUST	

TLV	Name	Support Needed	Comments
60.43	Vendor-specific Classifier Parameters	MUST	These TLVs will be "supported" in that vendor-proprietary TLVs can be specified in the configuration file, but their implementation is up to the vendor. There currently are no standard DPoE vendor-specific classifier parameters.

Annex D eSAFE DHCP Snooping (Normative)

This section specifies the requirements around enabling eSAFE DHCP snooping as defined in [L2VPN].

- TLV 43.5.3 "eSAFE DHCP Snooping" defined in [L2VPN] MUST be supported by all DPoE System Relay Agents.
- DPoE Standalone ONUs with one or more eSAFE's present configured (on) with this TLV 43.5.3 MUST relay eSAFE DHCP messages from the DPoE Standalone ONU to the DPoE System on an EVC with S-VLAN ID and C-VLAN ID as configured for the corresponding CMIM or S_1 interface.

Appendix I EPON Media Access Control Protocol (Informative)

The DPoE specifications rely on the respective [802.3] and [802.3av] standards and do not introduce any changes to their stipulations.

I.1 Timing and Synchronization

In an EPON network, timing and synchronization services for the EPON transport (over the TU interface) are provided by the Multi-Point Control Protocol (MPCP) as defined in [802.3ah] Clause 64 and [802.3av] Clause 77.

The ranging and timing process in use in 1G-EPON is defined in [802.3ah] subclause 64.2.1.1, while the delay variation requirements are defined in [802.3ah] subclause 65.3.3. Similarly, [802.3av] subclause 77.2.1.1 defines the ranging and timing process in use in 10G-EPON, with delay variation specified in [802.3av] subclause 76.5.4.11.

I.1.1 MPCP Clock Synchronization

In order to decouple the time of GATE MPCPDU transmission from the start time of the granted upstream slot, the OLT and each ONU maintain a local clock, commonly referred to as the *MPCP clock*. This MPCP clock has the form of a 32-bit counter, increasing every time quanta (TQ), defined to be equal to 16 ns, or the time required to transmit 2 bytes of data at data rate of 1 Gbps. Effectively, a number of EPON parameters, including the timeslot start times and lengths carried in GATE MPCPDUs, as well as queue lengths carried in REPORT MPCPDUs, are expressed in the units of TQ.

Synchronization between the ONU MPCP clock and the OLT MPCP clock is carried out through the exchange of MPCPDUs. In this process, the OLT MPCP clock is considered to be the timing master and the ONU MPCP clock is always slaved to the OLT time domain. Each MPCPDU carries a timestamp of the local MPCP clock at the time when the given MPCPDU passes through the MAC Control Multiplexer. For details of MPCPDU structure and functional specifications, see [802.3ah] subclause 64.3.6 and [802.3av] subclause 77.3.6.

This clock synchronization scheme is based on the assumption that frame propagation delay between the Control Multiplexer at the transmitting device and the Control Parser at the receiving device is nearly constant. In other words, frames cannot be blocked or delayed in the MAC and PHY sub-layers.

I.1.2 Loop Timing in EPON

In traditional P2P Ethernet, local oscillators are allowed to deviate from the nominal frequency by 100 ppm. Such a relaxed clock tolerance allowed for very inexpensive devices to be built. In EPON, to remedy this situation, MPCP mandates strict loop timing for the ONU, which means that MPCP clocks operating in all slaved ONUs must track the received OLT MPCP clock, recovered from the data transmitted by the OLT. Under such a scenario, even though the OLT MPCP clock is still allowed to deviate ± 100 ppm from the nominal frequency, ONUs are able to recover the clock and remain synchronized at all times. This is achieved thanks to the fact that the downstream channel OLT constantly transmits data or idle characters, meaning that ONUs never lose synchronization OLT clock as long as they are able to receive and recover data.

Appendix II EPON Multipoint Control Protocol Data Units (Informative)

The Multi-Point Control Protocol (MPCP) was specified in [802.3ah] and extended to 10G-EPON in [802.3av] to resolve the problems related with P2P Ethernet operation in the P2MP environment of EPON systems. MPCP is used in EPON to dynamically allocate access to the transmission medium (ODN path) to individual ONUs connected to EPON. It assigns upstream transmission slots to all active slave devices. Provided that stable operation conditions are maintained in the network and no link suffers from significant variations of the Round Trip Time (RTT), the allocated slots are always non-overlapping. This means that, upon their arrival at the OLT receiver module, the data frames can be received, delineated, and decoded. MPCP provides the complete signaling infrastructure (control plane) for coordinating data transmissions originating from ONUs to an OLT. The functionality of the MPCP sublayer in the ONU and the OLT is quite different:

- The OLT MPCP sublayer is responsible for Discovery of the newly connected stations, their registration, and measurement of the RTT, as well as scheduling and controlling the transmission from individual ONUs in the upstream channel;
- The ONU MPCP sublayer is mainly responsible for the reporting of the current queue state at the end of the upstream transmission slot (provided that the OLT MPCP requested such a functionality through the respective GATE MPCPDU), as well as participation in the Discovery process.

The principle of operation for the MPCP mechanism is relatively straightforward. The total available upstream channel bandwidth is divided into transmission units (typically termed *slots*) using the TDMA technique. Each such slot of an arbitrary length can be assigned to an ONU (more specifically to the respective LLIDs) based on the DBA mechanism under operation in the OLT central packet scheduler. The scheduler assigns each LLID a certain fraction of the upstream transmission slot, which depends on the current bandwidth demand of the given entity (as indicated using the REPORT MPCPDU), available bandwidth, bandwidth demand of other LLIDs, number of LLIDs, employed service policy, etc. The ONU is then notified of the size and start of the transmission slot using the complementary GATE MPCPDU.

The MPCP transmission arbitration is based on two messages, namely REPORT and GATE MPCPDUs. The REPORT MPCPDUs are transmitted by the ONU and are used to indicate the current bandwidth demand to the OLT. The bandwidth demand is typically estimated based on the current queue occupancy (a single ONU can hold a number of packet queues storing Ethernet frames, mapped into a number of available LLID entities [802.3ah] and [802.3av]) with the maximum number of queue reports included in a single REPORT MPCPDU limited to 13 due to the finite and pre-defined size of an MPCPDU. EPON specifications allow additionally for existence of queue thresholds. These provide the ONU with the ability to indicate several delineation boundaries per single queue, increasing the scheduling efficiency at the OLT side by providing additional information on the internal structure of each particular queue. Queue threshold use and treatment are not specified in [802.3ah] and are left to vendors to optionally implement.

Once received at the OLT, the REPORT MPCPDU is parsed and passed to the DBA module responsible for scheduling the size and start time for upstream transmission slots. Scheduling must account for both the burst-mode delays and path delay variations between near and distant ONUs to prevent overlap of upstream transmissions at the OLT receiver. The size of each allocated slot depends on the actual bandwidth demand, selected service policy (whether static or dynamic bandwidth allocation is used), number of active LLIDs, amount of available bandwidth, poling protocol in use, etc. MPCP was designed to operate with any DBA algorithm. By requiring a common control plane, but allowing for different algorithms, any vendor can develop new bandwidth allocation protocols with arbitrary complexity. Once the DBA module completes the slot size and time estimation process, a GATE MPCPDU is constructed, loaded with the respective DBA-estimated information, and delivered downstream at the first possible opportunity. All MPCPDUs are transmitted with the highest priority, but may be queued after a long frame under transmission.

In accordance with the EPON specifications, a GATE MPCPDU allows the central DPoE System controller to schedule at most four transmission slots at once (so-called scheduling into the future), with the size of 2^{16}-1 TQ (1 TQ = 2 B = 16 ns for effective 1 Gbps data rate), resulting in a single transmission slot limited to roughly 128 kB. Upon reception of such an MPCPDU, the ONU updates its local clock index using the time-stamp field carried in the message body, thus effectively maintaining global synchronization with the OLT clock without the need for a separate clock signal. The scheduling information is parsed and processed accordingly, resulting in the creation of transmission events, which are executed once the local clock value reaches the slot start value, as indicated in the previously processed GATE MPCPDU. During a transmission slot, the given ONU delivers backlogged Ethernet frames using its local intra-ONU scheduler, attempting to fill in the allocated slot as much as possible. Since Ethernet frames cannot be fragmented and delineation bounds typically change between the REPORT MPCPDU transmission and reception of the respective GATE MPCPDU, unused slot remainders are created, leading to certain inefficiencies in the upstream channel transmission. The remaining frames, which do not fit the currently allocated slot, are delayed to the next transmission is granted by the OLT scheduler.

Appendix III Illustration of Service Flow aggregation (Informative)

The following two diagrams show how traffic from multiple SFs gets aggregated into an ASF. Figure 24describes the behavior of devices within a MEF Carrier Ethernet network, while Figure 25 draws parallels to an SF-ASF combination within a D-ONU. The SFs on the D-ONU run the bandwidth profile algorithm and perform color marking. The ASF is color-aware and the traffic from the ASF is scheduled on the LLID, which is controlled by the DPoE System.

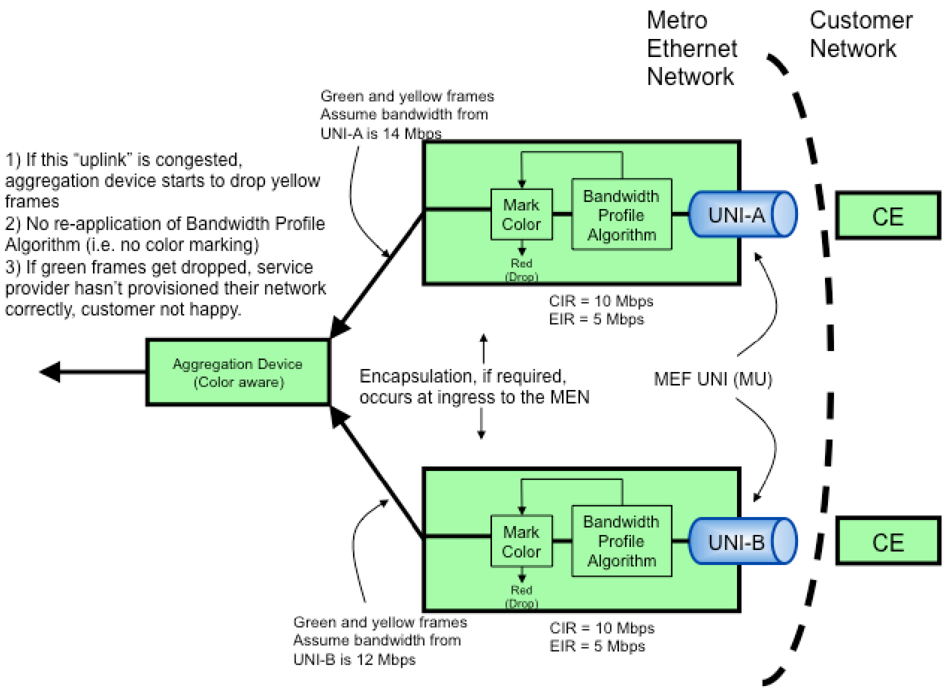

Figure 24 - Behavior of devices within MEF network

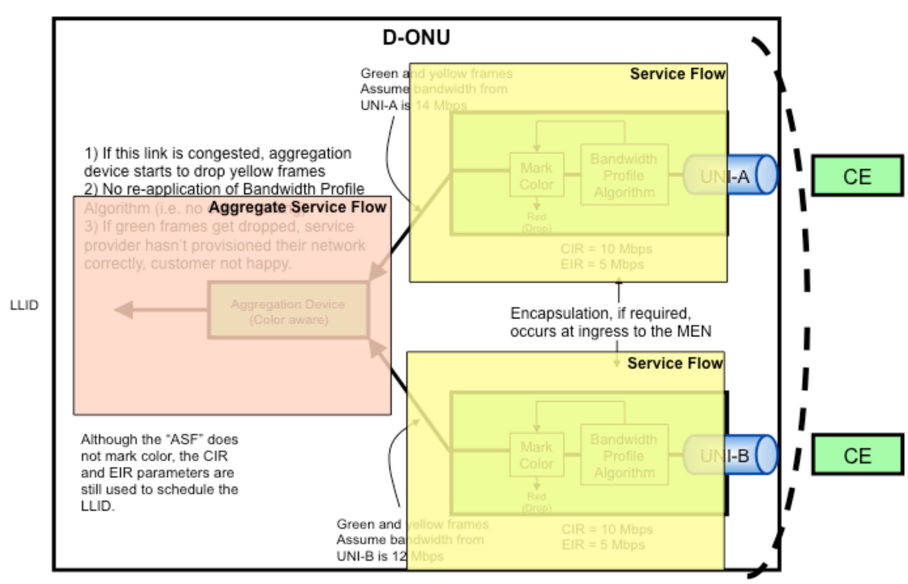

Figure 25 - Behavior of ASF-SF within D-ONU

Appendix IV DPoE Multicast Flow Diagrams (Informative)

This appendix includes diagrams which explain how multicast for IP(HSD) works in the DPoE Network.

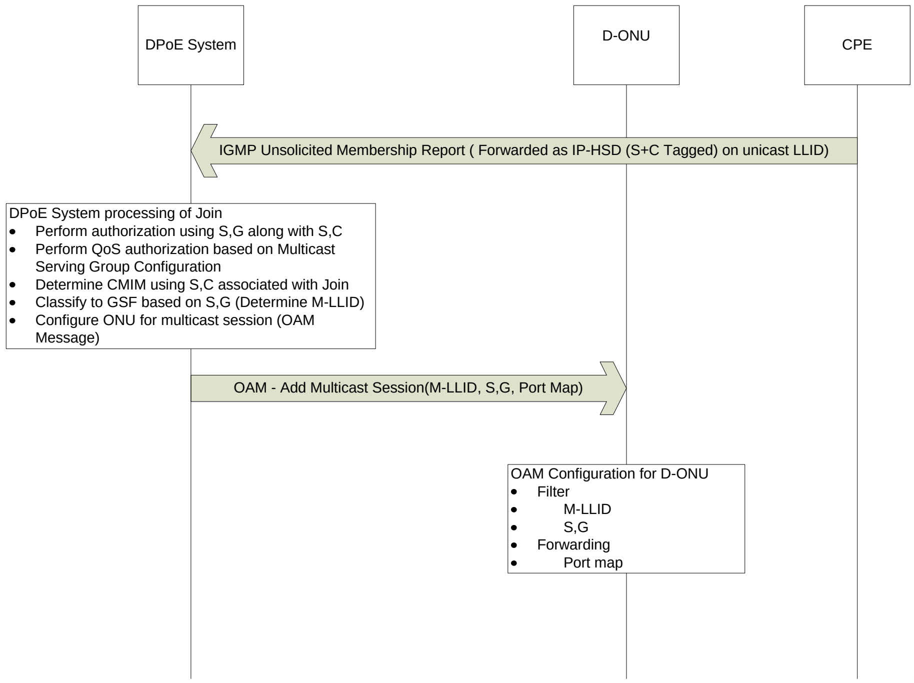

Figure 26 - Dynamic Join

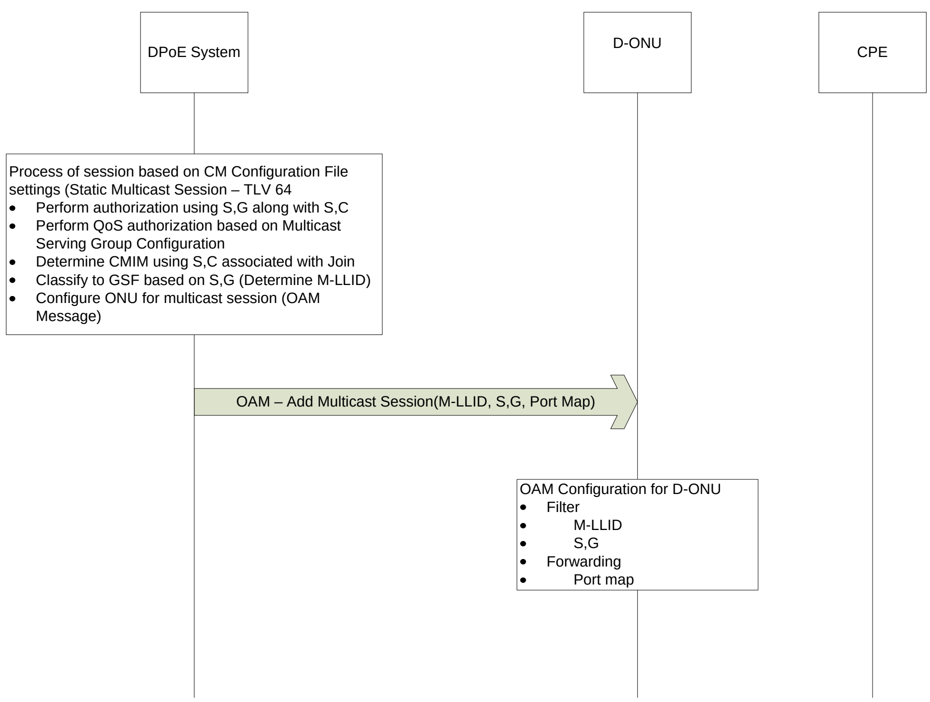

Figure 27 - Static Session

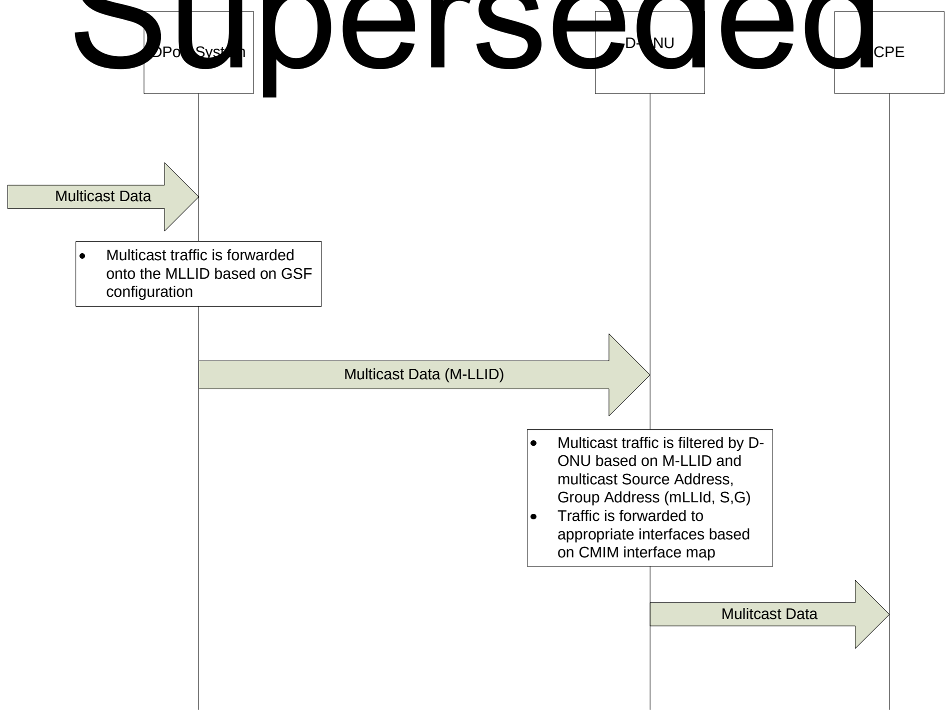

Figure 28 - Downstream Multicast Data Traffic Forwarding

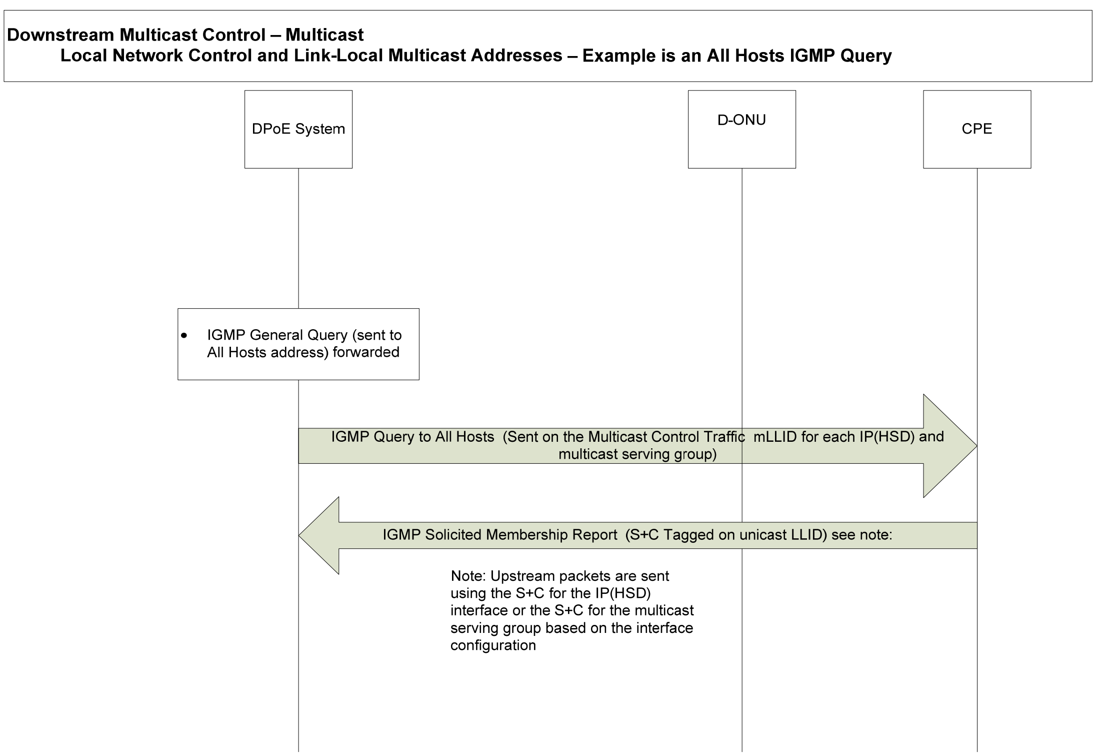

Figure 29 - Downstream Multicast Control

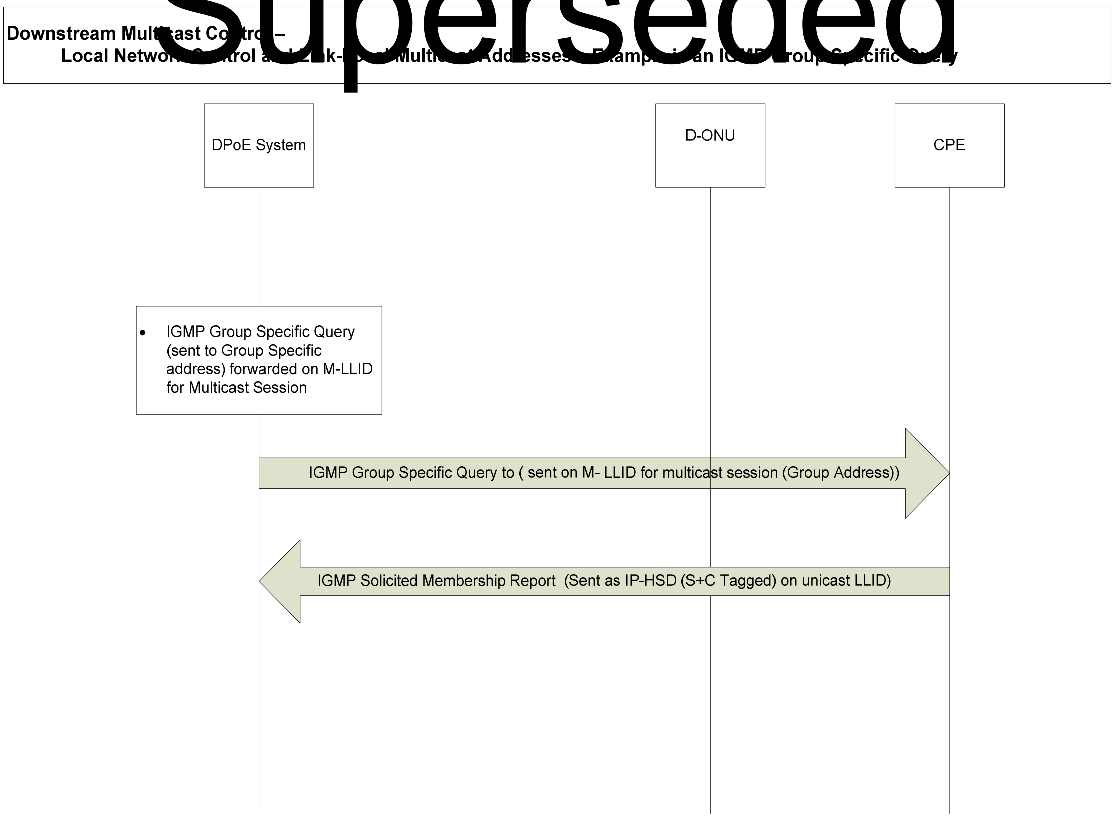

Figure 30 - Multicast Group Specific Messages

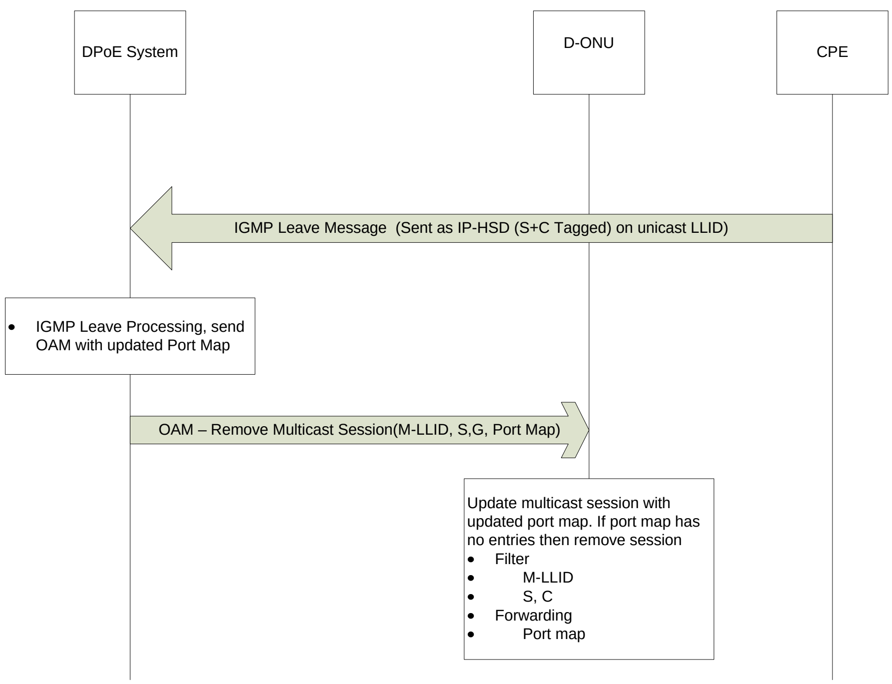

Figure 31 - Multicast Leave Processing

Appendix V Acknowledgements

On behalf of our industry, we would like to thank the following individuals for their contributions to the development of this specification, listed in alphabetical order of company affiliation.

Contributor	Company Affiliation
Edwin Mallette, John Dickinson	Bright House Networks
Paul Gray, Margo Dolas, Andrew Chagnon, Jim Fletcher, Matthew Hartling, Victor Hou	Broadcom
Karthik Sundaresan, Curtis Knittle, Lane Johnson, Vikas Sarawat, Glenn Russell	CableLabs
Chris Hoppe	Cisco
Mehmet Toy, Shamim Akhtar	Comcast
Mike Holmes	Finisar Corporation
Hesham ElBakoury	Hitachi
Victor Blake	Independent Consultant
Graham Higgins, Sebnem Zorlu-Ozer, Nate Vanderschaaf	Motorola
Dylan Ko	Qualcomm-Atheros
Colin Dearborn	Shaw
Michael Peters, Christopher Griffith	Sumitomo
Robert Harris, Hong Nguyen, Armin Sepehri, Kevin Noll	Time Warner Cable
Marek Hajduczenia	ZTE